



Zhiqiang Liu<sup>1,2</sup>, Ning Zeng<sup>3,4,1</sup>, Yun Liu<sup>5,6</sup>, Eugenia Kalnay<sup>3</sup>, Ghassem Asrar<sup>7</sup>, Bo Wu<sup>1</sup>, Qixiang Cai<sup>1</sup>, Di Liu<sup>8</sup>, Pengfei Han<sup>9,1</sup>

<sup>1</sup>State Key Laboratory of Numerical Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China  
<sup>2</sup>College of Earth and Planetary Sciences, University of Chinese Academy of Sciences, Beijing, China  
<sup>3</sup>Dept. of Atmospheric and Oceanic Science, University of Maryland – College Park, Maryland, USA  
<sup>4</sup>Earth System Science Interdisciplinary Center, University of Maryland, USA  
<sup>5</sup>International Laboratory for High-Resolution Earth System Model and Prediction (iHESP), Texas A&M University, College Station, Texas, USA  
<sup>6</sup>Dept. of Oceanography, Texas A & M University, College Station, TX, USA  
<sup>7</sup>Joint Global Change Research Institute/PNNL, College Park, MD, USA  
<sup>8</sup>Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing, China  
<sup>9</sup>Carbon Neutrality Research Center, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China  
**Correspondence to:** Zhiqiang Liu (liuzhiqiang@mail.iap.ac.cn) and Ning Zeng (zeng@umd.edu)

## Abstract

Atmospheric inversion of carbon dioxide (CO<sub>2</sub>) measurements to understand carbon sources and sinks has made great progress over the last two decades. However, most of the studies, including four-dimension variational (4D-Var), Ensemble Kalman filter (EnKF), and Bayesian synthesis approaches, obtains directly only fluxes while CO<sub>2</sub> concentration is derived with the forward model as post-analysis. Kang et al. (2012) used the Local Ensemble Transform Kalman Filter (LETKF) that updates the CO<sub>2</sub>, surface carbon fluxes (SCF), and meteorology field simultaneously. Following this track, a system with a short assimilation window and a long observation window was developed (Liu et al., 2019). However, this DA system faces the challenge of maintaining carbon mass conservation. To overcome this shortcoming, here we introduce a Constrained Ensemble Kalman Filter (CEnKF) approach to ensure the conservation of global CO<sub>2</sub> mass. After a standard LETKF procedure, an additional assimilation is used to adjust CO<sub>2</sub> at each model grid point, and to ensure the consistency between the analysis and the first guess of global CO<sub>2</sub> mass. In the context of observing system simulation experiments (OSSEs), we show that the CEnKF can significantly reduce the annual global SCF bias from ~0.2 gigaton to less than 0.06 gigaton by comparing between experiments with and without it. Moreover, the annual bias over most continental regions is also reduced.

Based on this system, **Carbon in Ocean-Land-Atmosphere (COLA)**, we present a global ( $2^\circ \times 2.5^\circ$ ) to North America ( $0.5^\circ \times 0.625^\circ$ ) daily surface CO<sub>2</sub> flux inferred from in-situ and OCO-2 B10 observations. COLA is one of the OCO2MIP-v10 model, and it is well consistent with the space-based and ground-based estimations. COLA fully takes the advantages of EnKF that significantly reduced the computational costs compared with traditional methods. For example, using 20 cores of CPU, the 5 years of  $4^\circ \times 5^\circ$  global inversion takes only 3 days and the 5 years of  $0.5^\circ \times 0.625^\circ$  North America inversion takes ~30 days. We believe that the native resolution global inversion could be possible on the HPC using the methods proposed in COLA.

## Framework of the Carbon of Ocean, Land, and Atmosphere (COLA) data assimilation system

Model	
Model	GEOS-Chem v13.0.2
Horizontal resolution	$2 \times 2.5^\circ$ : global $0.5^\circ \times 0.625^\circ$ : NA/EU/AS
Priori fluxes	Fossil: ODIAC Land: VEGAS Ocean: oc-2021

Assimilation Strategy	
DA module	4D-LETKF+CEnKF
Assimilation window (AW)	1 day
Observation window (OW)	6 days
Ensemble member	20 ~ 50
Inflation method	SCF: additive inflation CO <sub>2</sub> : relaxation to prior spread (RTPS)

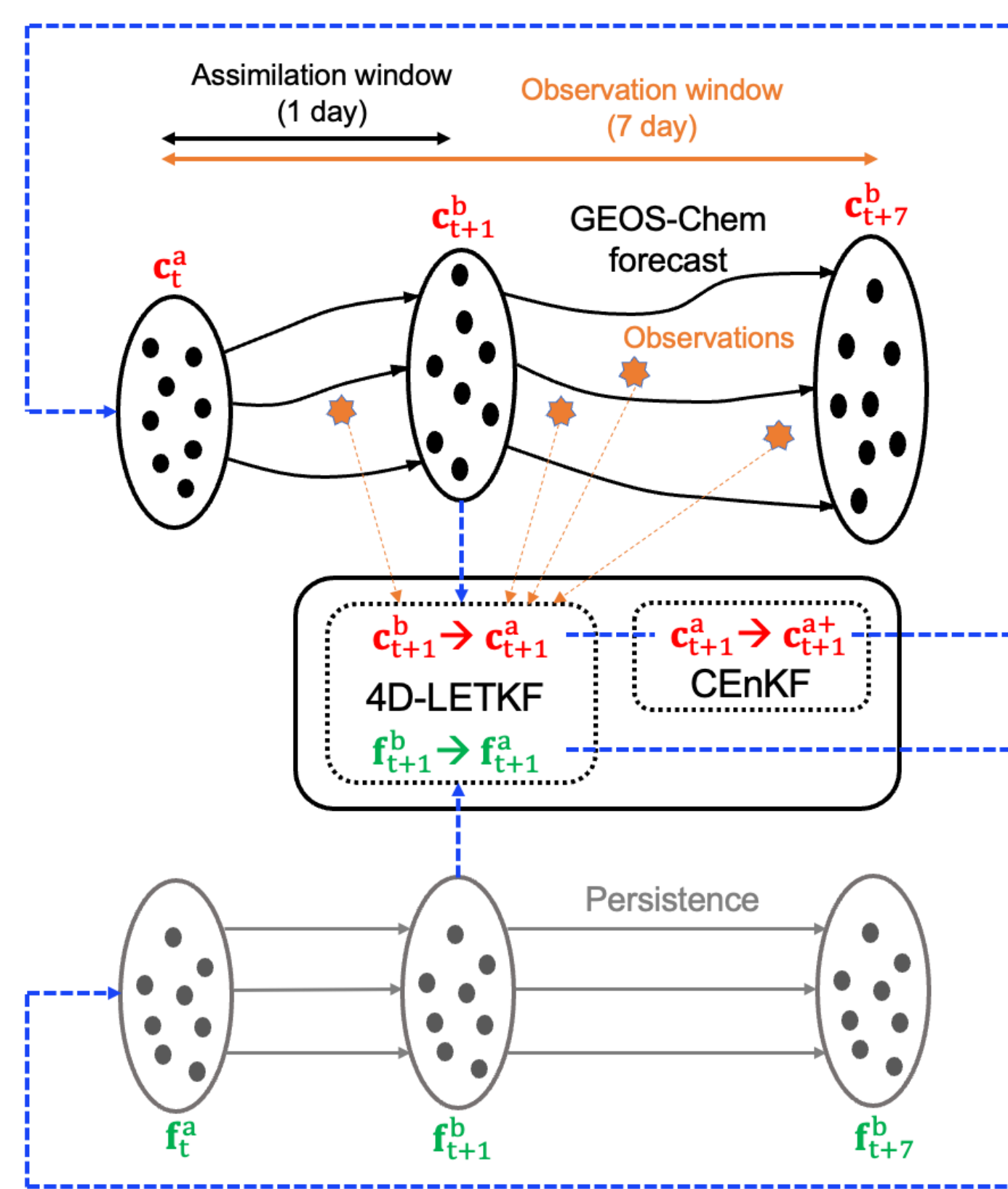


Figure 2: Framework of COLA system

Observations	
Surface	GLOBALVIEW-CO2 v7.0 (back-corrected to X2007)
Satellite	OCO-2 B10

## Global ( $2^\circ \times 2.5^\circ$ ) inversion results

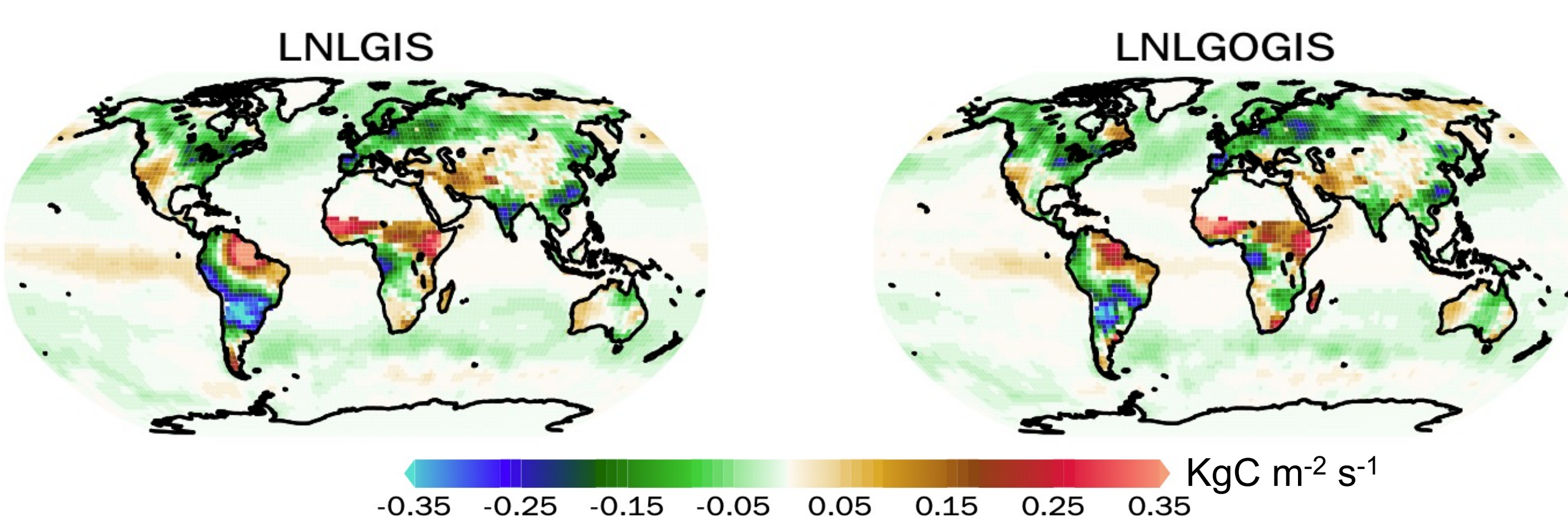


Figure 1: Annual mean fluxes averaged from 2015 to 2019 using insitu together with OCO-2 LNLG data (LNLGIS), and insitu together with OCO-2 LNLGOG data.

Figure 2: Annual mean fluxes anomaly from 2015 to 2019 for the LNLGIS inversion. The anomaly is defined by subtracting the annual mean of each year with the 5 year mean.

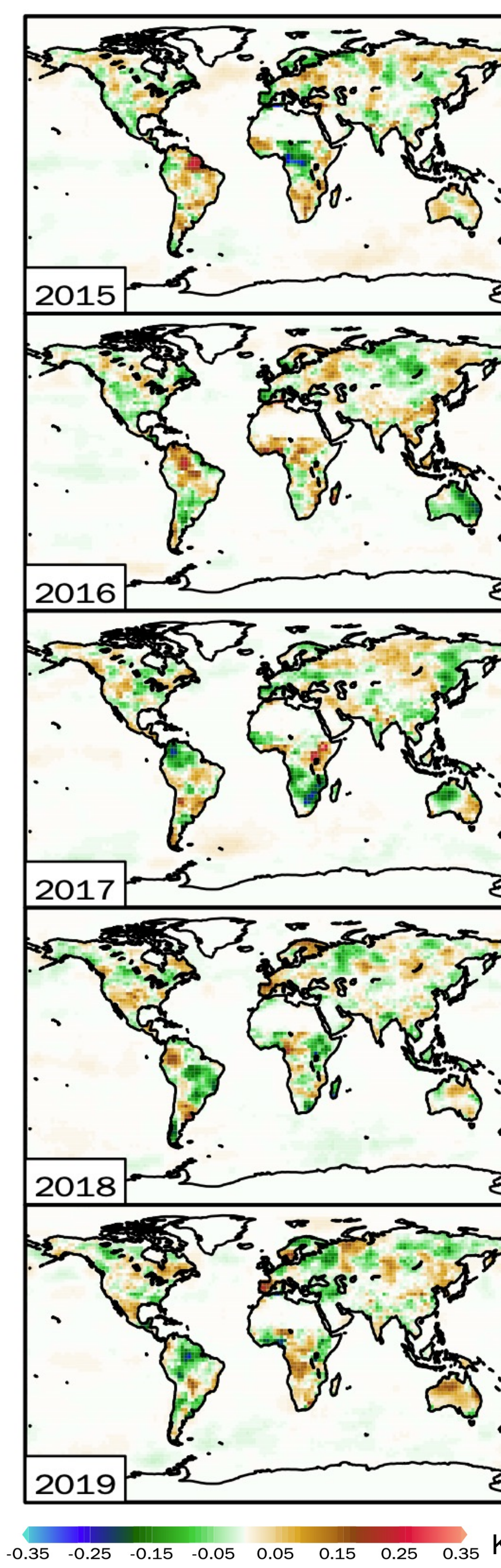
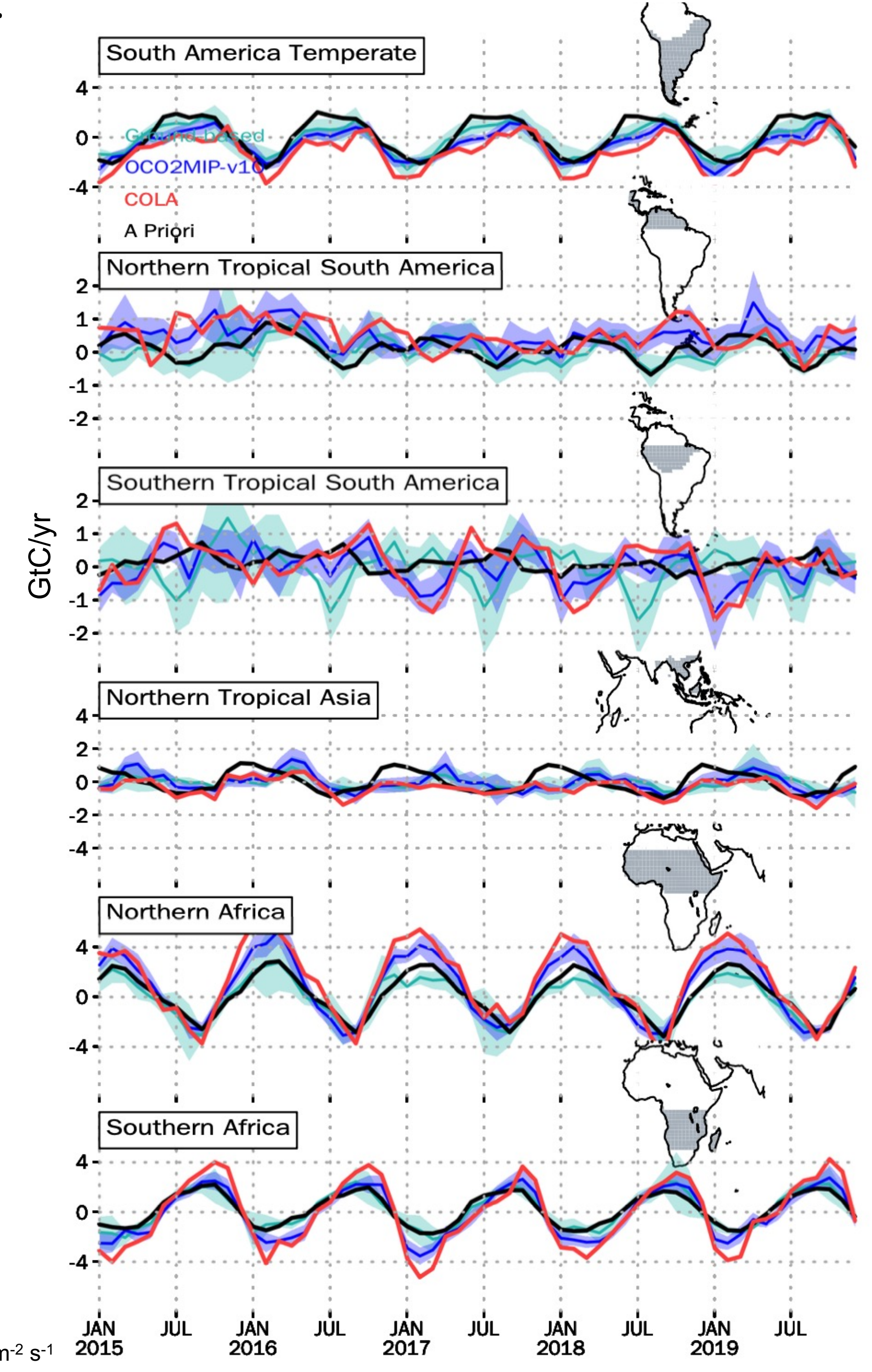


Figure 3: Regional and seasonal flux from 2015 to 2019 for the LNLGIS inversion and compared with ground-based inversions (CT, CT-EU, CAMS) and other OCO2MIP-v10 inversions.



## North America ( $0.5^\circ \times 0.625^\circ$ ) inversion results

a)  $0.5 \times 0.625$

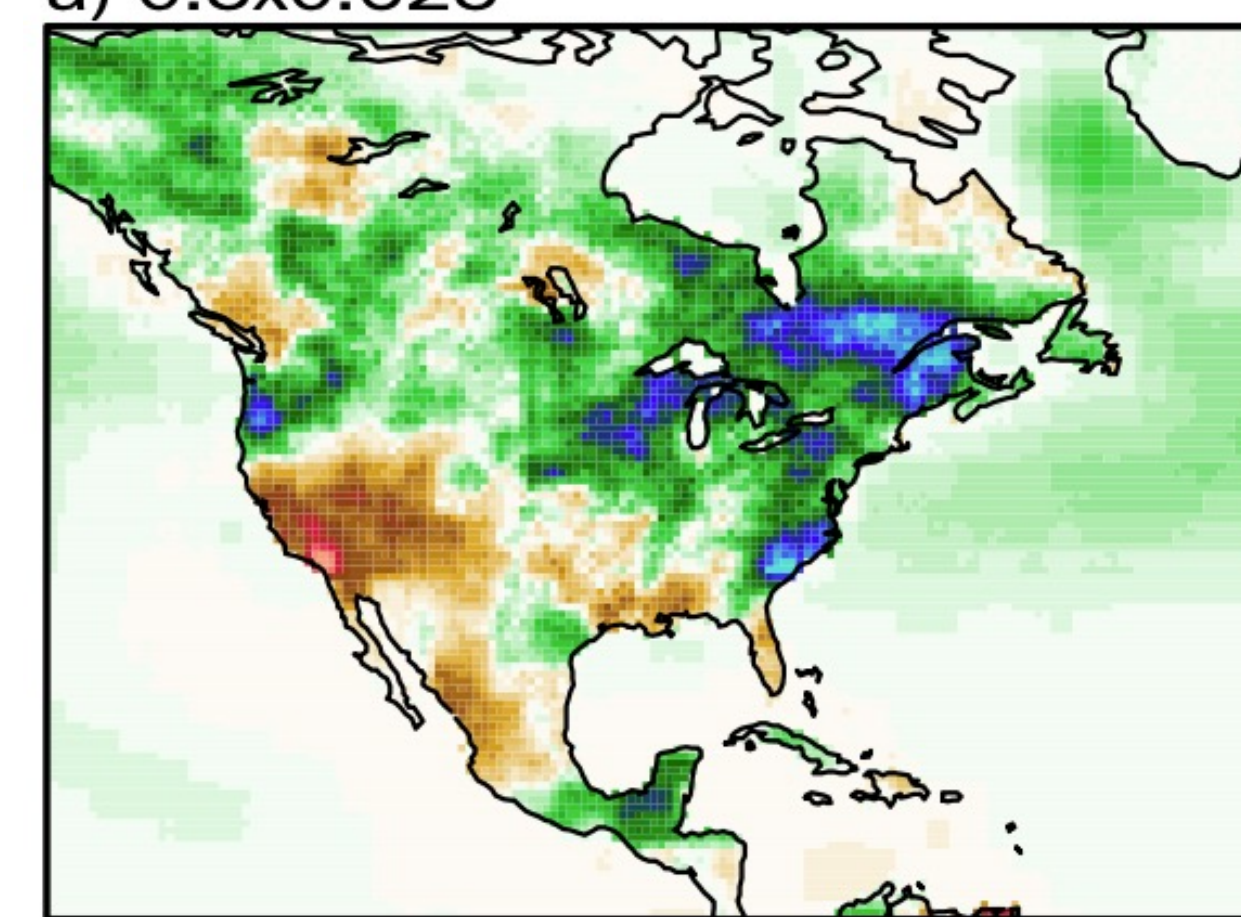
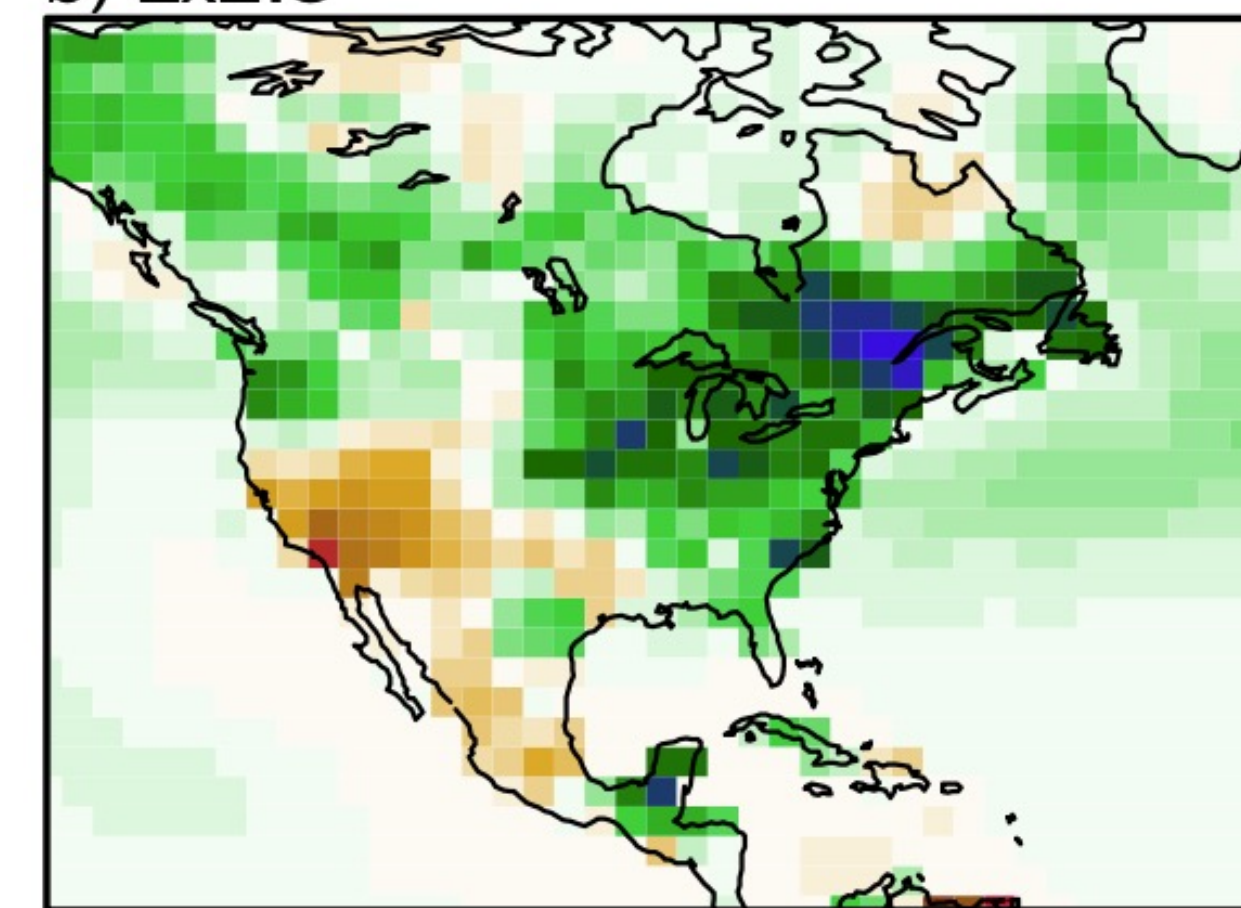


Figure 4: Annual mean fluxes at  $0.5^\circ \times 0.625^\circ$  and  $2^\circ \times 2.5^\circ$  resolution averaged from 2015 to 2016 using insitu together with OCO-2 LNLG data (LNLGIS).

b)  $2 \times 2.5$



c)  $0.5 \times 0.625 - 2 \times 2.5$

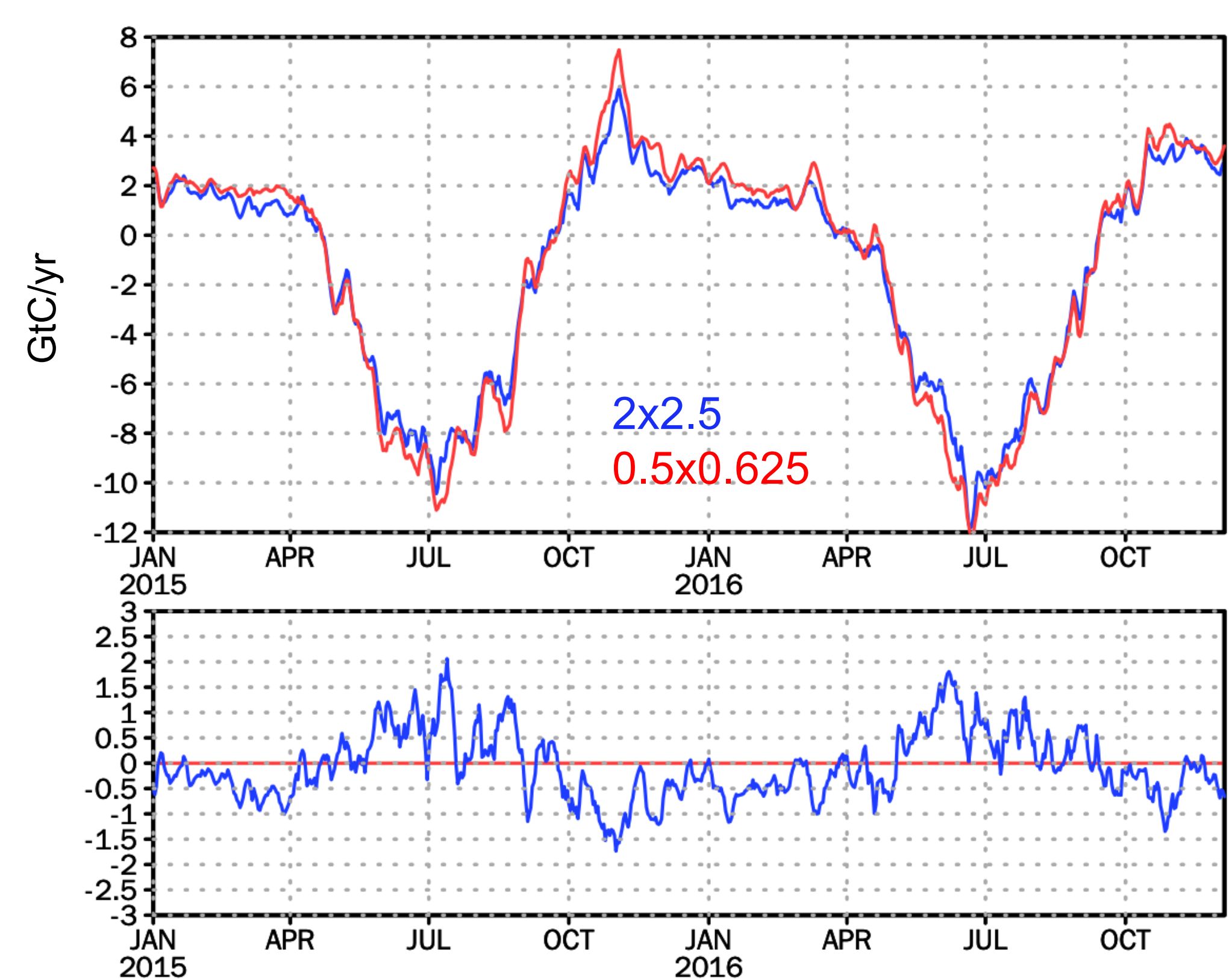
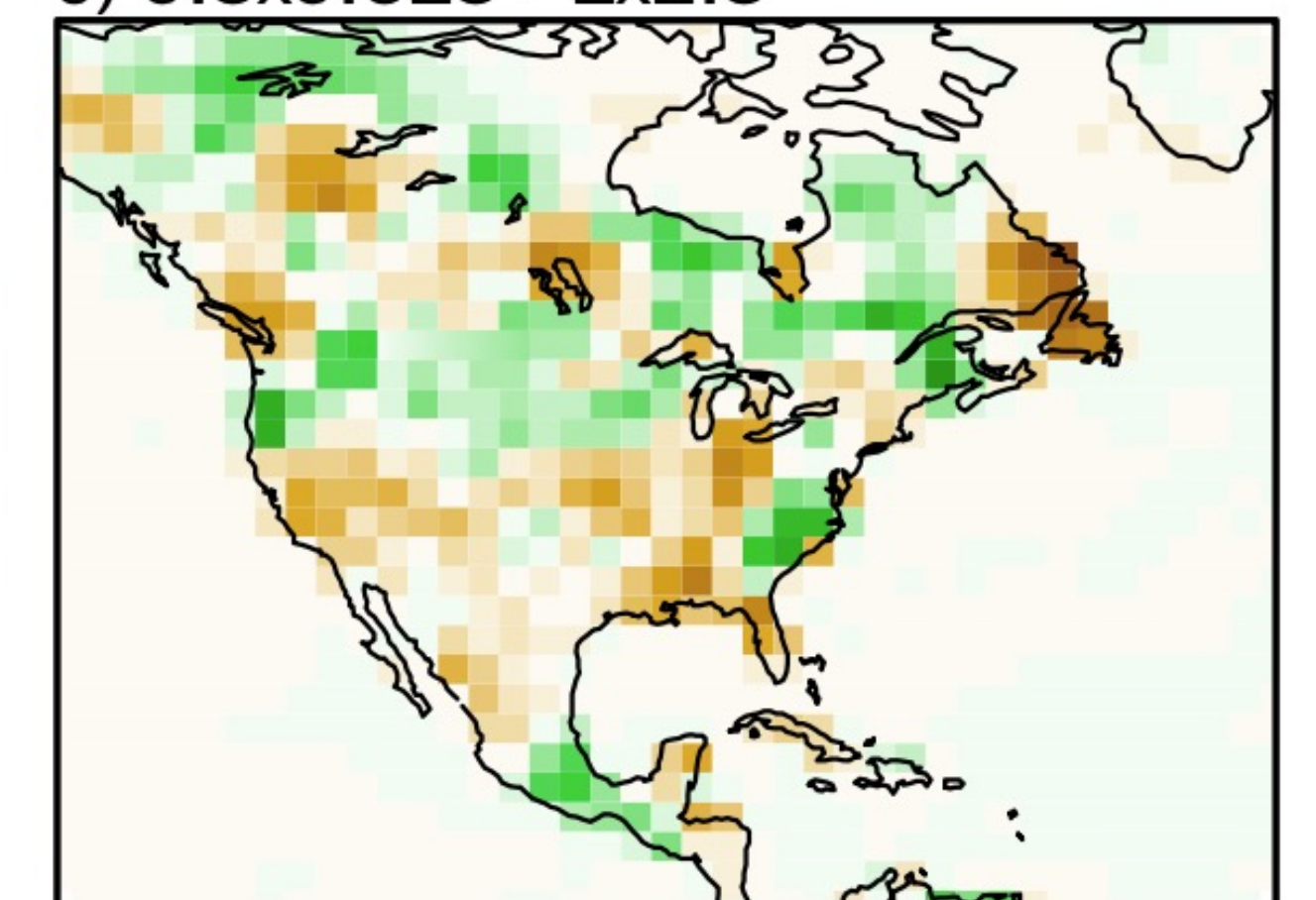


Figure 5: Daily NA total flux at  $0.5^\circ \times 0.625^\circ$  and  $2^\circ \times 2.5^\circ$  resolution and their difference.

## Summary

- We built a robust Carbon of Ocean, Land, and Atmosphere data assimilation system COLA. It involves several novel techniques for ensemble-based CO<sub>2</sub> data assimilation including CEnKF and RTPS.
- COLA is computational very efficient.
- COLA is a new model in the OCO2MIP-v10.

## Reference

Liu, Z., Zeng, N., Liu, Y., Kalnay, E., Asrar, G., Wu, B., Cai, Q., Liu, D., and Han, P.: Improving the joint estimation of CO<sub>2</sub> and surface carbon fluxes using a Constrained Ensemble Kalman Filter in COLA (v1.0), Geosci. Model Dev. Discuss. [preprint], <https://doi.org/10.5194/gmd-2021-375>, accepted, 2021.