



# Japan's Experience: The International Assessment and Review (IAR), with a Focus on Multilateral Assessment (MA)

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## Outline

- Overview of IAR process (Technical Review (TR) & Multilateral Assessment (MA) )
- 2. Japan's Experiences with regards to the response to Technical Review for the BR
- 3. Japan's Experiences with regards to the response to Multilateral Assessment
- 4. Lessons learned from IAR process



## **Overview of IAR process**

- Biennial Reports (BR) submitted by Annex I Parties are subject to the International Assessment and Review (IAR) in accordance with the Decision 2/CP.17.
- The IAR consists of two processes which are Technical Review (TR) and Multilateral Assessment (MA).
- The objectives of the IAR are, with a view to promoting comparability and building confidence,
  - Review of the progress made in achieving emission reductions,
  - Assessment of the provision of financial, technical and capacity-building support to developing countries, and
  - Assessment of emissions and removals related to quantified economy-wide emission reduction targets under the SBI.



## **Overview of Technical Review for the BR**

- The technical review (TR) for the BRs is conducted by the Expert Review Team (ERT) nominated from the UNFCCC roster of experts. The ERT produces Technical Review Report (TRR) as an output of the TR.
- The main purposes of TR are the following;
  - Provide a thorough and comprehensive technical review of BRs that are not covered in the annual GHG inventory review,
  - Examine whether information was submitted in accordance with the BR reporting GLs,
  - Undertake an examination of the Party's progress in achieving its reduction targets, and
  - Ensure that the COP has reliable information on the implementation of commitments under the Convention by each Annex I Party with a view to promoting comparability and building confidence.
- As a result of TR, the matters identified as "issues" are;
  - ✓ Transparency
  - ✓ Completeness
  - ✓ Timeliness
  - Adherence to the BR reporting GLs
- In the years when NCs and BRs are submitted together, both the NC and BR will be subject to an in-country review. In the years when only BR is submitted, the BR shall be subject to a centralized review.

### **Overview of Multilateral Assessment process**

- The scope of Multilateral Assessment (MA) is;
  - ✓ All emissions and removals related to reduction targets,
  - Assumptions, conditions and methodologies related to the attainment of reduction targets, and
  - Progress towards the achievement of reduction targets
- The process of MA entails "Q&A stage" and "WG session stage".

| Input  | Q&A stage   | WG session stage  | Output  |
|--|---|---|---|
| <ul> <li>✓ GHG<br/>inventory,<br/>BR and NC</li> <li>✓ Review<br/>reports</li> </ul> | <ul> <li>Parties submit<br/>questions through the<br/>webpage for MA<br/>under the website of<br/>UNFCCC. (The website<br/>is open three months<br/>before MA session)</li> <li>Party concerned<br/>responds to questions<br/>within two months.</li> </ul> | <ul> <li>The MA working group session is held under the SBI. Each Party make a presentation and Q&amp;A.</li> <li>One hour for each Party on average (Presentation: 10min, Q&amp;A: 50min)</li> <li>Min: 15min, max: two hours</li> </ul> | <ul> <li>A record including;</li> <li>✓ In-depth reports (IDR)</li> <li>✓ Summary report of the SBI</li> <li>✓ Compilations of Q&amp;As</li> <li>✓ Any Observations by Party</li> </ul> |



\*Reference: <u>http://unfccc.int/national\_reports/biennial\_reports\_and\_iar/items/8825.php</u>

## **Comparison between IAR and ICA**

| Elements                               | IAR for Annex I Parties  | ICA for Non-Annex I Parties   |  |
|--|--|---|--|
| Process                                | 1. Technical Review (TR)<br>2. Multilateral Assessment (MA)  | <ol> <li>Technical Analysis (TA)</li> <li>Facilitative Sharing of Views (FSV)</li> </ol>  |  |
| Objective                              | Assessment of reduction target   | Increase of transparency of mitigation actions<br>and their effects<br>* non-intrusive, non-punitive and respectful of<br>national sovereignty<br>** Appropriateness of such domestic<br>policies and measures is out of objective. |  |
| Implementation body of review/analysis | <b>Expert Review Team (ERT),</b> consists of UNFCCC Roster of Experts  | <b>Team of Technical Experts (TTE),</b> consists of UNFCCC roster of experts and member of CGE (Consultative Group of Experts)  |  |
| Output of review/analysis              | Technical Review Report (TRR)  | Summary report  |  |
| Input to multilateral process          | <ul> <li>GHG inventories, BRs and NCs</li> <li>Review reports of GHG inventories,<br/>BRs and NCs</li> </ul>   | <ul><li>BUR</li><li>Summary report of TA</li></ul>  |  |
| Output of multilateral process         | <ul> <li>A record including;</li> <li>In-depth report (IDR)</li> <li>Summary report of the SBI</li> <li>Compilation of Q&amp;As</li> <li>Any Observation by Party</li> </ul> | <ul> <li>Summary report</li> <li>Record of FSV</li> </ul>   |  |

#### Japan's Experiences with regards to response to TR (Overview of TR for Japan)

- Japan submitted its 6<sup>th</sup> National Communication (NC6) and 1<sup>st</sup> Biennial Report (BR1) to the UNFCCC at 27<sup>th</sup> December, 2013.
- The TR for BR1 and in-depth review for NC6 were conducted from 6 to 10 Oct, 2014, in Tokyo (incountry review).



#### **Overview of schedule of Japan's TR and in-depth review**

|     | Jul-Sep, 2014   | 6-11 Oct                             | 12 Oct -5 Jan        | 5 Jan- 30 Jan                                  | 31 Jan-27 Feb  | 27 Feb 2015             |
|-----|---|--------------------------------------|----------------------|--|--|-------------------------|
| ERT | Send<br>preliminary<br>questions<br>to Japan  | In-country<br>review<br>(1 week)     | Prepare<br>draft TRR |  | Consider<br>comments<br>from<br>Japan and<br>revise the<br>draft TRR | Published<br>the TRR at |
| JPN | <ul> <li>✓ Prepare presentations</li> <li>✓ Consider answers to<br/>preliminary questions<br/>from ERT</li> <li>✓ Examine supposed<br/>questions and<br/>prepare answers</li> </ul> | Presentations<br>and Q&A<br>sessions |                      | Check the<br>draft TRR<br>and send<br>comments |  | the UNFCCC<br>website   |

#### Japan's Experiences with regards to response to TR (Details of the preparation)

In advance of the TR, the Ministry of Environment of Japan (MOEJ) conducted the following work as preparation for the TR.

| Preparation item   | Details   |
|--|---|
| Analysis of other Annex I parties' TRR                                     | Examine what recommendations and encouragements were provided in other Parties' TRR.  |
| Mock technical review by Japanese reviewer                                 | Asked Japanese reviewer who has experiences of TR for other<br>Party to review the Japan's BR1 and provide supposed questions<br>from the ERT.  |
| Joint meeting with the relevant ministries                                 | Held a joint meeting with the relevant ministries to share the objective, process and schedule of the TR and consider what preparation was necessary for upcoming in-country review week. |
| Preparation of answers to preliminary<br>questions from ERT                | Considered and prepared answers to preliminary questions raised by ERT in cooperation with the relevant ministries.   |
| Preparation of presentations for each reporting elements                   | Prepared and/or asked the relevant ministries to prepare presentations for each reporting elements of BR/NC for the presentation sessions during review week.                             |
| Consideration and preparation of answers to<br>supposed questions from ERT | Considered and supposed questions which may be asked by the ERT, and prepared answers to them.  |

- Approx. 25 presentations were made by Japan and 20 Q&A sessions for each reporting element of BR/NC were held during the review week.
- More than 120 persons from the MOEJ (Ministry of the Environment) and relevant ministries participated in the in-country review session.

#### Japan's Experiences with regards to response to MA (Overview of MA for Japan)

- Japan experienced the first-time MA process at SBI42 in June 2015.
- Timeline of the MA and preparation by Japan is the following.



#### **Overview of schedule of MA**

|        | 1 to 31 March   | 1 April to 28 May      | 28 to 31 May   | 4 June | June - August | End of August       |
|--------|---|------------------------|--|--------|---------------|---------------------|
| UNFCCC | Submission of<br>questions by<br>Party using the<br>MA portal                             |                        | Upload of<br>compiled<br>questions and<br>answers onto<br>individual Party<br>page |        |               | Publication         |
| JPN    |   | Preparation of answers |  | MA     |               | of Party<br>records |
| JPN    | <ul> <li>Prepare preser</li> <li>Prepare referent</li> <li>for Q&amp;A session</li> </ul> | nce information        |  |        |               |                     |

### Japan's Experiences with regards to response to MA (Response to preliminary questions)

During between 1 and 31 March 2015, Japan received 32 questions from 10 Parties.

| Category                           | Number of<br>Questions |
|------------------------------------|------------------------|
| Details of JCM                     | 9                      |
| Prospects in achieving 2020 target | 5                      |
| Revision of interim 2020 target    | 5                      |
| Emission trends                    | 4                      |
| Effects of policies and measures   | 3                      |
| Details of policies and measures   | 2                      |
| Projections of LULUCF              | 2                      |
| Other                              | 2                      |

| Party        | Number of Questions |
|--------------|---------------------|
| Brazil       | 8                   |
| China        | 5                   |
| EU           | 5                   |
| NZ           | 5                   |
| US           | 3                   |
| Switzerland  | 2                   |
| Australia    | 1                   |
| Belgium      | 1                   |
| UK           | 1                   |
| Saudi Arabia | 1                   |

- The MOEJ analyzes all questions, and asks relevant ministries/departments to prepare the draft answers.
- The MOEJ compiles and finalizes all answers after the coordination between relevant ministries/departments, post them using the MA portal at 27 May.



### Japan's Experiences with regards to response to MA (Preparation of the presentation)

#### (Preparation of Presentation)

- Japan considers the contents of presentation which Japan would make at the MA session using presentations of other Parties which were made at SBI41, December 2014 as a reference.
- The concepts of presentation are;
  - Including information on each reporting element of the BR in a comprehensive and well-balanced manner.
  - Explaining Japan's circumstances that we are facing in a careful manner.
- The MOEJ prepared the first draft presentation, and finalized after coordination with the relevant ministries.

#### (Preparation for Q&A session)

- The MOEJ considers supposed questions based on examples of Q&A at the previous MA session at SBI41 for other Parties.
- The MOEJ prepares answers to the supposed questions and reference information in cooperation and coordination with the relevant ministries.





### Japan's Experiences with regards to response to MA (at SBI42, 4 June 2015)

- The MA session for Japan was held at 4 June 2015 during the SBI42 in Bonn, Germany.
- Mr. Hideaki Mizukoshi, Deputy Director-General, Ministry of Foreign Affairs made a presentation\* as the representative of Japan.
- The time for Japan's MA session was about 50 minutes. Japan received a lot of questions from 10 Parties such as China, Brazil, UK, Canada, NZ etc.
- The main questions from other Party are the follows;
  - ✓ Timing and method of revision of 2020 target
  - Prospects of restart of nuclear power plants until 2020 and their effects
  - ✓ Possible amount of use of credits from JCM
  - Use of credits from market based mechanism under the UNFCCC
  - Details and their mitigation impacts of individual policies and measures

The preliminary preparation such as consideration of supposed questions and answers was very helpful for answering questions at MA.







\* Japan's presentation file can be downloaded from http://unfccc6.meta-fusion.com/sb42/events/2015-06-03-15-00-sbi-multilateral-assessment-workinggroup-session/japan-4

## **Lessons learned from IAR process**

- Japan could obtain useful suggestions on the quality improvement of BR2 which will be submitted by the end of 2015 through communication with the ERT and other Parties during the IAR process.
- Japan could explain Japan's climate change policies to the international society through the presentation and Q&As at MA. MA is a valuable opportunity that a Party can explain its climate change policy and national circumstances at an official and open place under the UNFCCC.
- Japan's institutional arrangement such as the cooperation and collaboration between MOEJ and relevant ministries for the reporting and policy development was enhanced. Furthermore, staffs who are in charge of the preparation of reporting could better understand the UNFCCC process and domestic circumstances on climate change policy.
  - Party could gain various fruitful learning from the IAR process by trying to utilize this opportunity positively.
  - The objective of ICA for developing countries is different from that of IAR. However, developing countries could gain useful experiences through the ICA process in the same way as Japan since the process of both are similar.
  - It is preferable that each country aim to introduce its actions to tackle climate change to international society as much as possible, enhances its institutional arrangement and capacity related to the reporting, and improves the quality of the future BUR and NC using this valuable opportunity.



# Thank you for your attention !



