



Are thermal infrared measurements of CO<sub>2</sub> from GOSAT and IASI over the Arctic Ocean in summer able to detect climatic change?

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# Outline

- Using the thermal infrared region (TIR) covered by TANSO-FTS in B4 (GOSAT) and IASI (MetOp) for ice free Arctic Ocean studies in summer
- Retrieval scheme and sensitivity study as a function of  $T(z)$
- Comparison for coincidences between GOSAT and IASI-A/IASI-B for  $T_{\text{surf}}$  and  $X\text{CO}_2$
- Monthly climatology of  $T_{\text{surf}}$  and  $X\text{CO}_2$  for the 3 summer months for GOSAT/IASI-A (2010 to 2015) and GOSAT/IASI-B/IASI-A (2013-2015) → **only this 3 years period presented during this talk**
- Impact of TIR sounders for climate studies

# Why using TIR for Arctic Ocean studies?

- The **Arctic Ocean** is a key region where the effect of climate change can be detected
- Two similar instruments covering the thermal infrared region **TIR** can be used over an extended period: **GOSAT** (7 years) and **IASI** (10 years)
- Other sounders (for **CO<sub>2</sub>** or **CH<sub>4</sub>**) are dwelling on the **SWIR** region (**GOSAT** and **OCO-2** currently ) using solar reflected/backscattered light
- Hence only **daytime** observations are possible with an additional constraint on the solar zenith angle ( $SZA \leq 70^\circ$  usually, meaning poor coverage of the sub-polar regions)
- **TIR** sounders (**GOSAT TANSO-FTS** in **B4**, **IASI**, **AIRS** and **CrIS**) are achieving a daily global coverage (usually one **daytime** and one **night time** overpass) → **no night** above the Arctic circle during July/Aug, however
- Their sensitivity to near surface concentrations is limited by the **thermal contrast**, but TIR sounders provide essential information in particular for the **diurnal/nocturnal cycle** and at **high latitudes** where models are poorly constrained by lack of observations

# Why and how to compare GOSAT, IASI-A and IASI-B?

- It is important to compare spectra and retrieved geophysical parameters from **three TIR sounders** to check their consistency → IFOVs over **ice free open water** are most favourable for this comparison (retrievals over ice pack are more complicated)
- **IASI-A** and **IASI-B** on **MetOp-A** and **MetOp-B** can view the same IFOV in the same geometry within a time difference between **40 min** and **50 min**
- **IASI-A** and **IASI-B** can have **quasi-coinciding** IFOVs with **GOSAT** within the chosen criterion of 30 km and 1 hr
- Comparisons can be done for **off-nadir observations** and the choice of the **polar summer** period (**July, August, September**) lead mostly to **daytime only** observations in the latitude region [68N ; 80N]
- The retrieved products  $T_{\text{surf}}$  and  $\text{CO}_2$  will be considered here

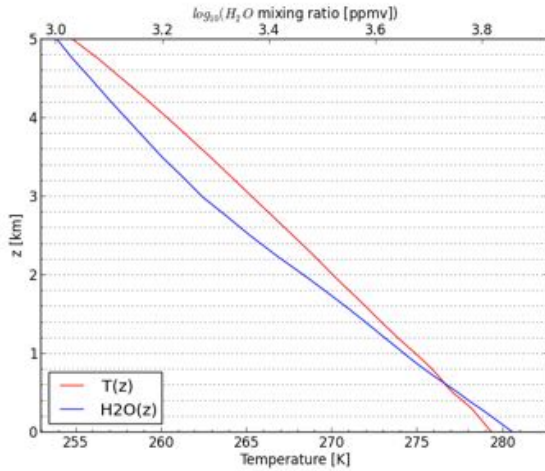
# Window fitted and state vector

- Window: 940 - 980  $\text{cm}^{-1}$ , "CO<sub>2</sub> laser band region"
- State vector:  $x = (T_{\text{surf}}, X\text{CO}_2, \text{coeff\_H}_2\text{O}, \text{coeff\_O}_3)$
- For IASI-A and IASI-B Carmine Serio instrument full covariance matrix  $S_y \rightarrow$  needed because IASI spectra are "Gaussian" apodised
- For GOSAT diagonal covariance matrix  $S_y$  (L1B unapodised spectra)
- No *a priori* for  $T_{\text{surf}}$  and  $X\text{CO}_2 \rightarrow$  constant mixing ratio profile
- $T(z)$  extracted from ECMWF ERA-Interim analyses
- $\text{H}_2\text{O}(z)$  profile scaled from ECMWF ERA-I
- $\text{SF}_6$  fixed (including trend between 2010 and 2015)
- The retrieval sensitivity to the shape of the actual  $T(z)$  profile has been checked and used for pre-selecting the IFOVs

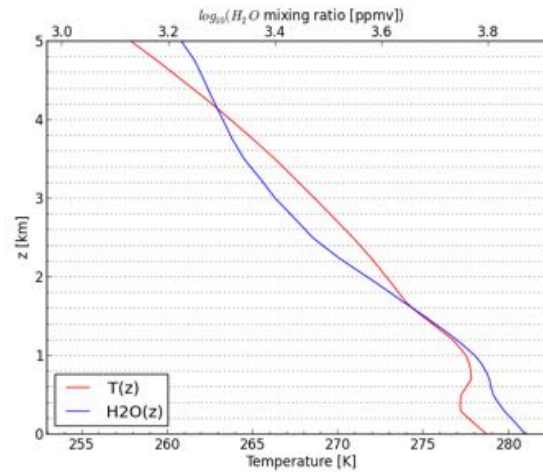
# Retrieval scheme

- The line-by-line **LARA** radiative transfer model (RTM) and its associated retrieval model (package developed by J. Bureau and S. Payan) has been used
- Even though LARA can be configured for OEM, in the present study spectra where “**least squares fitted**” with a state vector containing  $T_{\text{surf}}$  and  $X\text{CO}_2$  as well as **multiplicative scaling factors** for the vertical mixing ratio profiles of  $\text{H}_2\text{O}$  and  $\text{O}_3$
- The **temperature** profile is taken from **ECMWF** product (and fixed)
- The emissivity of **Masuda** for **sea water** is used/fixed

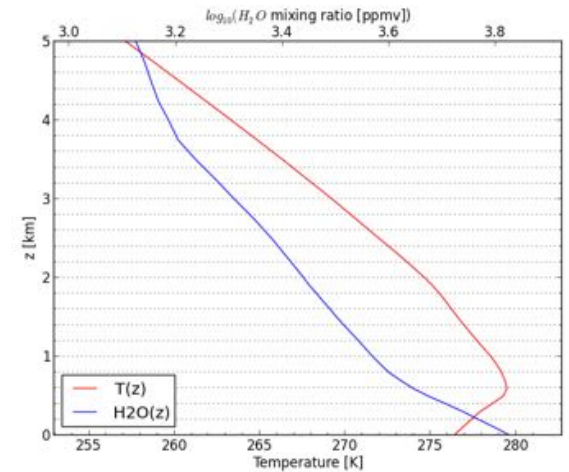
# Temperature profile



lapse rate

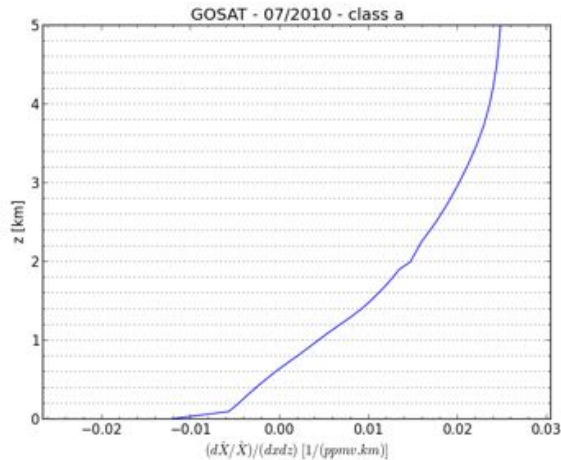


isothermal

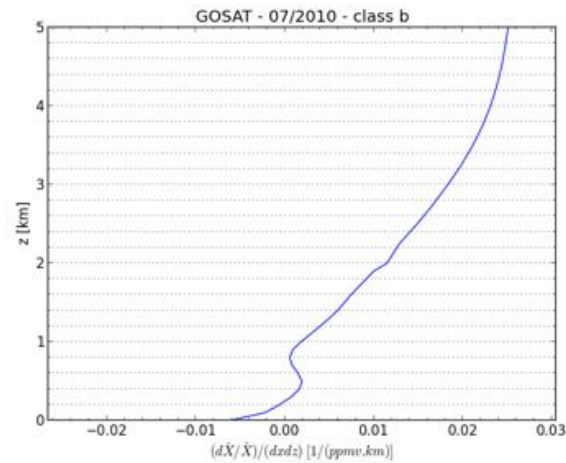


T(z) inversion

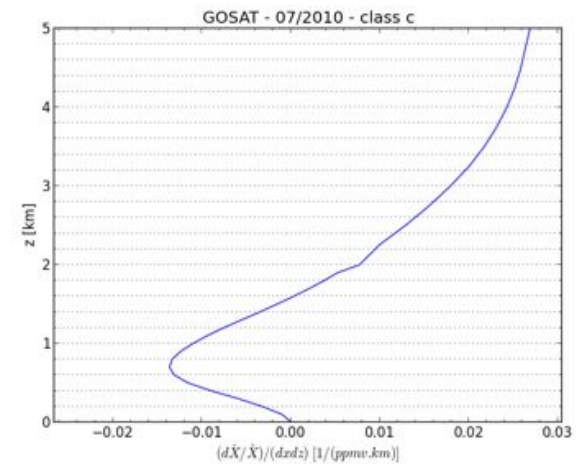
# Sensitivity curves



lapse rate



isothermal



T(z) inversion

Pre-selection (or pre-filtering)  
→ keep only IFOVs  
with **normal lapse rate T(z) profiles**



# Inversion configuration

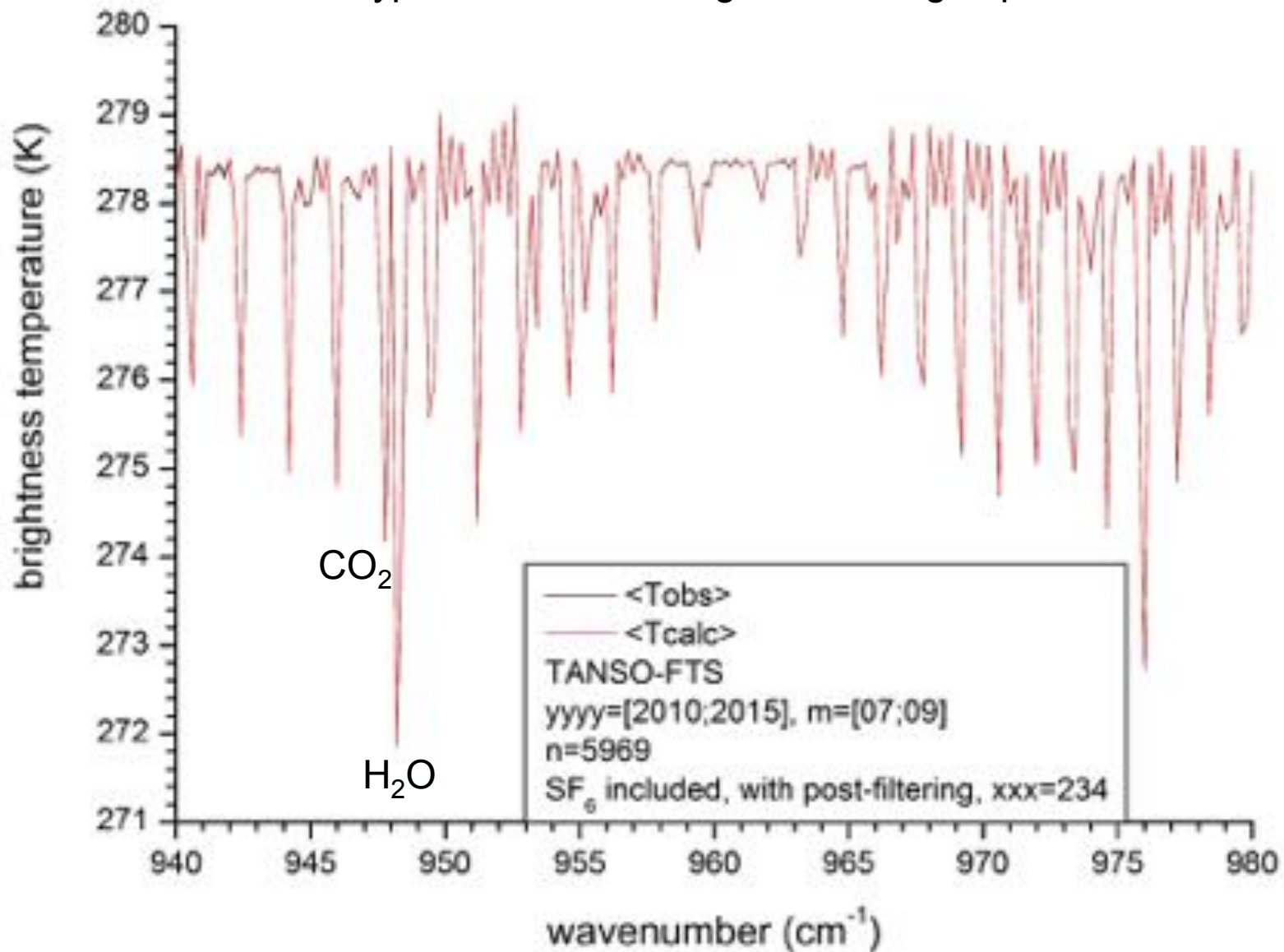
Config xxx

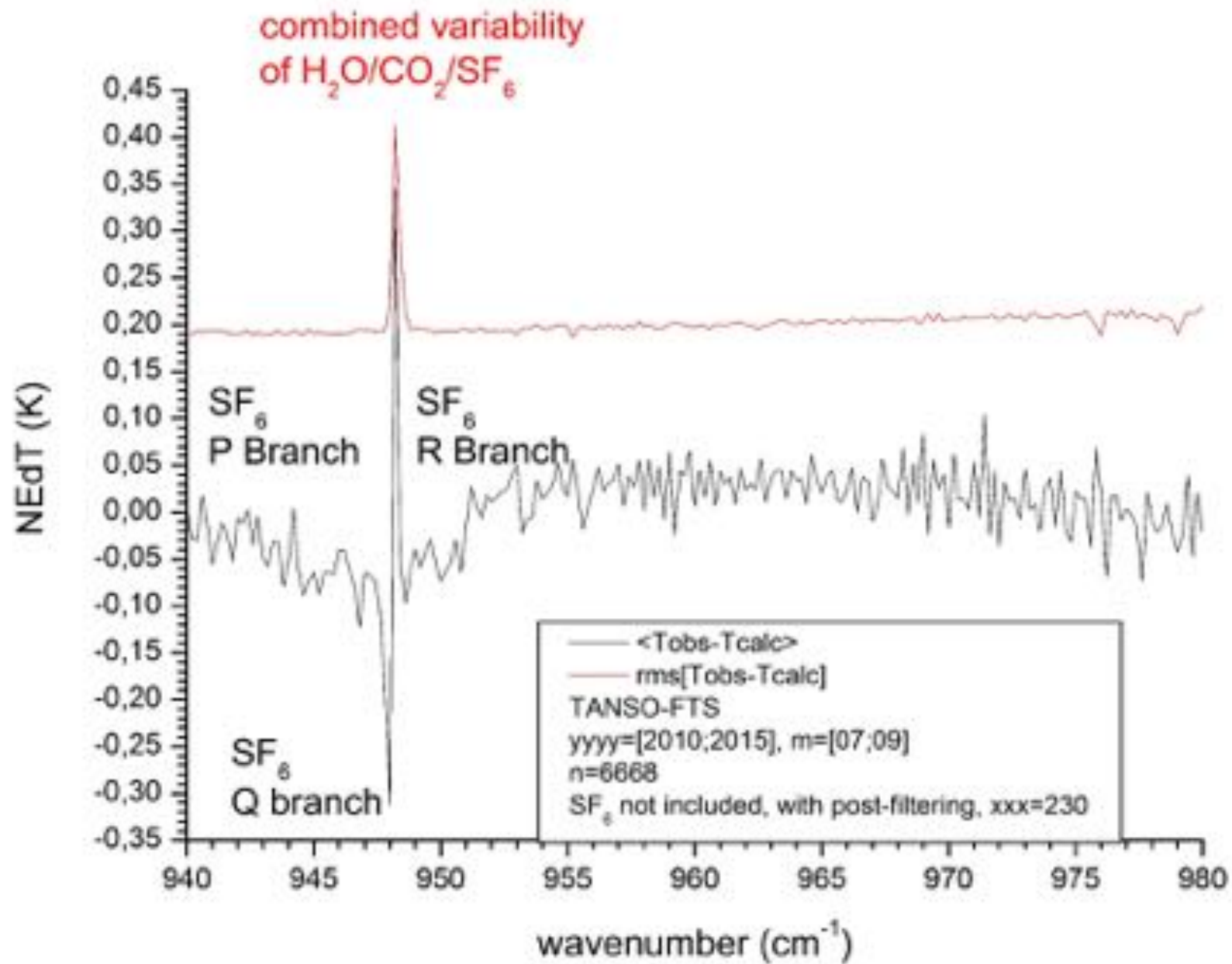
description

230	no SF <sub>6</sub> , 1 scaling factor for H <sub>2</sub> O(z), inflate S <sub>y</sub> around 948 cm <sup>-1</sup>
231	with SF <sub>6</sub> values and trend fixed from GAW, idem for H <sub>2</sub> O
232	idem for with SF <sub>6</sub> , 2 scaling factors for H <sub>2</sub> O(z)
233	variable SF <sub>6</sub> , 2 scaling factors for H <sub>2</sub> O(z), nominal S <sub>y</sub>
238	scaled SF <sub>6</sub> values, 2 scaling factors for H <sub>2</sub> O(z), nominal S <sub>y</sub>

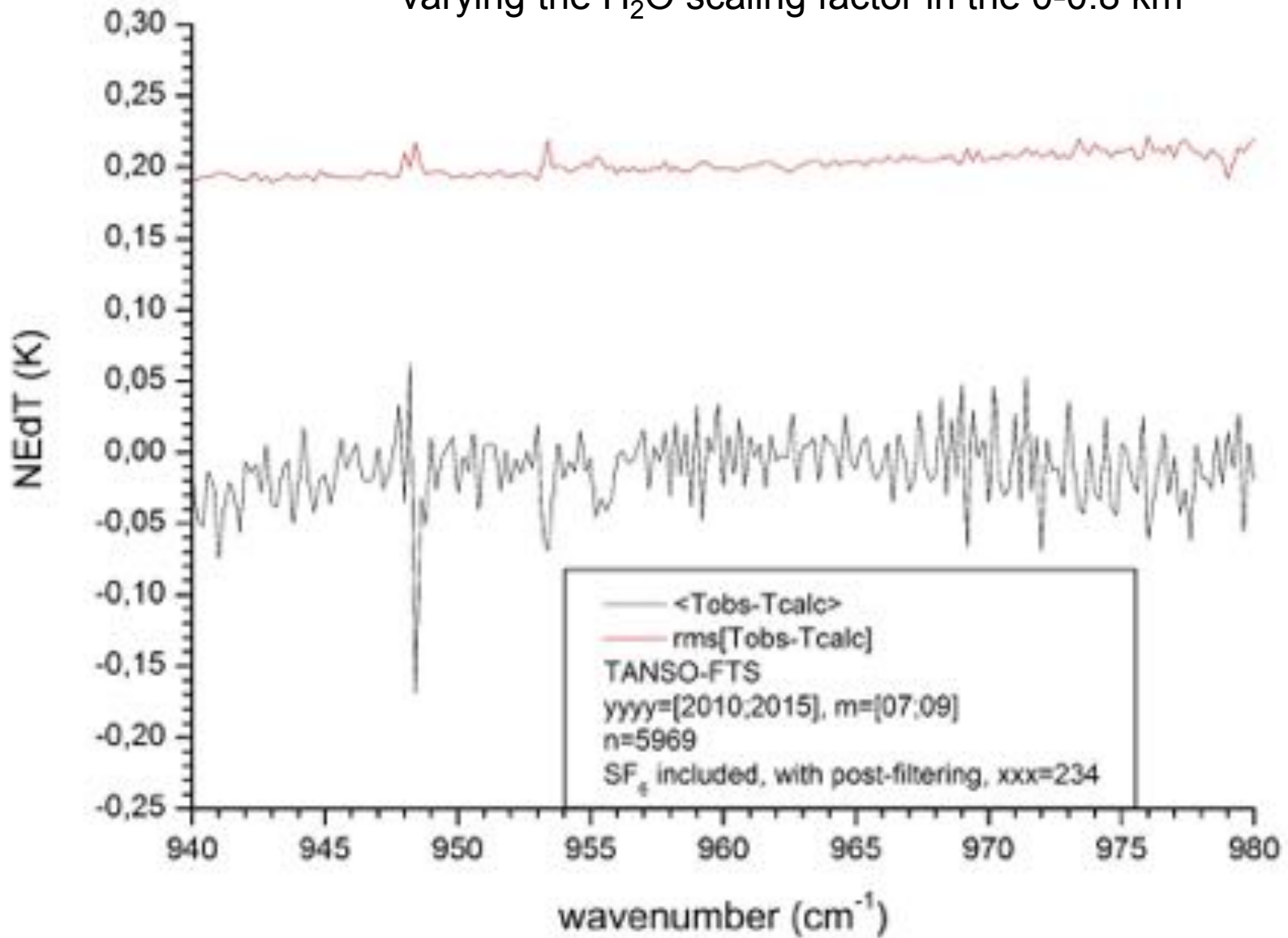
- **TANSO-FTS**: L1B v201.202, spectrally calibrated, 201 spectral samples
- **IASI-A** and **IASI-B**: **standard L1C** product (EPS or BUFR), 161 spectral samples
- years: yyyy=[2010, 2011, 2012, 2013, 2014, 2015]
- months: mm=[07, 08, 09]
- **Uncorrelated L1B TANSO-FTS noise** (diagonal S<sub>y</sub> matrix)
- **Ful covariance S<sub>y</sub>** matrix from C. Serio, App. Opt., 2015 for **IASI-A** and **IASI-B**
- T(z) and shape of H<sub>2</sub>O(z) from ECMWF ERA-Interim
- **pre-selection** before retrieval: standard lapse rate for T(z), sea, clear IFOV
- definition of "**clear**"
  - controlled level and slope on both sides of the O<sub>3</sub> band
  - apparent background brightness temperature BT > 272 K
  - contrast of the CO<sub>2</sub> lines in the 940-980 cm<sup>-1</sup> region > 4.2
  - contrast of the H<sub>2</sub>O lines in the 820-940 cm<sup>-1</sup> region > 4.0
  - contrast = rms{ΔBT(absorption lines)}/rms{ΔBT(emission lines)}

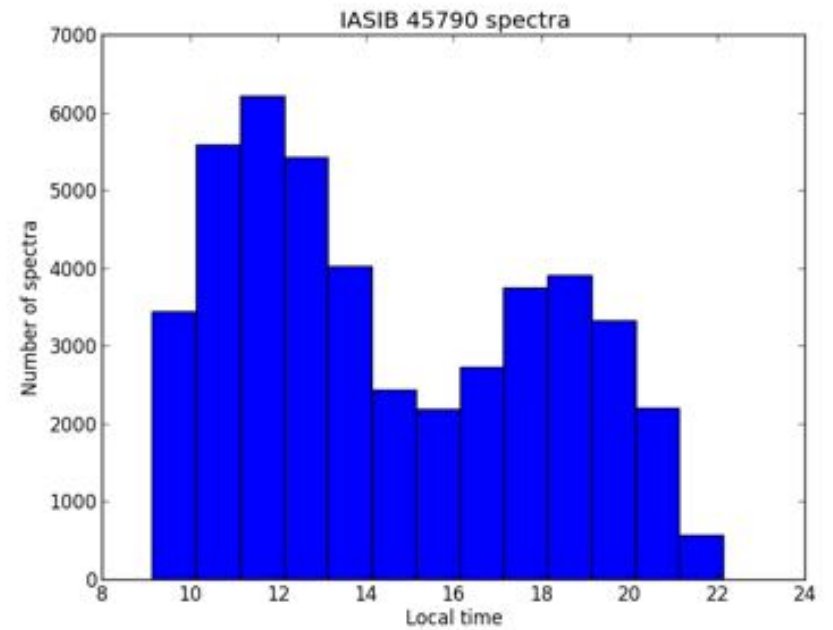
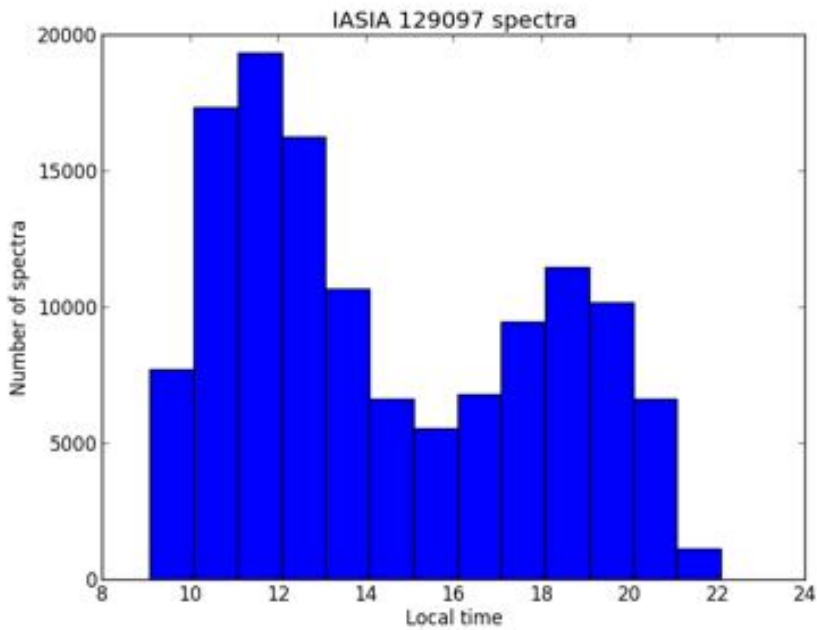
Typical TANSO-FTS grand average spectrum





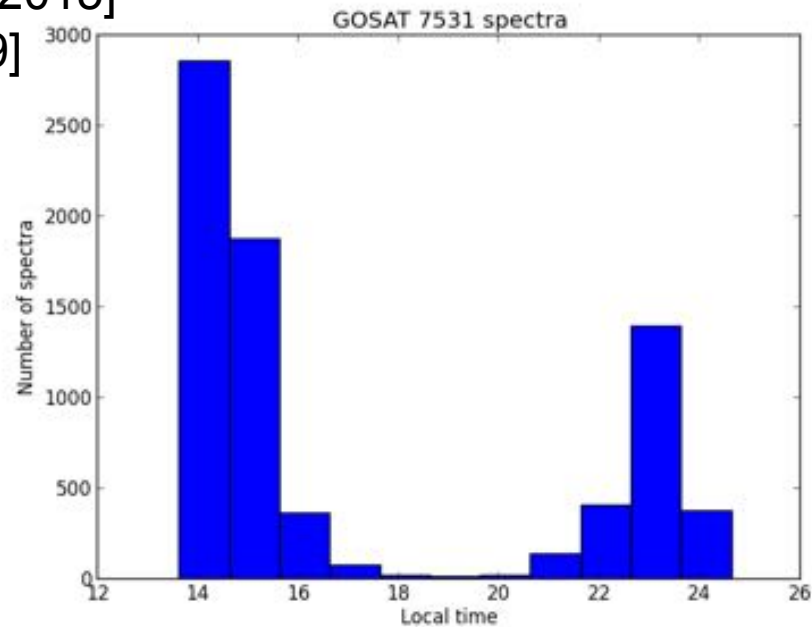
Residual variability reduced in rms[Tobs-Tcalc] by varying the H<sub>2</sub>O scaling factor in the 0-0.8 km





IASI-A [2010;2015]  
mm=[07,08,09]

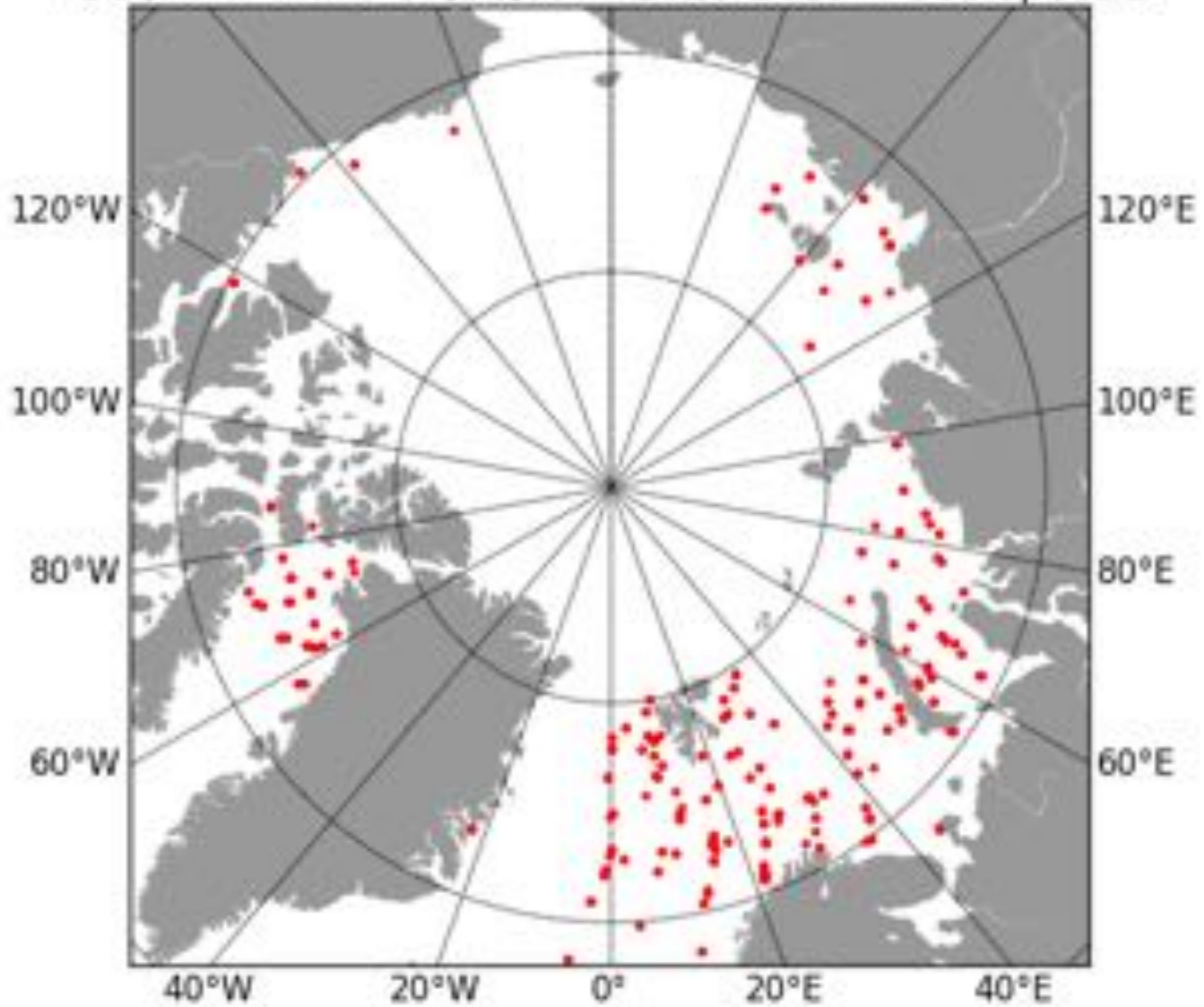
IASI-B [2010;2015]  
mm=[07,08,09]



GOSAT [2010;2015]  
mm=[07,08,09]

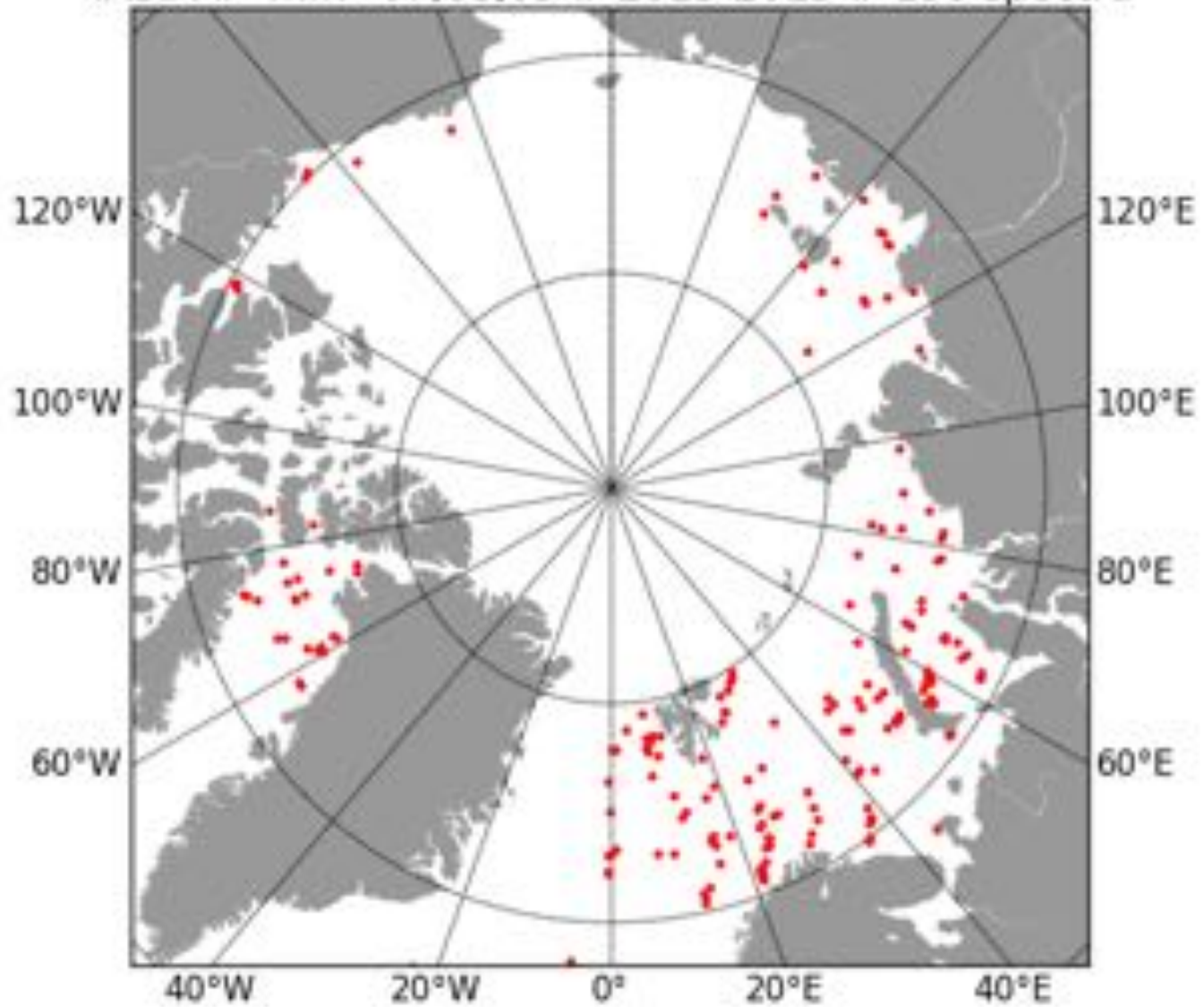
Distribution of the solar local time at the pre-selected IFOVs

Retrieval configuration 238  
GOSAT # mm=07,08,09 # 2013-2015 # 530 spectra



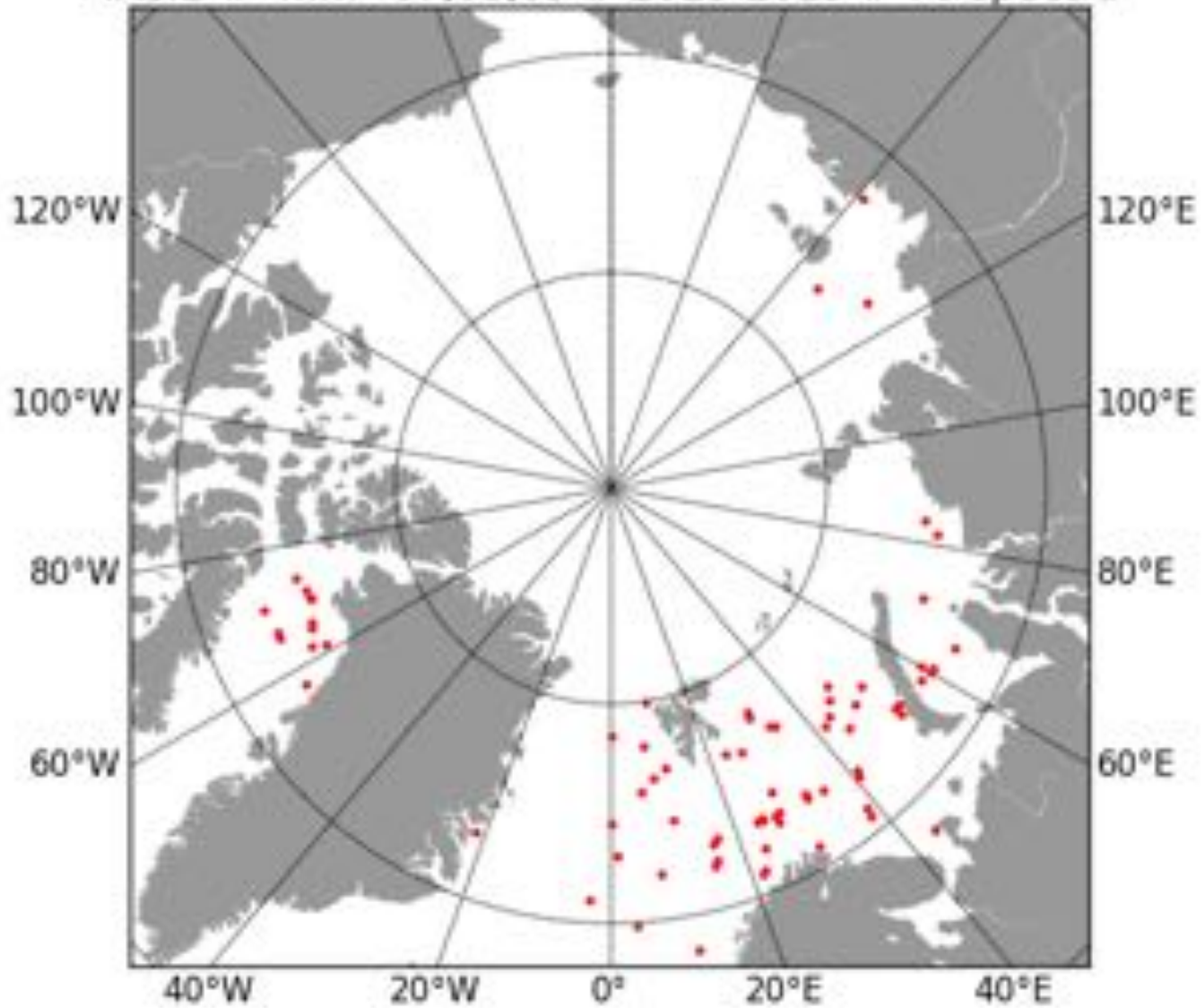
Distribution of coincidences of **GOSAT** with **IASIA** or **IASIB**

Retrieval configuration 238  
IASIA # mm=07,08,09 # 2013-2015 # 190 spectra



Distribution of coincidences of IASIA with GOSAT

Retrieval configuration 238  
IASIB # mm=07,08,09 # 2013-2015 # 76 spectra



Distribution of coincidences of IASB with GOSAT



Comparison of  $T_{\text{surf}}$  for IASI/GOSAT coincidences, xxx=238  
 $\Delta L < 30 \text{ km}$ ,  $\Delta t < 1 \text{ hr}$

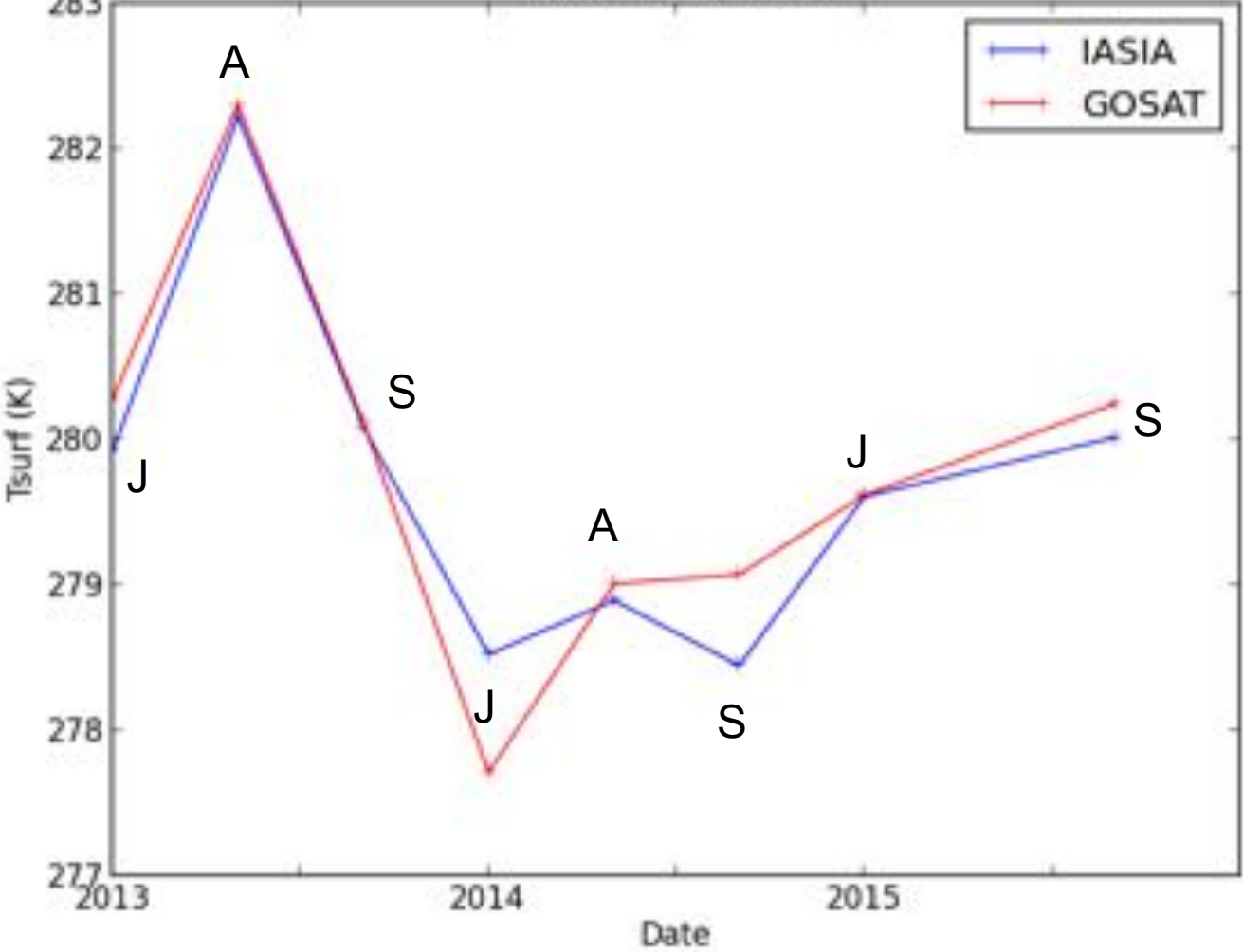
Full Arctic ocean

1 slide for IASIA/GOSAT and 1 slide for IASIB/GOSAT

The number of pairs of spectra (one for each sounder) used in the covered period is given above each figure

For GOSAT several consecutive spectra (with  $dt \sim 4.6 \text{ s}$ ) pertain to almost the same location (3 IFOVs mode)

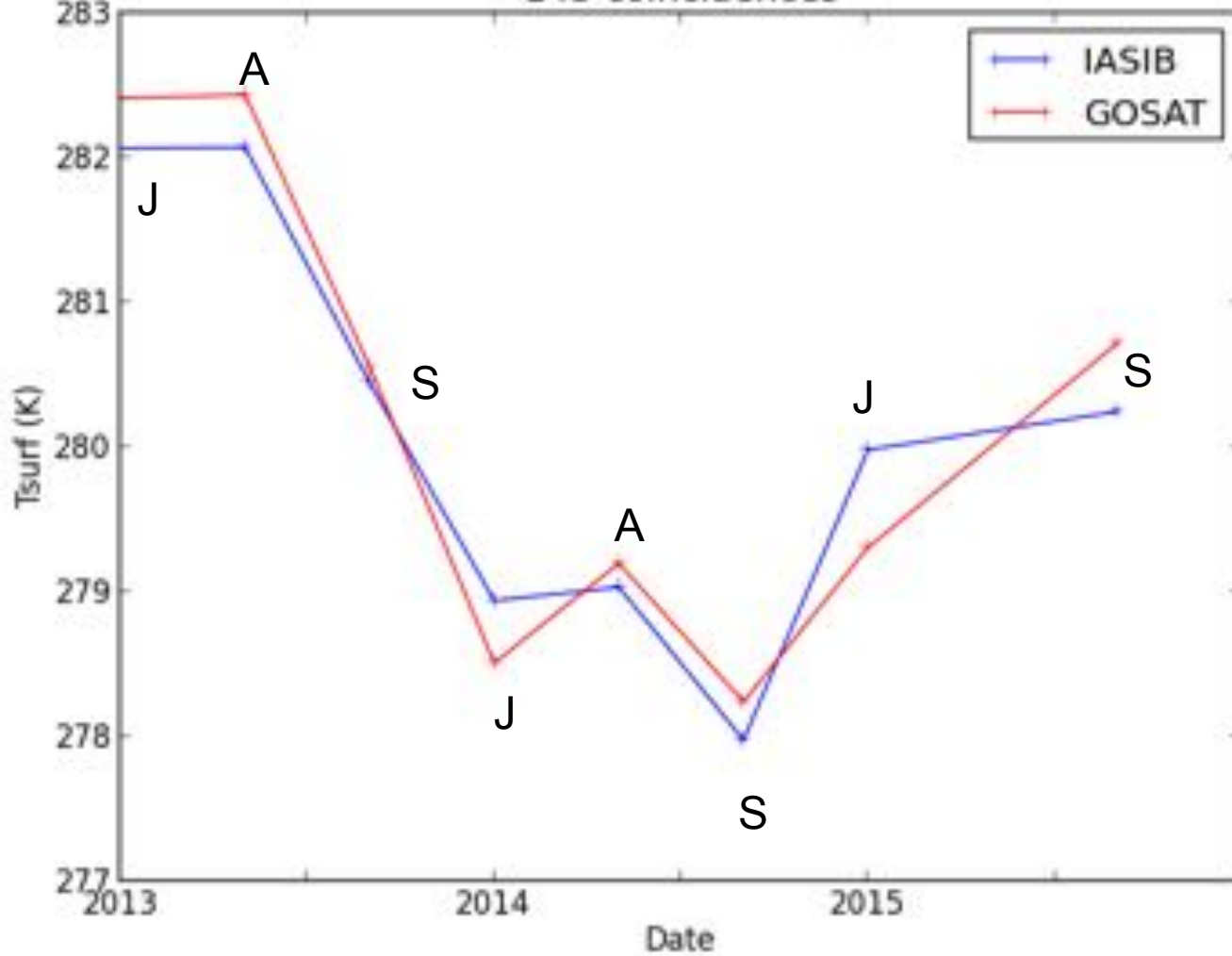
191 coincidences



Aug 2015 missing  
Because cryocooler  
Problems in GOSAT

J=July, A=Aug, S=Sept

143 coincidences



Aug 2015 missing  
Because cryocooler  
Problems in GOSAT

## Comparison of $T_{\text{surf}}$ for IASI/GOSAT coincidences in the period [2013;2015], mean differences, xxx=238

$$\langle T_{\text{surf}}(\text{GOSAT}) - T_{\text{surf}}(\text{IASIA}) \rangle = 0.105 \pm 0.012 \text{ K} \quad n=191$$

$$\langle T_{\text{surf}}(\text{GOSAT}) - T_{\text{surf}}(\text{IASIB}) \rangle = 0.193 \pm 0.020 \text{ K} \quad n=143$$

**Conclusion:** The absolute radiometric calibration in the [940;980]  $\text{cm}^{-1}$  region is critical. The statistics of the coincidences is not high enough to make a final statement on the difference between GOSAT and the two IASI.

Note that version **v201.202** of TANSO-FTS L1B spectra have been used. The new non-linearity correction applied for version **v203.203** will probably change the results. There is probably a small absolute radiometric calibration difference between IASI-A and IASI-B in this specific spectral region.

# Comparison of XCO<sub>2</sub> for IASI/GOSAT coincidences, xxx=238 $\Delta L < 30$ km, $\Delta t < 1$ hr

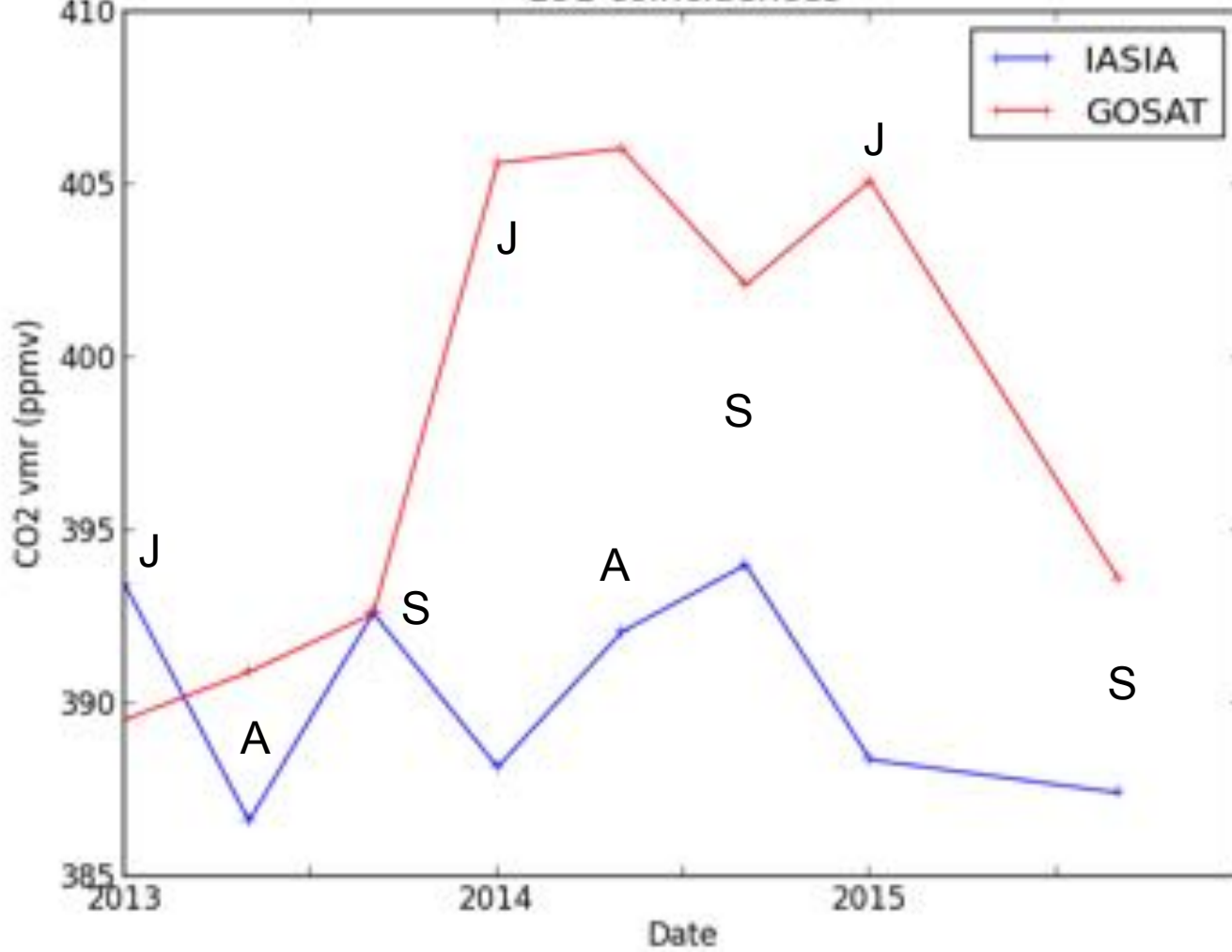
Full Arctic ocean

1 slide for IASIA/GOSAT and 1 slide for IASIB/GOSAT

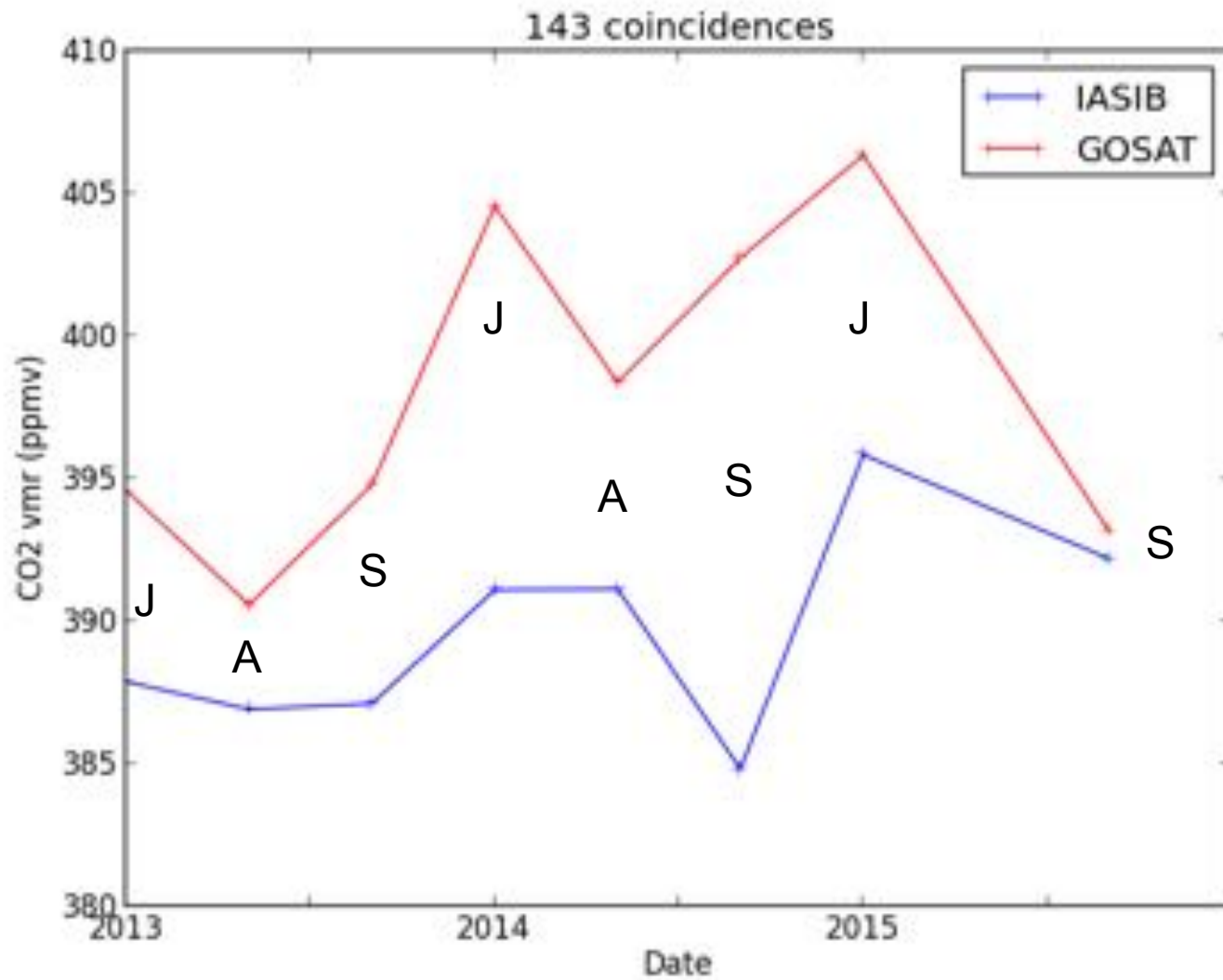
The numbers for each sounder are the total numbers of IFOVs used in the covered period (not the monthly ones)

For GOSAT several consecutive spectra (with  $dt \sim 4.6$  s) pertain to almost the same location (3 IFOVs mode)

191 coincidences



Aug 2015 missing  
because cryocooler  
problems in GOSAT



Aug 2015 missing  
because cryocooler  
problems in GOSAT

## Comparison of XCO<sub>2</sub> for IASI/GOSAT coincidences in the period [2013;2015], mean differences, xxx=238

$$\begin{aligned} \langle \text{XCO}_2(\text{GOSAT}) - \text{XCO}_2(\text{IASIA}) \rangle &= 6.41 \pm 0.16 \text{ ppmv} & n=191 \\ \langle \text{XCO}_2(\text{GOSAT}) - \text{XCO}_2(\text{IASIB}) \rangle &= 6.29 \pm 0.24 \text{ ppmv} & n=143 \end{aligned}$$

**Conclusion:** the bias in XCO<sub>2</sub> (derived from TIR) between GOSAT and IASI is probably due to the difference between the absolute radiometric calibration of the Japanese and French/European instruments

The same type of absolute radiometric calibration differences in other spectral regions may explain some of the bias observed by other groups for XCH<sub>4</sub> retrieved from GOSAT and IASI in the 7.8 μm region

The exact impact of the **ILS knowledge** on the retrieved values has to be assessed








# Summary (1/2)

- This exercise was done to compare the capabilities of retrievals of  $T_{\text{surf}}$  and  $\text{CO}_2$  from GOSAT, IASI-A and IASI-B in one “surface window” i.e.  $940\text{-}960\text{ cm}^{-1}$  ( $\sim 10.4\text{ }\mu\text{m}$ ) for obtaining “climate quality records” at a regional scale in the summer months of the Arctic Ocean for a period for 3 years common to GOSAT, IASI-A and IASI-B (2013 to 2015) in the latitude region [68N ; 80N]
- The individual  $T_{\text{surf}}$  precision of GOSAT is  $\sim 0.10\text{ K } 1\sigma$  and of IASI is  $\sim 0.16\text{ K } 1\sigma$  for clear IFOVs, homogeneous, over sea and with a normal atmospheric lapse rate  $T(z)$  profile (from ECMWF)
- The individual  $X\text{CO}_2$  precision of GOSAT is  $\sim 6\text{ ppmv } 1\sigma$  and of IASI is  $\sim 10\text{ ppmv } 1\sigma$  for clear IFOVs, homogeneous, over sea and with a normal lapse rate
- There is *no a priori* constrain on the  $X\text{CO}_2$  value except a constant mixing ratio profile  $x_{\text{CO}_2}(z)$ . The exact shape of the profile in the oceanic boundary layer is not very well constrained by the models due to the complicated sea-air exchanges
- The variation of  $T_{\text{surf}}$  with latitude and between July/Aug/Sept is significant
- The interannual variability does not show a trend in  $T_{\text{surf}}$  at the regional scale
- The overall trend in the  $\text{CO}_2$  column averaged VMR is well captured over the 6 years period for GOSAT and IASI-A and 3 years period for IASI-B

# Summary (2/2)

- There is a **significant interannual variability** in  $XCO_2$  over the ice free Arctic Ocean, to be correlated to large anomalies as the year **2012** when an absolute minimum in the ice pack area was observed (by other instruments)
- More work is needed to refine the analysis and get a better statistics on identified Arctic Ocean basins using more IFOVs (a “thinning” of **IASI-A** and **IASI-B** IFOVs was performed in the present work, all useful IFOVs have been used for **GOSAT**)
- The zonal average of  $XCO_2$  over **ice free Arctic waters** between 68N and 80N for the 3 months of July, August and September and the 6 years between 2010 and 2015 show the **expected overall geophysical behaviour**, with significant zonal and interannual variations, however
- With these characteristics **TIR measurements at high latitude** can constrain  $CO_2$  **flux inversion models** through the ocean-land contrast and latitudinal as well as monthly variations especially in summer
- A longer time frame analysis will consolidate these conclusions using IASI-A data before 2010, more data of IASI-A and IASI-B in 2016, 2017, 2018, and with the operational and backup IASI after the launch of IASI-C
- The **GOSAT** and **IASI** mission are not yet providing a fully consistent time series of “**climate quality variables**” for  $T_{surf}$  and  $CO_2$  due to remaining inter-instrument absolute radiometric calibration differences which still need to be carefully examined
- Using the newly available TANSO-FTS **version v203.203** including an improved **non-linearity correction** in B4 (TIR) will reduce the bias between **GOSAT** and **IASI-A/IASI-B**

# Acknowledgements

- Support from  to LATMOS and IPSL
- Access to GOSAT L1b spectra of  and 
- Mesocentre IPSL and French atmospheric data base 
- Access:  products of

# Backup slides

# Forward model uncertainties near 948 cm<sup>-1</sup>

- SF<sub>6</sub> Q branch in the vicinity of one CO<sub>2</sub> line and one H<sub>2</sub>O line → need better T/P dependence of the SF<sub>6</sub> cross-sections and better line parameters (temperature dependence for the foreign and self-broadening for this H<sub>2</sub>O line)
- This leads to an additional spectral variability around 948 cm<sup>-1</sup>
- Inflating the measurement error near 948 cm<sup>-1</sup> (3 spectral samples for GOSAT, 2 samples for IASI) is a way to handle the problem
- A more effective solution has been to vary separately the H<sub>2</sub>O(z) scaling factor in the range 0.0-0.8 km and in the range 0.8-14.0 km (ensuring continuity). This is correcting for the error of ECMWF in the lowermost layers/levels.
- This is reducing the bias in  $\langle T_{\text{obs}} - T_{\text{calc}} \rangle$  and lowering the  $\text{rms}[T_{\text{obs}} - T_{\text{calc}}]$ , correcting for the impact of the knowledge of the shape of the H<sub>2</sub>O profile on the retrieved values of  $T_{\text{surf}}$  and  $X_{\text{CO}_2}$