

International Workshop on “Inventory, Modeling and Climate Impacts of Greenhouse Gas emissions (GHG’s) and Aerosols in the Asian Region”

**Particulate Matter concentration estimation from
satellite aerosol and meteorological parameters
- a case study from Hanoi, Vietnam**



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Outline



- Introduction
- Related work
- Methodologies
- Experiments and Results
- Conclusion

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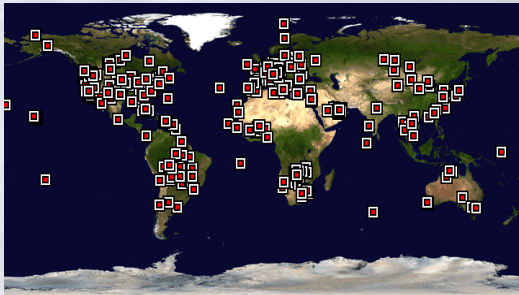


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Introduction



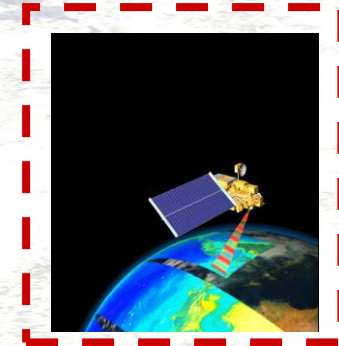
- Aerosol Optical Thickness/Aerosol Optical Depth



*AERONET sites
distributed over world*



*C-130 aircraft in ACE-Asia
Campaign 2001 (UCAR/NSF)*



*MODIS instrument on Terra
satellite*

- Particulate Matter concentration

- PM₁/2.5/10
- Direct measurements
- **In-direct estimation**
 - ✦ **Meteorological data**
 - ✦ **Aerosol**

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Related Work

Location	PM	AOT/AOD	Met.	Method	R ²	Ref.
Italy/LA/Beijing	PM ₁₀	AERONET ^{1,5}		LR	0.62	Chu et al. (2003)
US	PM _{2.5}	MODIS ⁴ MODIS ³	x	MLR	0.49 0.96	Wang et al. (2003)
US	PM _{2.5}	MODIS ⁴		LR		Engel-Cox et al. (2004)
France	PM _{2.5}	POLDER		LR	0.3	Kacenelenbogen et al. (2006)
France	PM ₁₀	AERONET		LR	0.27/ 0.76	Pelletier et al. (2007)
Neitherland	PM _{2.5}	AERONET ^{1,5} MODIS	x	LR	0.57 0.52	Schaap et al. (2009)
US/HK/SYD/SWIT /Delhi/NY	PM _{2.5}	MODIS ³ MODIS ⁵	x	LR/MLR/ NN		Gupta et al. (06, 08,09)
Nanjing, China	PM ₁₀	MODIS	x	MLR	0.21 - 0.74	Zha et al. (2010)
ER, Italy	PM ₁₀	PMMAPPER		Kriging		Campalani et al. (2011)
Peninsular Malaysia	PM ₁₀	MODIS	x	LR/MLR	0.79	Yap et al (2013)
Austria	PM ₁₀	PMMAPPER	x	SVR	0.77-0.91	Hirtl et al. (2013)

Related Work

- City of Hanoi, Vietnam

- Coordinates: 21°2' N, 105°51'E
- Area: 3,344.7 km²
- Population (2009): 6.5 million

- Air Quality monitoring in Hanoi

(Hien et al, 2002, 2004), (Sarath Guttikunda, 2008), Cohen et al. (2009)

- **MONRE**: Collected hourly concentration of pollutants in the air in 2003 and estimated of traffic emission with resolution of 1x1 km
- **JICA**: Monitored 24 hour concentration of pollutant in the air at traffic intersections during August, 2005
- **SVCAP**: Operated passive sampler network for Jan and Feb, 2007
- **DONREH**: Monitored hourly pollutant concentration at urban centers, industrial areas, and streets during several months of 2006-2007
- **CENMAL**: Conducted monitoring from March to June 2007 at 6 industrial areas and 13 urban areas

Objectives



- Will the usage of satellite aerosol improve PM estimation accuracy?
- Which approach will be appropriate for PM estimation from satellite aerosol and meteorological data **in site domain**?
- Which approach will be appropriate for PM estimation from satellite aerosol and meteorological data **in map domain**?
- How to validate PM maps?

Outline

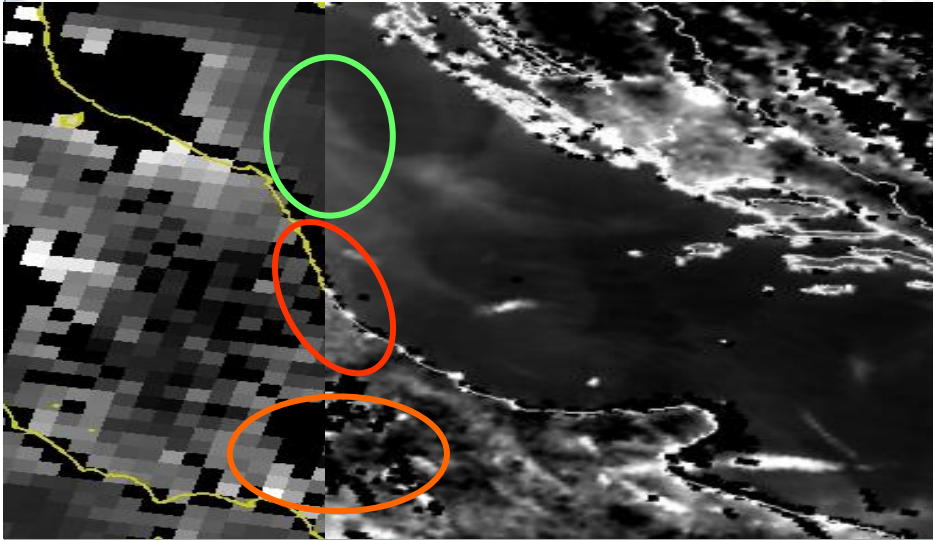


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Data Collection

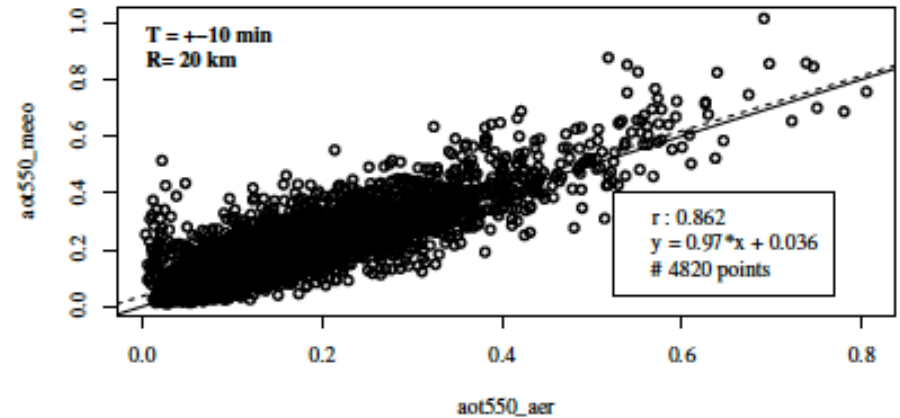
- Satellite-based aerosol
 - MODIS AOT: MOD04 L2
 - PMMAPPER aerosol product (*Nguyen et al., 2010; Campalani et al., 2011*)
 - ✦ 1x1 km of spatial resolution
 - ✦ Validated over Europe areas (2007-2009, 5500 granules, ~170 AERONET sites)

MODIS vs. PM MAPPER

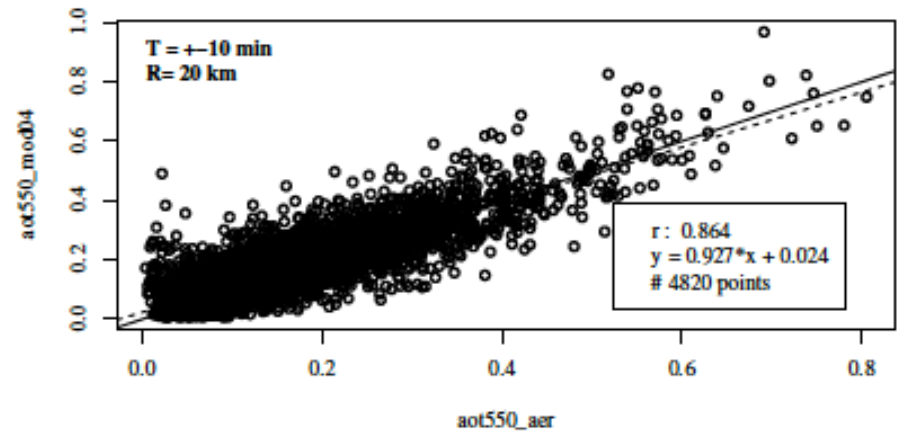


Overlay of MODIS AOT map @ 10x10 km² (left) and PM MAPPER AOT map @ 3x3 km² (right)

[PM MAPPER-AERONET] Scatterplot



[MODIS-AERONET] Scatterplot



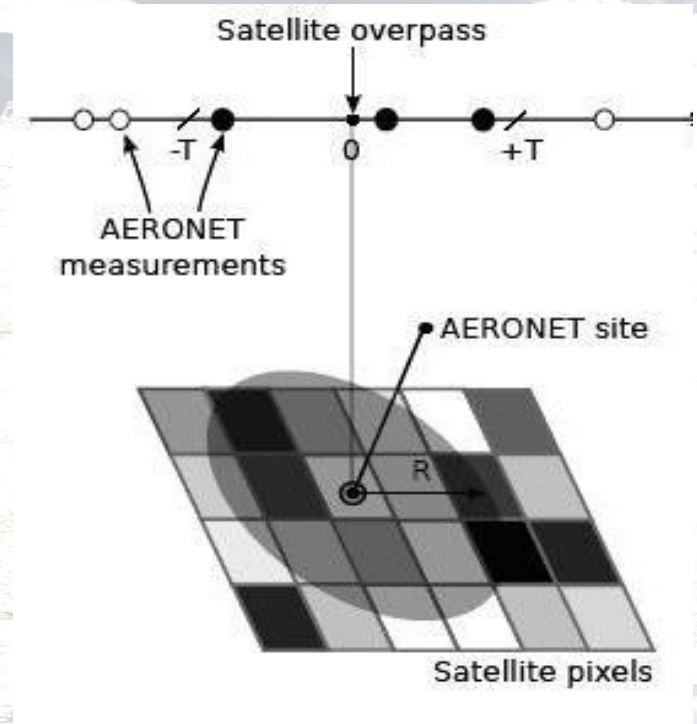
Data Collection



- Ground-based aerosol
 - AERONET
 - Nghiado station, Hanoi
 - AOT in various wavelengths: 0.340, 0.380, 0.440, 0.500, 0.675, 0.870, 1.020, and 1.640 μm in interval of *15 minutes* in average.
- Ground PM concentration and meteorological data
 - PM₁, PM_{2.5}, PM₁₀ (*24 hour average*)
 - Wind speed (Wsp), Temperature (Temp), Relative Humidity (Rel_H), pressure (Bar) and Radiation (Rad) (*hourly average*)
 - Provided by Center for Environmental Monitoring (CEM), Vietnam Environment Administration

Data Integration

- Constraints (Ichoku, 2002)
 - Collocate in space (R)
 - Synchronize in time (T)
- Optimal thresholds (R, T) are selected by experiments
- Integrated datasets
 - PMMAPPER AOT and AERONET AOT
 - ✦ Validate PMMAPPER AOT product
 - PMMAPPER AOT and PM₁/2.5/10, meteorological parameters
 - ✦ Modeling and testing process of PM estimation



Spatial-temporal window for extracting satellite/ground-based measurements

Modeling Techniques



- Problem statement

Given a training dataset including l samples:

$$\{(x_1, y_1), \dots, (x_l, y_l)\} \hat{=} X' Y \text{ where } X \hat{=} R^n, Y \hat{=} R$$

The modeling process will find an appropriate function f that minimize error ϵ . The general form of a model would be:

$$Y = f(X) + \epsilon$$

- Linear Regression (LR)/Multiple Linear Regression (MLR)

$$Y = b_0 + b_1 X_1 + \dots + b_n X_n + \epsilon$$

The problem is to estimate b_i as that which minimizes the sum of the square error, $e^T e$

Modeling Techniques



- **Support Vector Regression (SVR)**
 - Proposed by Vapnik, 1995
 - Based on structural risk minimization principle from computational learning theory ~ finding maximize regression margin hyperplanes in feature space
 - Compared with ANN (Artificial Neural Network), SVR has main advantages as follows:
 - ✦ The SVR solution may be a global optimum than a local optimum as ANN's
 - ✦ The SVR may minimize the risk of over-fitting.

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Satellite aerosol validation

- **Data:**

- From Dec. 2010 to Nov. 2011
- PMMAPPER AOT maps cover Hanoi, Vietnam
- AERONET AOT collected at Nghiado station in Hanoi

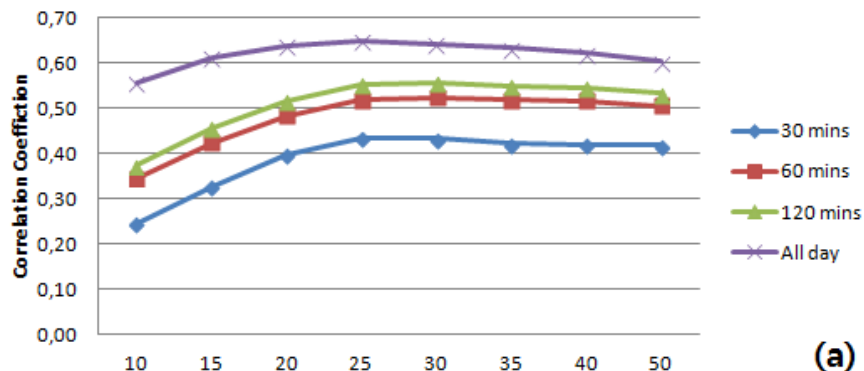
- **Integration**

- Temporal windows $T=30, 60, 120$ minutes or 24 hours
- Spatial windows $R=10, 15, 20, 25, 30, 35, 40, 50$ km

- **Results**

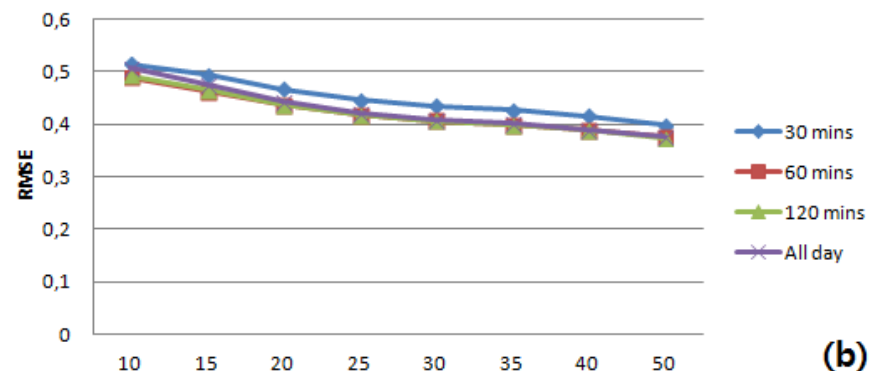
- The best match would be happened with $T=24$ hours and $R=25$ km
- $R = 0.648$ and $RMSE=0.421$ ($RMSE\% = 37.4$)

PMMAPPER AOT - AERONET AOT
Correlation Coefficient



(a)

PMMAPPER AOT - AERONET AOT
Root Mean Square Error



(b)

PM Estimation



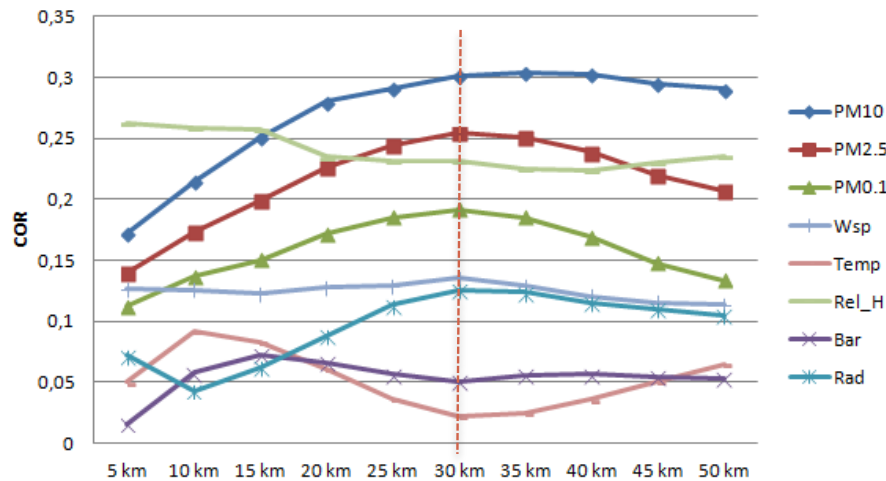
- **Threshold selection**
 - Identify spatial and temporal thresholds for integration data in order to obtain samples for the PM₁/2.5/10 modeling step.
- **Investigate important factors to PM₁, PM_{2.5}, PM₁₀ estimation**
- **PM estimation using MLP and SVR**
 - Estimators of different types of particle mass concentration (PM₁, PM_{2.5} and PM₁₀)
 - Role of satellite AOT
 - Performance of two regression methodologies

Threshold selection



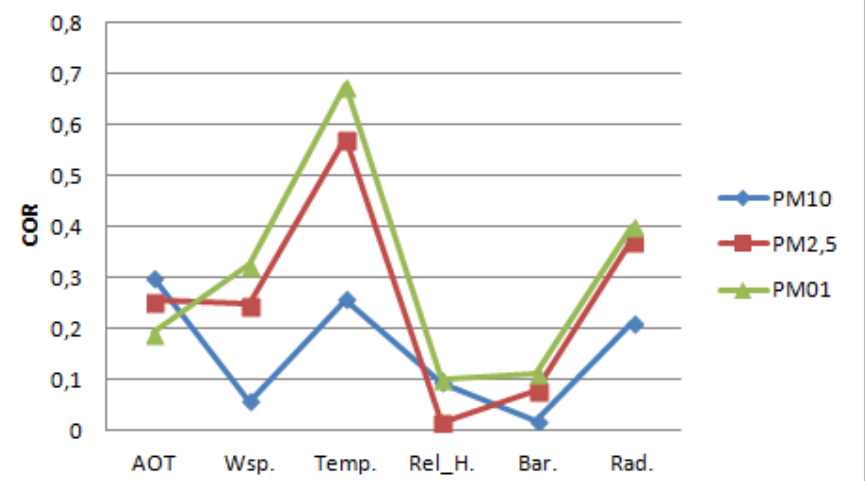
- Data were collected from August 2010 to July 2012:
 - Daily AOT maps at 1 km²,
 - Daily particulate matter concentration (PM₁, PM_{2.5}, PM₁₀)
 - Hourly meteorological parameters (wind speed, temperature, relative humidity, pressure and sun radiation)
- Temporal and spatial windows
 - The nearest time - T₁, average of two nearest times - T₂ and average of four nearest times - T₃
 - R=5, 10, 15, 20, 25, 30, 35, 40, 45 and 50km

Threshold selection & factor assessment



Correlation Coefficients in distance between satellite AOT and other factors

- Temporal and spatial thresholds for integration of satellite and ground measurements are
 - The nearest time T_1
 - $R=30\text{km}$



Correlation coefficients between PM1/2.5/10 and other factors in the selected dataset.

- PM and AOT correlation increase in the order of their aerodynamic diameters (i.e. 1, 2.5 and then 10 μm)
- Whereas, PM and Wsp, Temp, Bar, Rad correlation decrease in the order of PM mass sizes

PM Estimation Using MLP and SVR



- Datasets

- PM1/2.5/10 estimators

- ✦ Year 1

- With AOT

- Without AOT

- ✦ Year 2

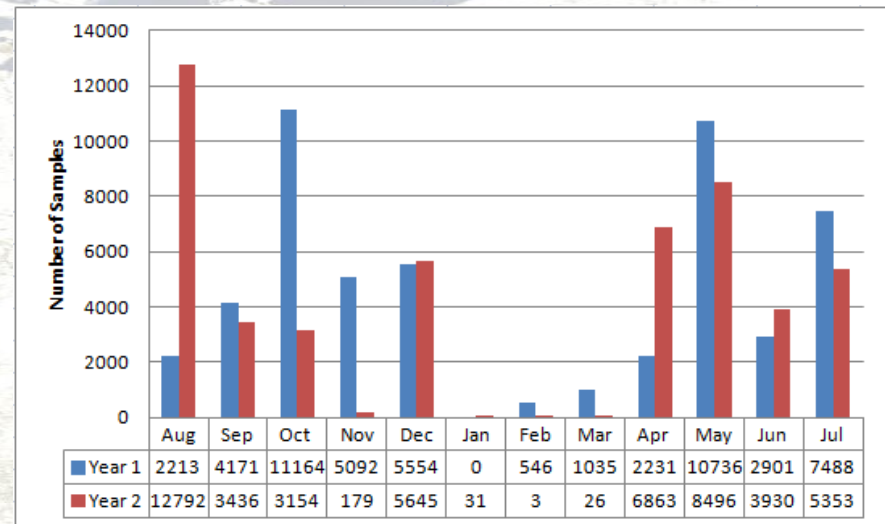
- With AOT

- Without AOT

- Modeling

- One year data for modeling and another year for validating

- Using MLP and SVR



Statistics on total datasets

PM Estimation Using MLP and SVR



PM ₁₀	MLR w/o AOT	MLR w AOT	SVR w AOT
COR	0.038	0.174	0.239
RMSE	109.225	96.656	74.935
PM _{2.5}			
COR	0.429	0.598	0.593
RMSE	40.836	31.071	31.674
PM ₁			
COR	0.608	0.659	0.694
RMSE	24.591	22.939	22.349

- PM₁ and PM_{2.5} can be estimated well by both methods while PM₁₀ estimation is worst much.
- The use of satellite AOT in PM_{1/2.5/10} prediction is able to improve regression correlation and accuracy significantly
- SVR is better than MRL for PM₁₀ and PM₁ estimation. Meanwhile, MRL and SVR perform in nearly same way for PM_{2.5} estimation

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Conclusion



- Case study in Hanoi, Vietnam for PM₁/2.5/10 estimation from satellite AOT and meteorological parameters using MLR and SVR techniques
- The thresholds for combination of satellite and ground-based measurements should be selected by experiments.
- Estimation quality decreases by PM₁₀, PM_{2.5} and PM₁ as results of loose relationship of PM₁₀ on meteorology parameters in comparison with PM_{2.5} and PM₁
- The use of satellite AOT in modeling is able to improve all PM estimators' accuracy significantly.
- SVR outperforms MLP. It should be a good method for PM estimation

Q & A



THANK YOU FOR YOUR ATTENTION

