The 14<sup>th</sup> Workshop on GHG Inventories in Asia (WGIA14) - Capacity building for MRV

# Experiences on ICA: TA and FSV

ZHU Songli Energy Research Institute, NDRC, China 26<sup>th</sup> - 29<sup>th</sup> July, 2016; Best Western Premier Tuushin Hotel, Ulaanbaatar, Mongolia

### Content

- 1. Experience from 1<sup>st</sup> Technical Analysis (TA) of BUR;
- 2. Observation on 1<sup>st</sup> workshop for the Facilitative sharing of views (FSV)
- 3. General comments

### 1. Experience on TA

#### My role in the 1<sup>st</sup> TA

▶ Time: May 18-22, 2015.

- Party analyzed: South Africa, Korea and Vietnam;
- ▶ My role: expert on GHG inventory; one of co-leads.



#### **General feeling**

- 1. Very fresh!
- 2. 3 Key points from UNFCCC are very helpful:
  - Make both TTE and Party fruitful and enjoyable;
  - Your personal capacity ≠ party's opinion; seek party's agreement.
  - Capacity building identification.
- 3. The Secretariat is well-prepared for the process.
  - Organization of the training and exams of the experts;
  - The expanded team of the Secretariat assisting the TTEs (2-3 coordinator for each team);
  - Stocktaking meetings among groups; mitigation part
  - Telephone conference with analyzed parities
  - Time-keeping of the summary report
  - QA/QC of the summary report

#### Major findings and feedback to the TA process

- 1. The capacity among non-annex I parties are very differentiated.
- 2. The way that the party involve in the process is different:
  - The way to raise the questions;
  - the response of analyzed parties to the raised questions;
  - Provision of additional material/information;
  - ▶ The comments from parties should be well-considered and incorporated into the SR.
- 3. The draft of summary report is very different
  - No recommendation but capacity building identification;
  - ► Wording!
- 4. The challenges to GHG experts are significant;
  - Scope;
  - Analysis VS Review
- 5. Analysis of effects of mitigation are of complex; the submitted reports are too different to have a uniform storyline.
- 6. The guidelines on cross-cutting issues are not quite clear.

### How parties can better prepare

#### Before the analysis:

- In-depth understanding on the reporting guidelines
- a good BUR (complete and transparent) meeting the requirements of guidelines, as detailed as possible;
- (Reporting guidelines(decision 2/cp17) are not perfect)
- During the analysis week: it is always good to response to the questions raised by TTE for a better understanding on national circumstance, capacity building needs and better reporting next time.
- After the analysis: pay attention to the points to be improved.

#### How TTE analyze BUR: Modalities and procedures of the TTE

- 1. Annex IV to decision 2/CP.17 (Modalities and guidelines for ICA)
- 2. Annex to Decision 20/CP.19 (Composition, modalities and procedures of the team of technical experts under ICA)
- 3. Para.15 of 20/CP,19
  - a) Identify the extent to which the elements of information listed in paragraph 3(a) of the guidelines contained in decision 2/CP.17, annex IV, are included in the BUR of the party concerned; (completeness)
  - b) Undertake a technical analysis of information contained in the BUR as outlined in the annex III to decision 2/CP.17, and any additional technical information that may be provided by the party concerned; (transparency, as well as the choice and application of IPCC methods)
  - c) In consultation with the party concerned, identify capacity-building needs in order to facilitate reporting in accordance with annex III to decision 2/CP.17, and participating in ICA in accordance with annex IV to decision 2/CP.17, taking into account Article 4, paragraph 3, of the Convention. (capacity building needs identification)

#### Taking GHG inventory as an example: First step: completeness check

- 1. Completeness checklist table provided by the Secretariat.
- 2. Choices:
  - > Yes
  - No: need explanation
  - Partly: need explanation
  - NA (not applicable): need explanation: using 2006 Guidelines for instance
- points tending to be "incomplete"
  - Updated data on AD by category;
  - Table 1, table 2, Sectoral tables;
  - Emission reported in unit of CO2-eq, but not in physical unit;
  - International bunker;
  - Uncertainty analysis
  - Emission of indirect GHG and other gases;

In some cases, the party concerned provided relevant information during the analysis week or even after, without containing in submitted BUR. It helps but will not change our conclusion.

### Second step: in-depth analysis (general)

- 1. Has the party provided an adequate description of the methodologies it used?
  - ▶ IPCC GPG should be used: Decision tree, key category should be reported;
  - KCA: very helpful for application of tier method and mitigation actions and their effects;
  - The application of IPCC 2006 guidelines are commended; results should be presented by table 1 and table 2 for comparability.
  - ► GWP used?
- 2. Has the party provided an adequate description of sources of AD, EFs?
  - Points: NIR?
- 3. Using of notation keys? And any improvement plan?
- 4. If time-series data and/or summary information on previous inventory years are reported, are methods, data source and output consistent? Has the recalculation done or plan to do?
  - Comparison with previous NC report;
- 5. Any QA/QC plan is incorporated?

### Second step: in-depth analysis (sector-specific

- 1. Sectoral approach and reference approach for CO2 emission?
- 2. Disaggregation of domestic and international bunker emission?
- 3. Allocation of energy emission and IP emission?
- 4. Coverage of IP

7. .....

- 5. F gases emission by type of gas?
- 6. Cross-check agriculture data with FAO

### Third step: Capacity building identification

- 1. The capacity building needs will be determined by close collaboration with party and will vary depending on national circumstances;
- 2. Any identified deficiencies are treated as potential area for future capacitybuilding, to be confirmed by the party.
- 3. VNM as an example:
  - Moving to higher tier for key categories by developing country-specific data and identification more data sources.
  - Improvement of reporting;
  - Conducting and reporting uncertainty analysis;
  - Improving completeness

### Difference with inventory review for Annex I parties

- 1. Scope
- 2. Depth: TTE will not go in-depth to detailed data, only if the deficiencies are quite significant;
- 3. Methodologies applied are key
- 4. Requirements on TACCC;
- 5. Report drafting:
  - Strictly following the guidelines: completeness and transparency of the information reported in the BUR;
  - ► Wording:
  - Keep in mind the voluntary nature of some of the information, as well as the capacity constraints faced by the Party.

### Interaction with party concerned

- 1. Very important!
- 2. In cases where issues regarding completeness or transparency arise, it is always advisable to first seek clarification with the party concerned
- 3. Good response from Parties → in-depth understanding → good capacity building identification;
- 4. Comments from party concerned on draft SR should be seriously considered.

### Observation on 1<sup>st</sup> FSV

- 1. Time: May 20-21, 2016 (SB44);
- Parties joined: Azerbaijan, Bosnia and Herzegovina, Brazil, Chile, Ghaha, Namibia, Peru, Korea, Singapore, South Africa, Macedonia, Tunisia, Viet Nam





#### **General feeling**

- 1. Very fresh!
- 2. Similar to MA for Annex I parties;
- 3. The atmosphere is very friendly; questions are focusing on capacity building.
- 4. Time slot is quite flexible: 20-60 min;
- 5. Presentations cover both main content of BUT and answers to written questions.

#### Major questions on institutional arrangements

- What efforts have been made to ensure the submission of BUR (and GHG inventory)? How to make all these work institutionalized?
- 2. How the make sure the engagement of all stakeholders?
- 3. What are the challenges for the institutional arrangement? How were they addressed and what are the experiences?

#### Major questions on GHG inventory development

- 1. How were the applied methodologies selected?
- 2. If 2006GLs were used, what were the challenges that have been addressed and how? If 2006GLs were not used, what are the major challenges?
- 3. If time-series inventory were provided, what are the experiences that could be shared for other developing countries?
- 4. How did the GHG inventory support the design of mitigation policies?
- 5. How to establish the stable data-flow? How to coordinate the work among different ministries and departments? How to ensure the involvement of private sectors?
- 6. How to organize the QA/QC procedure?
- 7. What are the major improvement plan?
- 8. How to development country-specific EFs?

Major questions mitigation policies and its effects

- 1. What are the progress of NAMAs? How to ensure the transition of NAMAs to INDC;
- 2. Which policies are the most important?
- 3. How the provide more quantified reduction estimation in future? What are the major challenges?
- 4. How the ensure the participation of all stakeholders?
- 5. What are the co-benefits and trade-off the mitigation policies?

#### 3. General comments

- 1. ICA is a learning by doing process.
- 2. The purpose of ICA is to help and make improvement, not let you down.
- 3. Active participation is crucial to make the process improved, including guidelines and quality of BUR and inventories.

## Thanks for your attention.

zhusongli@eri.org.cn