

Inverse modeling of long-term CO emission in China with Green's function method and forward sensitivity

Keiya YUMIMOTO

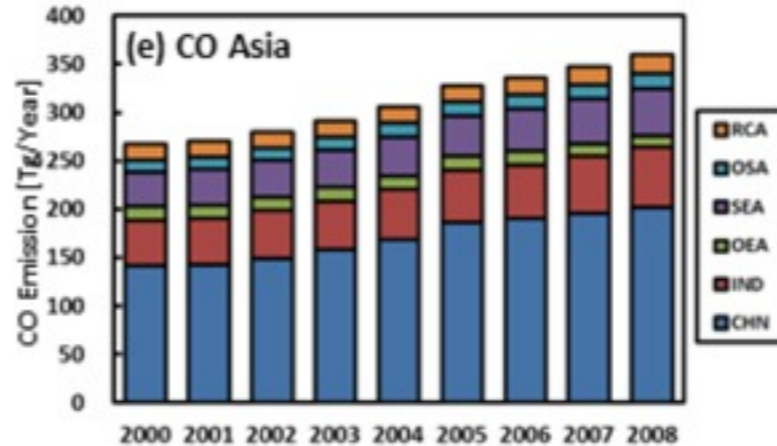
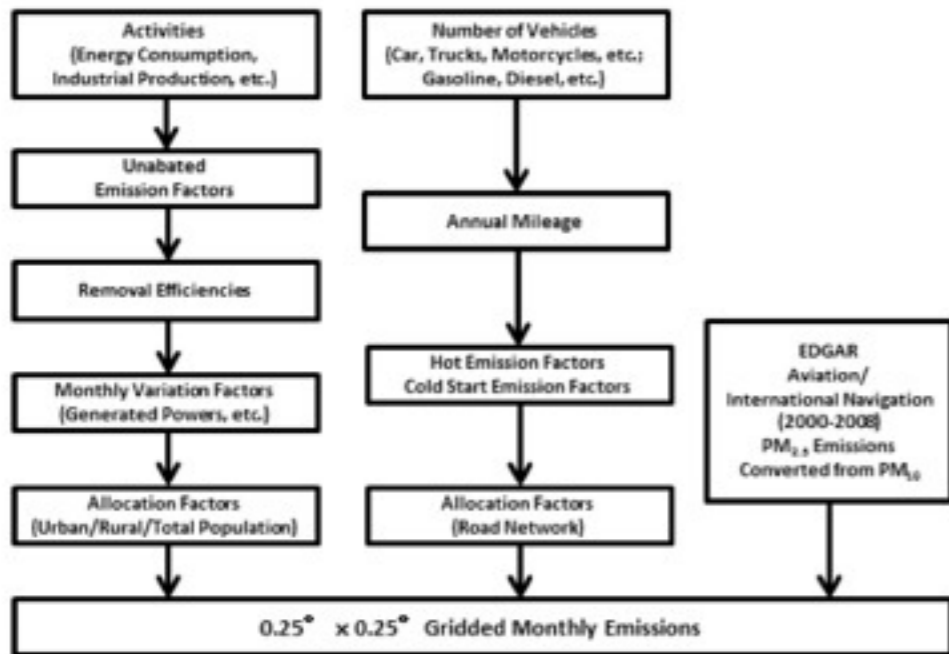
Meteorological Research Institute (MRI), Japan Meteorological Agency, Japan

Itsushi UNO

Research Institute for Applied Mechanics, Kyushu University, Japan

1. Introduction

(a) Stationary and Mobile Sources



Emission Inventory

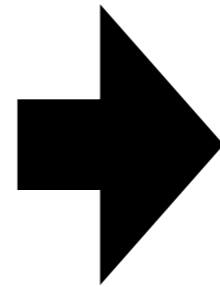
INPUT

CTM

Kurokawa et al. 2013

statistics and emission factors etc.

events and time variations
(biomass burning and socioeconomic conditions etc.)



Uncertainties

±70% (CO), ±31% (NOx),
±208% (BC), ±258% (OC)

Zhang et al. 2009

Inverse Estimate

(Top-down)

- interactive (with observations and model)
- compensating

2. Inversion Methods

Species

CO

CO2

NOx

Methane

aerosols

Spacial
Resolution

regional

Heald et al., 2004

Fotems-Cheiney et al., 2011

Arellano et al., 2004

gridded

Yumimoto and Uno, 2006

Stabarakou and Müller, 2006

Kopacz et al., 2010

Tanimoto et al., 2008

Time
Period

month

Yumimoto and Uno, 2006

Tanimoto et al., 2008

Wang et al., 2004

year

Stabarakou and Müller, 2006

Kopacz et al., 2010

Arellano et al., 2004

years

Fotems-Cheiney et al., 2011

(Time Resolution)

monthly

daily

diurnal

Observation

in-situ

Yumimoto and Uno, 2006

Tanimoto et al., 2008

air craft

Wang et al., 2004

satellite

Stabarakou and Müller, 2006

Kopacz et al., 2010

Arellano et al., 2004

Fotems-Cheiney et al., 2011

Method

Forward

Wang et al., 2004

Arellano et al., 2004

Heald et al., 2004

Adjoint (Backward)

Yumimoto and Uno, 2006

Tanimoto et al., 2008

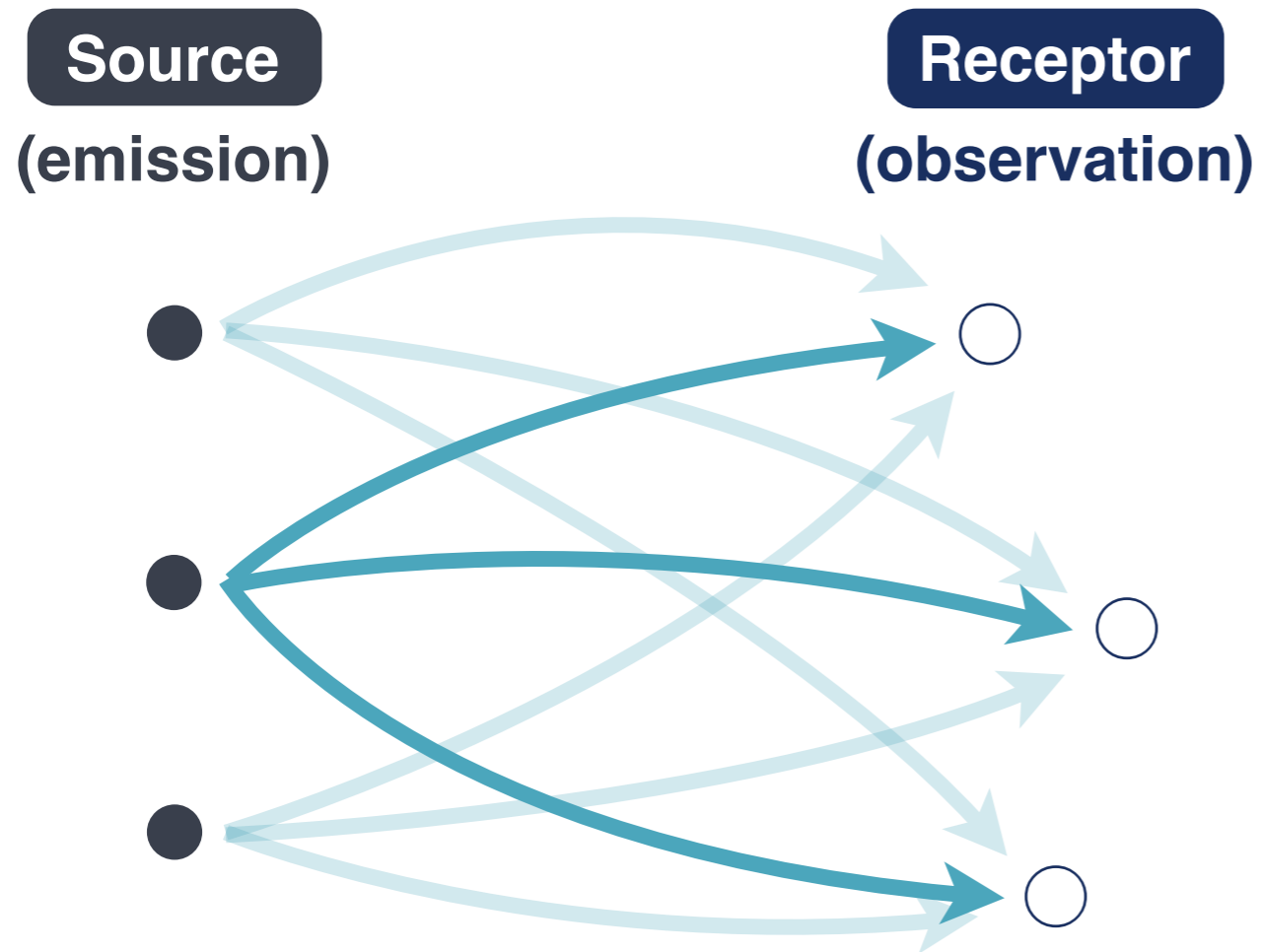
Stabarakou and Müller, 2006

Kopacz et al., 2010

Fotems-Cheiney et al., 2011

2. Inversion Methods

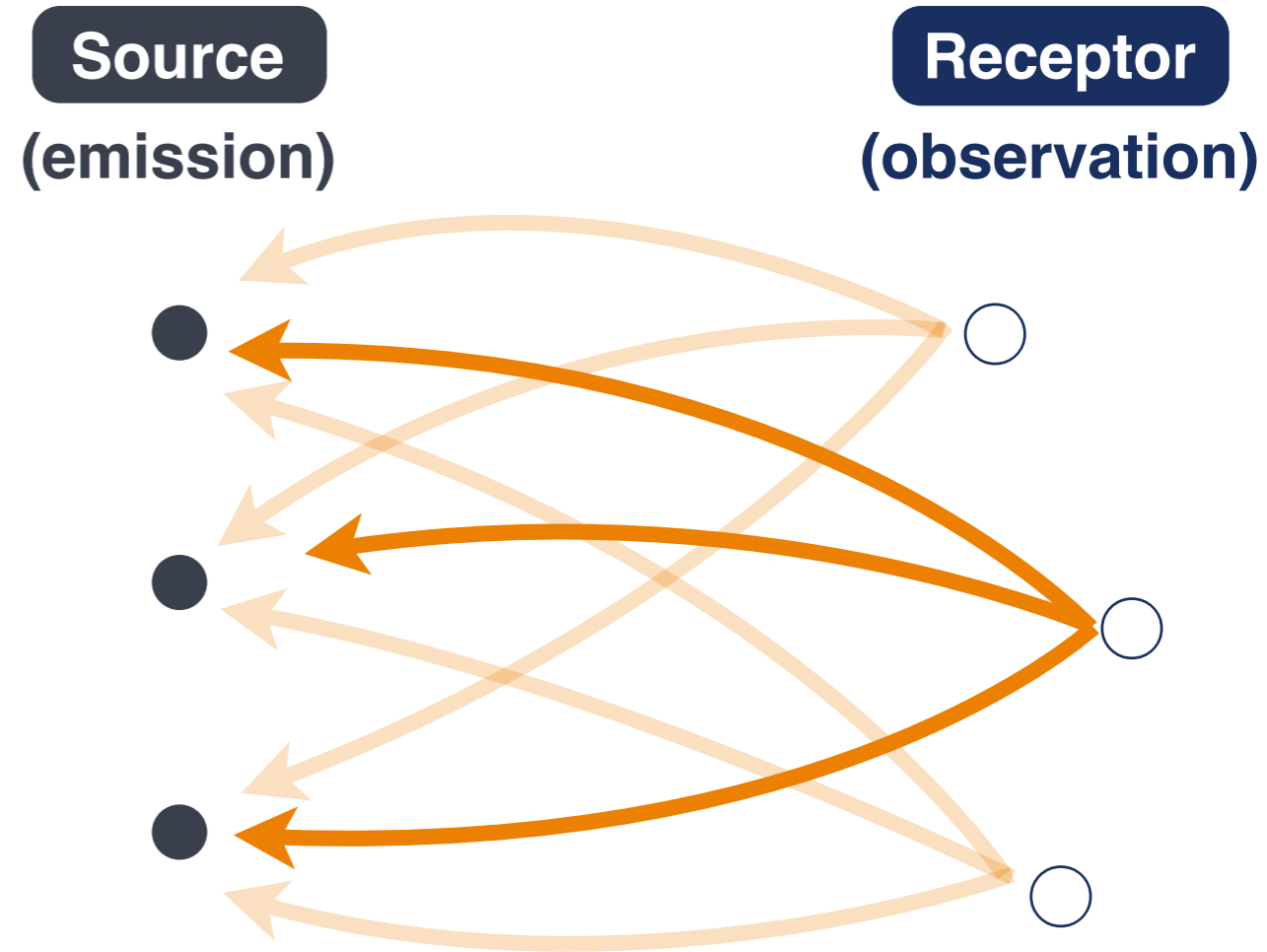
Forward



Forward method calculates sensitivities of sources (emissions) to receptors (measured concentrations).

※ We need 'ensemble' simulation for each source.

Adjoint (Backward)

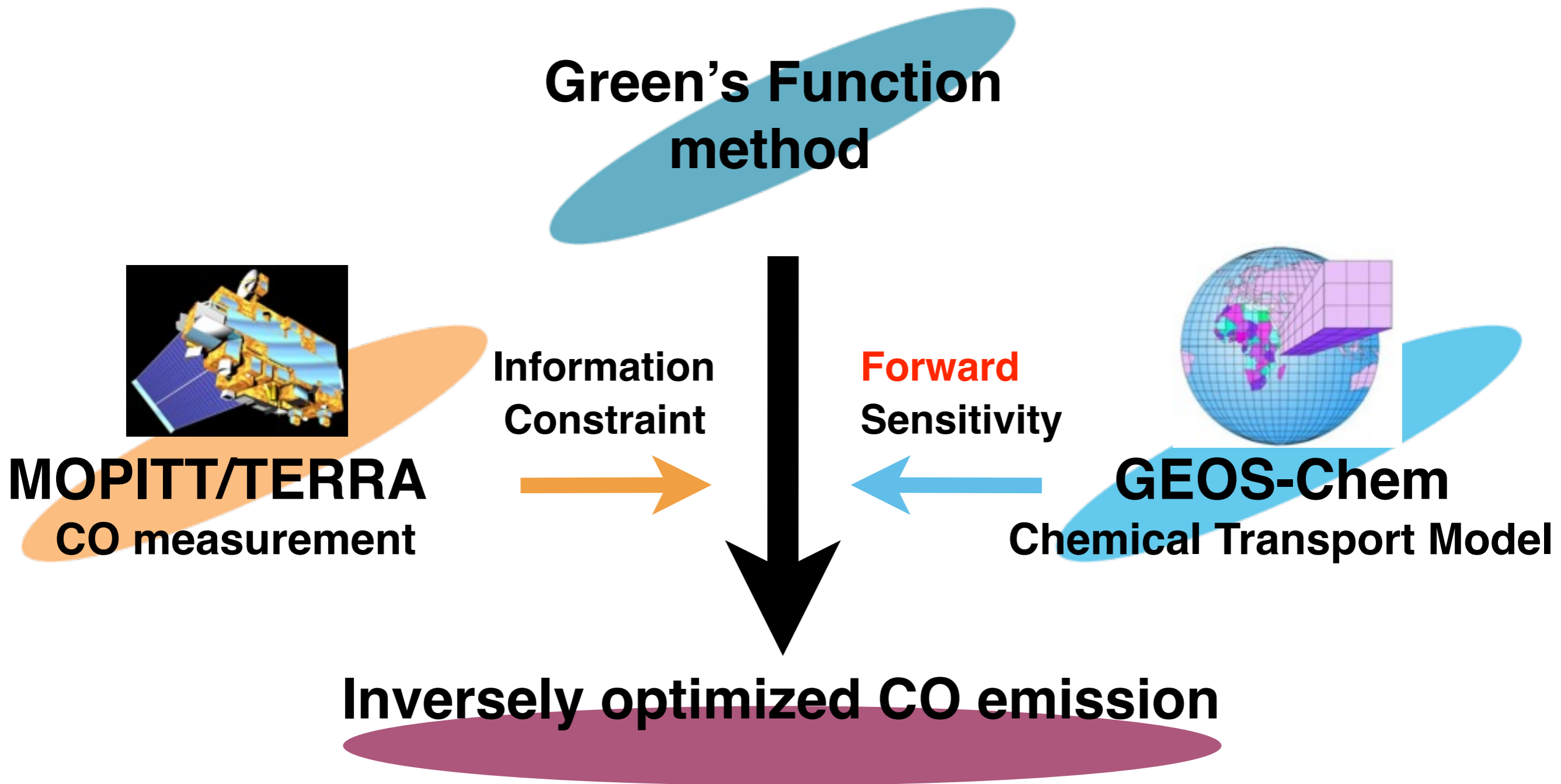


Backward method calculates sensitivities of receptors (observations) to sources (emissions).

※ We need adjoint of the forward model.

2. Inversion Methods

- In this study...



- China emission
- Vertical profiles
- Recent 6 years (2005–2010)
- Tagged simulation

2. Inversion Methods

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Heald et al., 2004

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Time
Period

month

year

years

Yumimoto and Uno, 2006

Tanimoto et al., 2008

Wang et al., 2004

Stabakov and Müller, 2006

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Fotems-Cheiney et al., 2011

(Time Resolution)

monthly

daily

diurnal

Observation

in-situ

air craft

satellite

Yumimoto and Uno, 2006

Tanimoto et al., 2008

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Arellano et al., 2004

Fotems-Cheiney et al., 2011

Method

Forward

Adjoint (Backward)

Wang et al., 2004

Arellano et al., 2004

Heald et al., 2004

Yumimoto and Uno, 2006

Tanimoto et al., 2008

Stabakov and Müller, 2006

Kopacz et al., 2010

Fotems-Cheiney et al., 2011

3. Method (Green's Function Method)

Menemenlis et al., 2005

Yumimoto and Uno., 2012

- **Observation**

$$\mathbf{y}^o = H_i[\mathbf{x}^t] + \boldsymbol{\varepsilon} \quad (1)$$

\mathbf{y}^o : observation, $\boldsymbol{\varepsilon}$: noise process

- **Model**

$$\mathbf{x}^t(t_{i+1}) = M_i[\mathbf{x}^t(t_i), \boldsymbol{\eta}] \quad (2)$$

\mathbf{x}^t : true state, $\boldsymbol{\eta}$: control parameter

- **Convolution**

$$\mathbf{y}^o = G(\boldsymbol{\eta}) + \boldsymbol{\varepsilon} = G(\mathbf{0}) + \mathbf{G}\boldsymbol{\eta} + \boldsymbol{\varepsilon} \quad (3)$$

G : convolution of H and M

assuming G is linear

\mathbf{G} : Green's function matrix, **forward sensitivity**

j th column vector of \mathbf{G} : $\mathbf{g}_j = \frac{G(\mathbf{e}_j) - G(\mathbf{0})}{e_j}$

- **miss-fit between observations and model**

$$\mathbf{d} = \mathbf{y}^o - G(\mathbf{0}) = \mathbf{G}\boldsymbol{\eta} + \boldsymbol{\varepsilon}$$

3. Method (Green's Function Method)

Menemenlis et al., 2005, MWR

- miss-fit between observations and model

$$\mathbf{d} = \mathbf{y}^o - G(\mathbf{0}) = \mathbf{G}\boldsymbol{\eta} + \boldsymbol{\varepsilon} \quad (4)$$

- **Cost function (to be minimized)**



$$J = \boldsymbol{\eta}^T \mathbf{Q}^{-1} \boldsymbol{\eta} + \boldsymbol{\varepsilon}^T \mathbf{R}^{-1} \boldsymbol{\varepsilon} \quad (5)$$

- **Solution**

$$\boldsymbol{\eta}^a = (\mathbf{Q} + \mathbf{G}^T \mathbf{R}^{-1} \mathbf{G})^{-1} \mathbf{G}^T \mathbf{R}^{-1} \mathbf{d} \quad (6)$$

- **We set η as scaling factor for emissions**

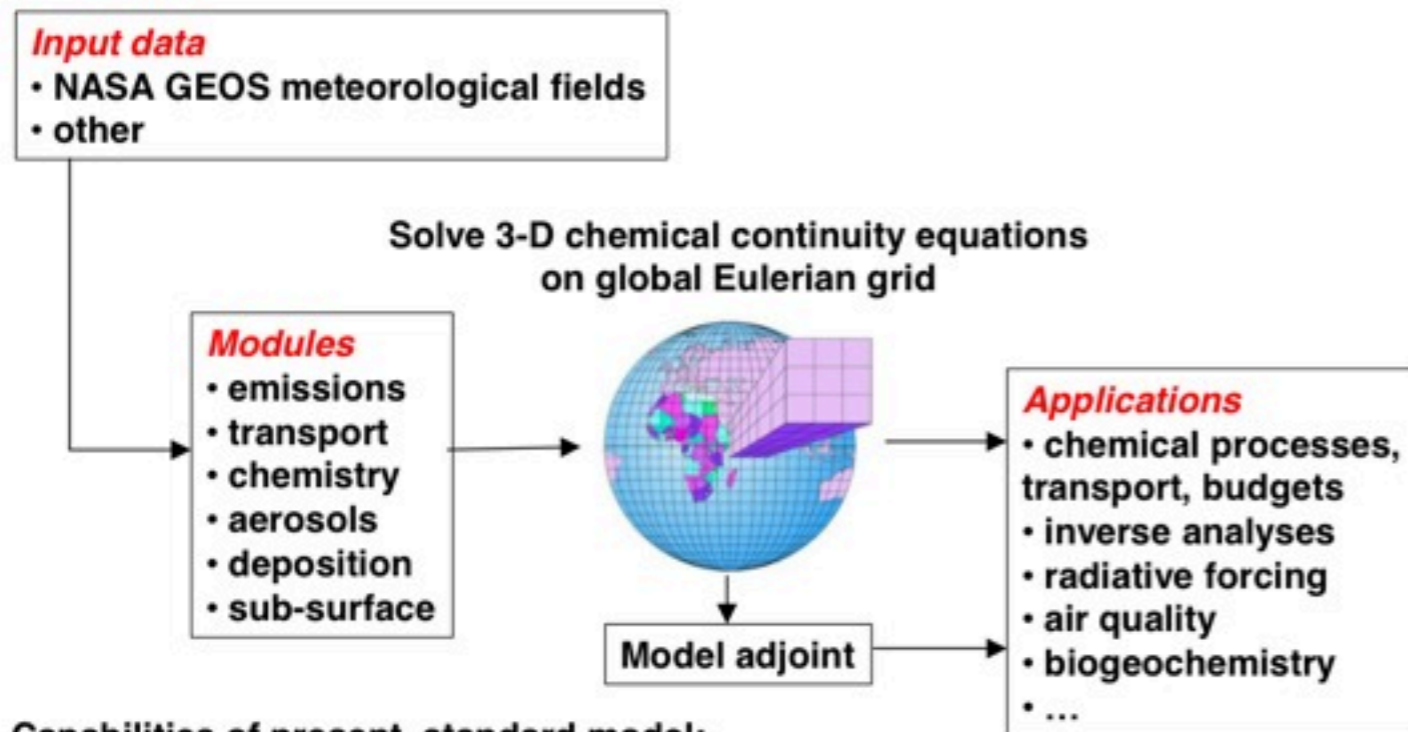
$$\mathbf{E}^a = (1 + \eta^a) \mathbf{E}^f \quad (7)$$

a posteriori  **a priori** 

4. Experiment Setting

• Model (Chemical Transport Model; CTM)

GEOS-Chem Chemical Transport Model (CTM)



Capabilities of present standard model:

- Aerosol chemistry and microphysics, tropospheric ozone-OH-NO_x chemistry, carbon gases, mercury, hydrogen, ²²²Rn/²¹⁰Pb/⁷Be...
- 1980-present GEOS meteorological data, future and paleoclimates (GISS GCM)
- Horizontal resolution: 1/2°x2/3° (native), 1°x1°, 2°x2.5°, 4°x5°
- Adjoint model for inverse/sensitivity analyses

• Tagged CO simulation

calculate Green's function matrix by one integration

11 geographical tagged region

4 tags (regions) in China

emissions from the 11 geographical regions are inversely optimized

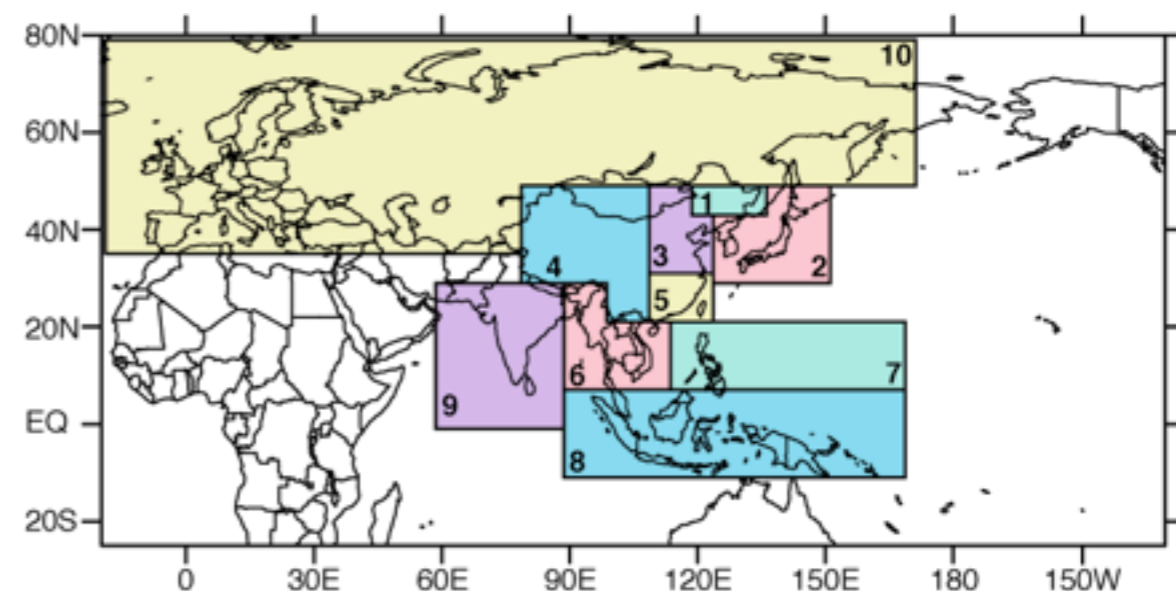
- GEOS-Chem v9-01-01
- GEOS5 Met. fields
- a priori (base) emission

FF (Fossil Fuel): EDGAR (2005)

BF (Bio-Fuel) : Yevich and Logan (2003)

BB (Biomass Burning):
Duncan et al. (2003)

= 117.8 Tg/year from China



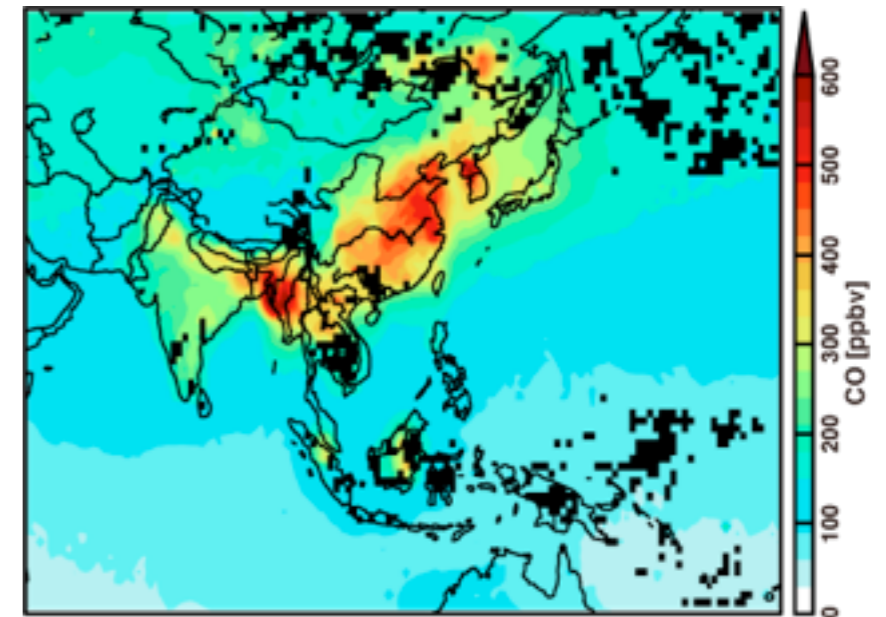
4. Experiment Setting

- **Observation (Constraint)**

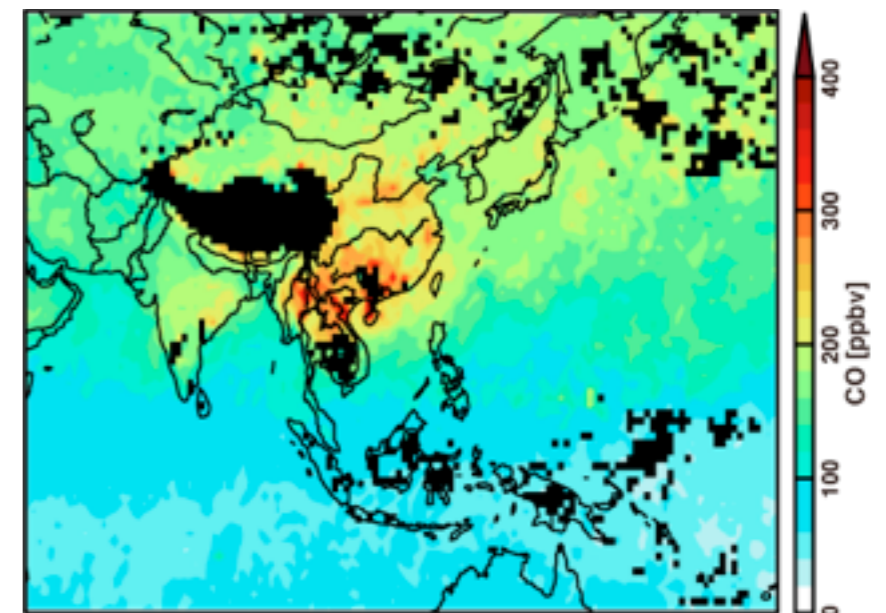
- **MOPITT CO profile (V4)**

- on board TERRA satellite
- 10:30 Local Time
- over 10-year continuous observation (2-month lack in Aug. and Sep. 2009)

200501 @ surface



200501 @ 700hPa



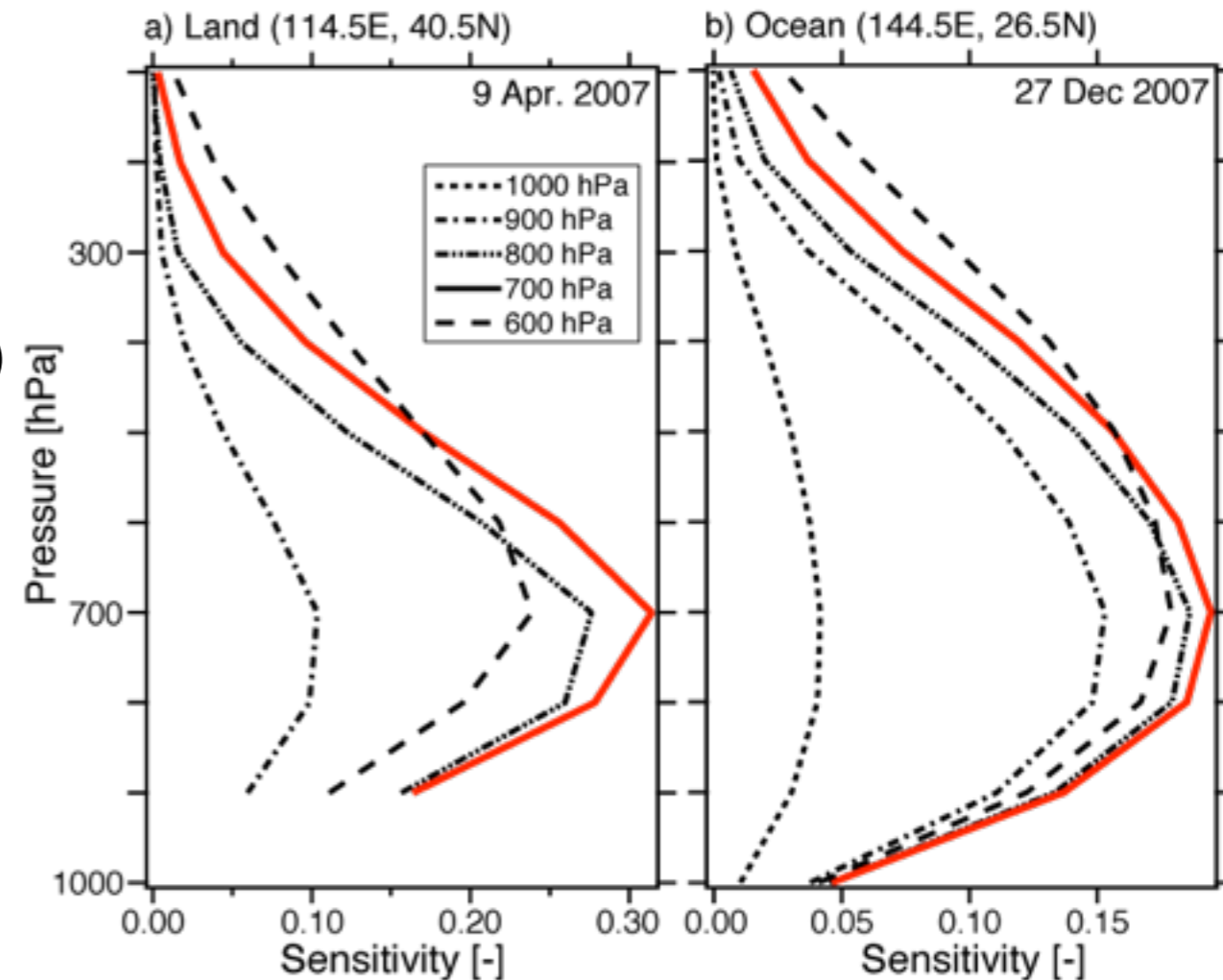
Monthly-averaged CO distribution from MOPITT

4. Experiment Setting

- **Observation (Constraint)**

- **MOPITT CO profile (V4)**

- on board TERRA satellite
- 10:30 Local Time
- over 10-year continuous observation (2-month lack in Aug. and Sep. 2009)
- the highest sensitivity at 700 hPa



Example of averaging kernels of 1000, 900, 800, 700 and 600 hPa.

4. Experiment Setting

- **Observation (Constraint)**

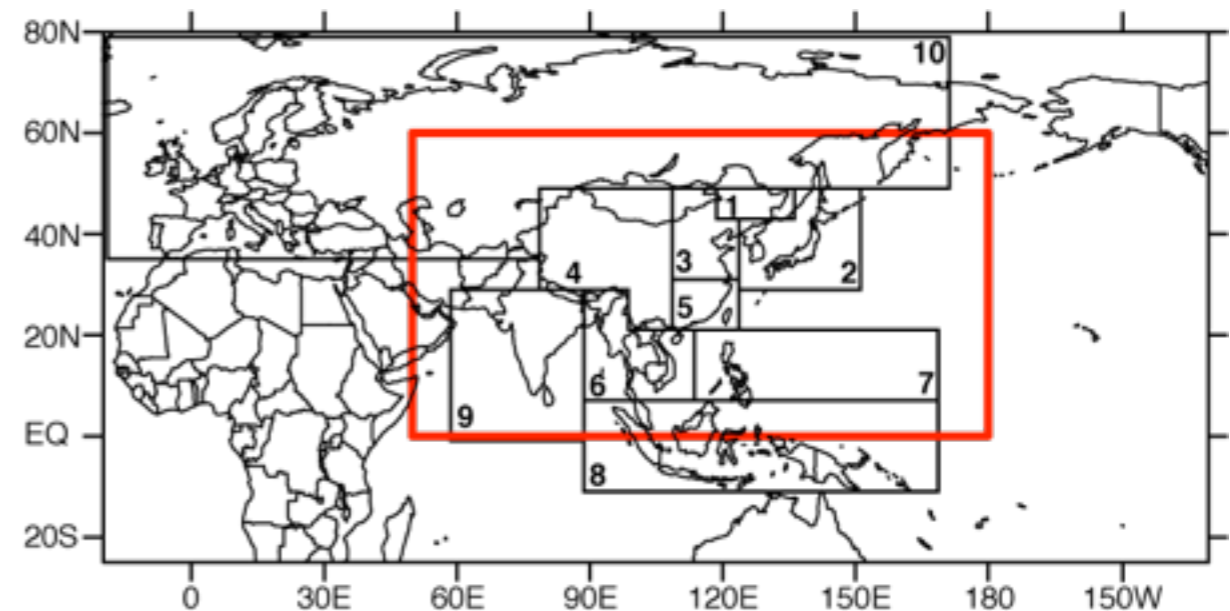
MOPITT CO profile (V4)

- on board TERRA satellite
- 10:30 Local Time
- over 10-year continuous observation (2-month lack in Aug. and Sep. 2009)
- the highest sensitivity at 700 hPa

We use MOPITT measurements

- monthly-averaged
- measured at 4 vertical levels (surface, 900, 800, 700 hPa)
- corrected assuming 5% positive bias (Kopacz et al., 2009)
- through averaging kernel (H includes operation of AK)

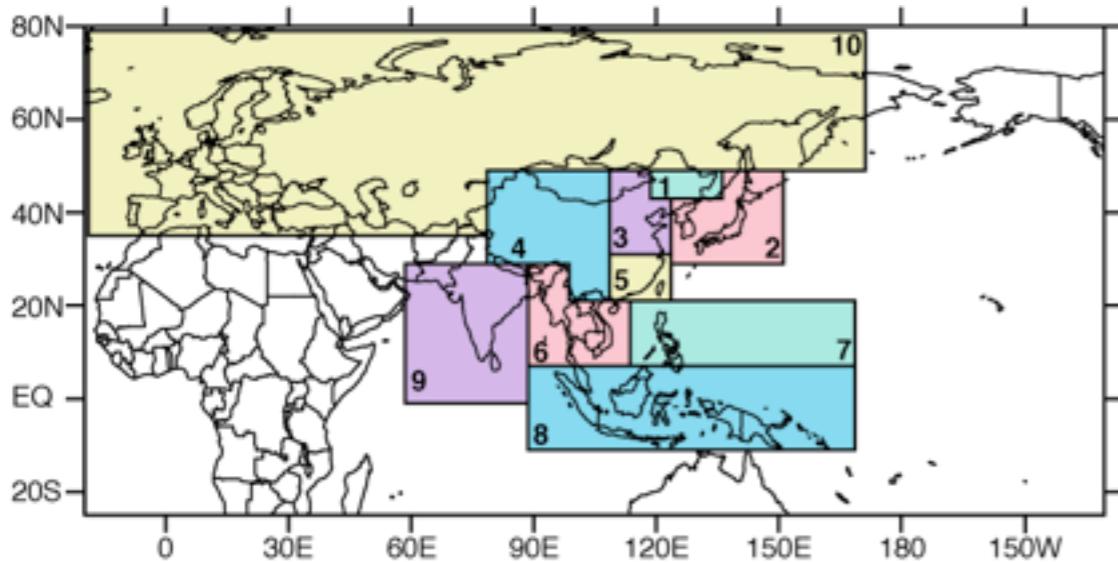
※25,000–30,000 data/month used in the inversion



MOPITT data region used in the inversion

4. Experiment Setting

Global 2x2.5



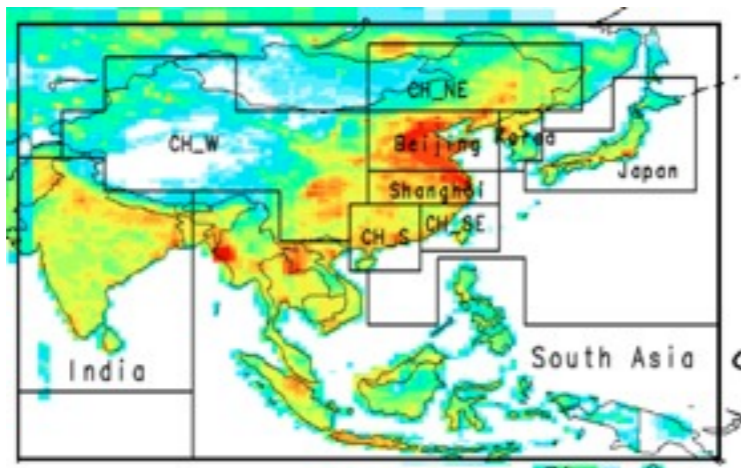
- 11 regional tags
- a priori = EDGAR

MOPITT V4 TIR Only

- **Thermal Infrared Only Product**
- the highest sensitivity around 700 hPa
- lower 4 vertical levels (Surface 900, 800, 700)
- through averaging kernel
- ~30,000 data/month used

Yumimoto and Uno, 2012

China Nest 0.5x0.667



- finer China tags
- REAS V2

MOPITT V5 TIR/NIR

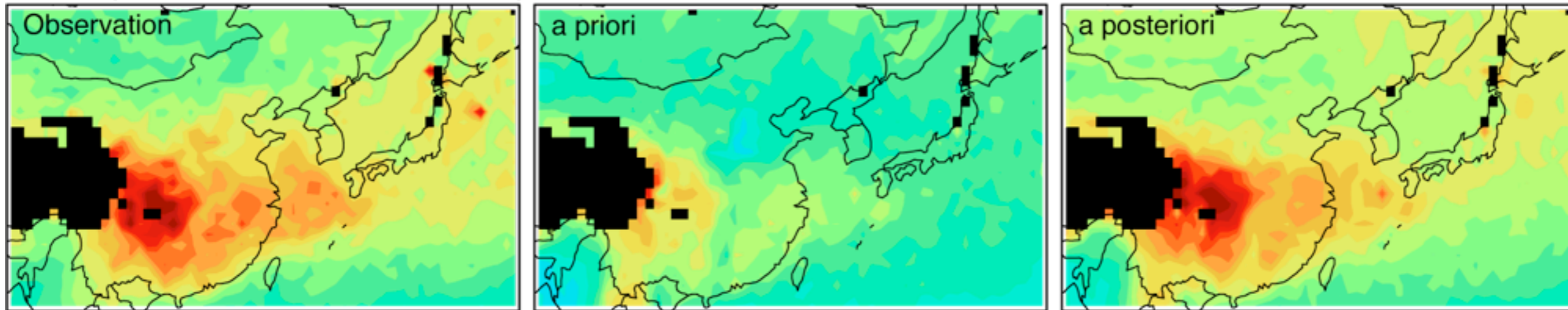
- **Thermal Infrared + Near Infrared Product**
- additional sensitivity near surface

Yumimoto et al., in prep.

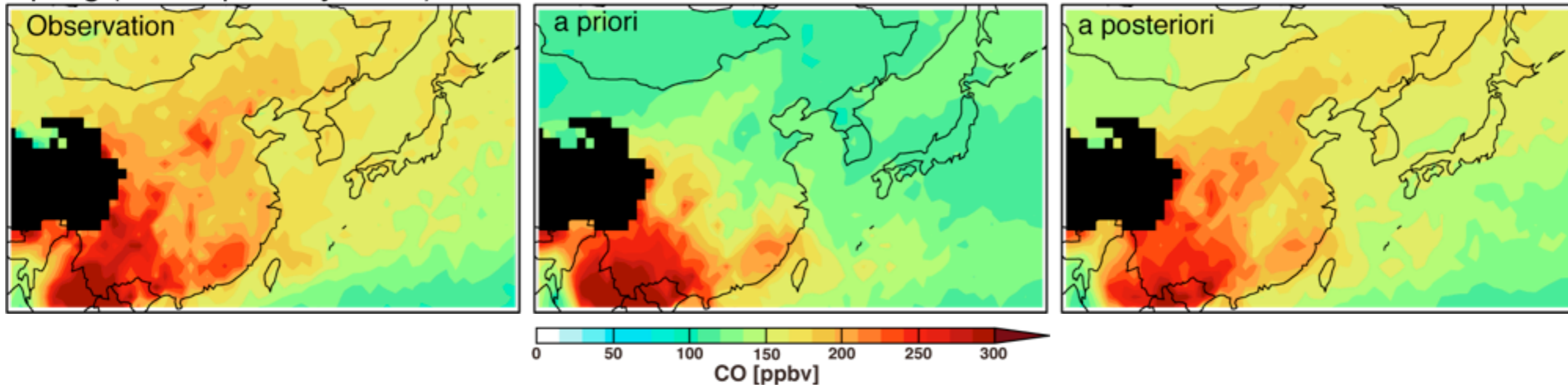
5. Inversion Results

- vs. MOPITT (ppbv, 2D-distribution, season)

Winter (Dec., 2007, Jan., Feb., 2008)



Spring (Mar., Apr., May, 2009)



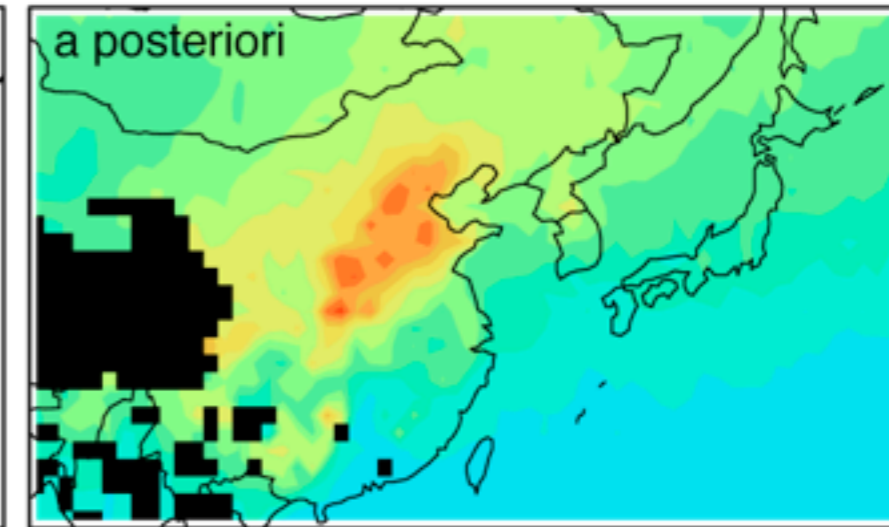
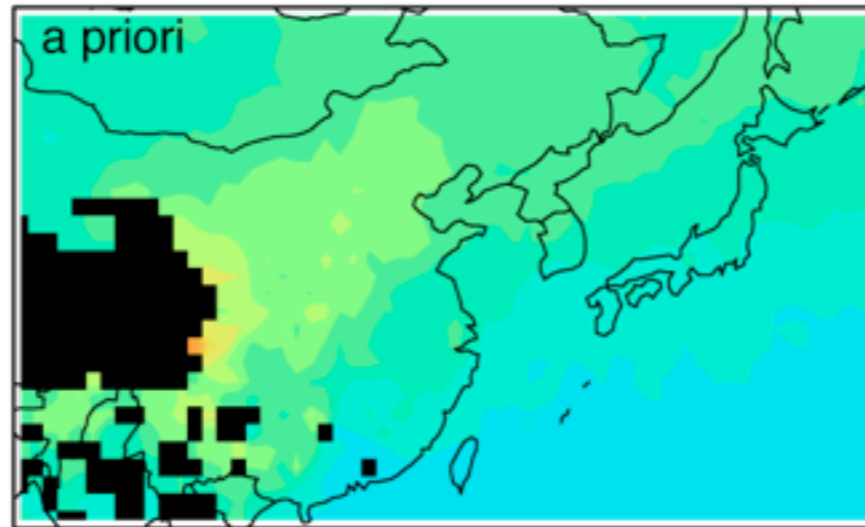
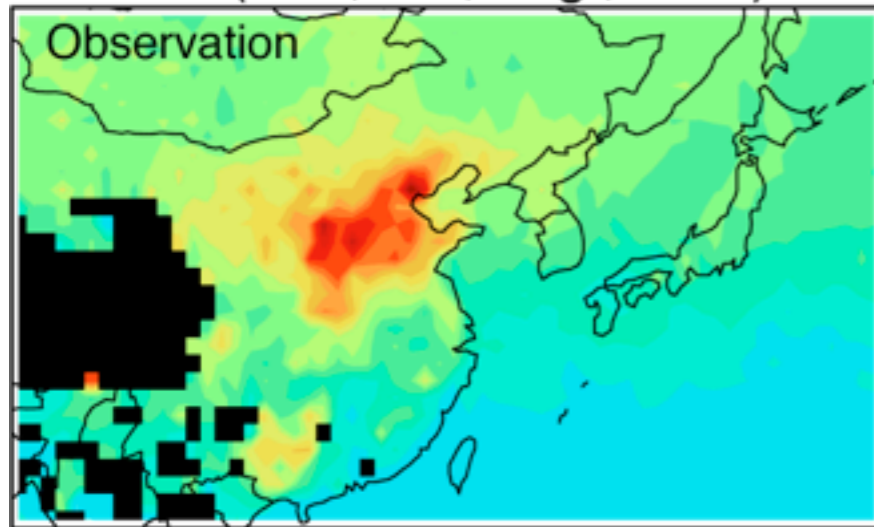
CO mixing ratio (ppbv) at 700 hPa from MOPITT, a priori, and a posteriori

A posteriori successfully re-produce CO outflows from China to Japan

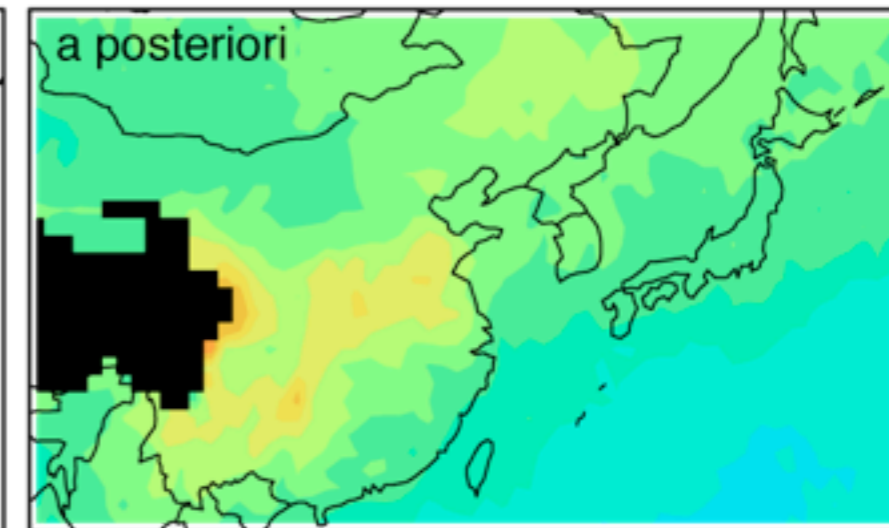
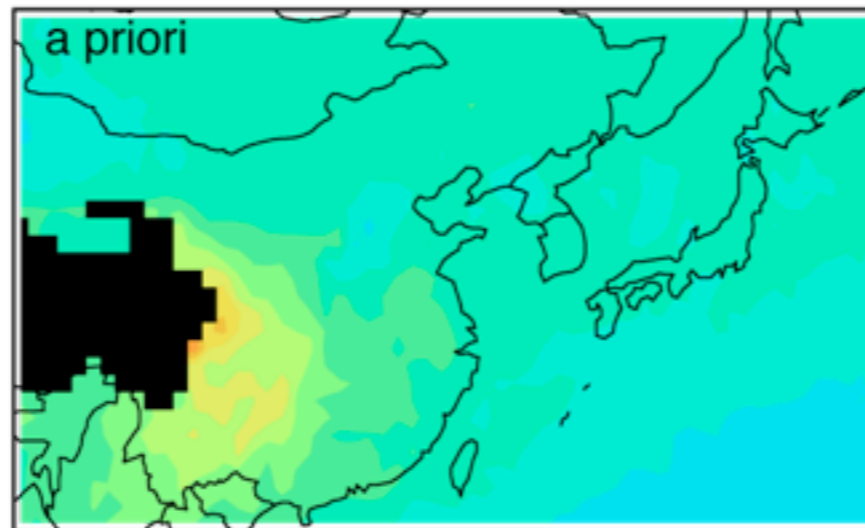
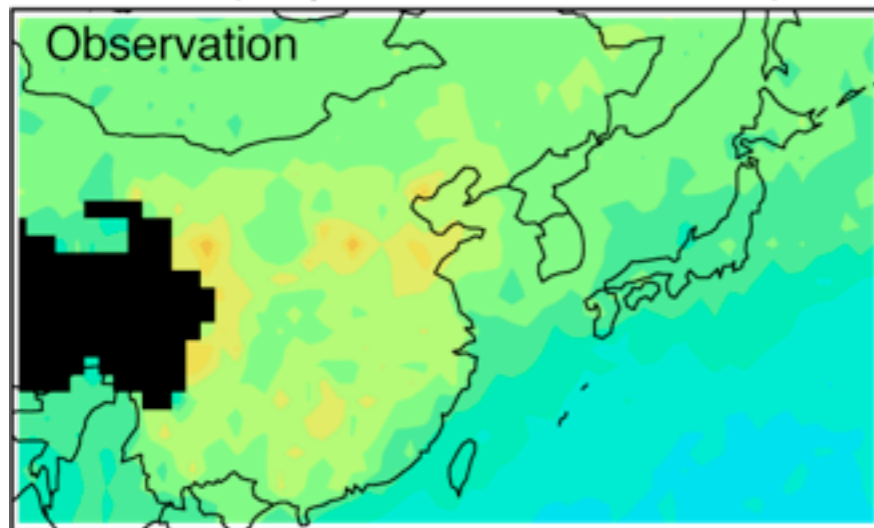
5. Inversion Results

- vs. MOPITT (ppbv, 2D-distribution, season)

Summer (Jun., Jul., Aug., 2007)



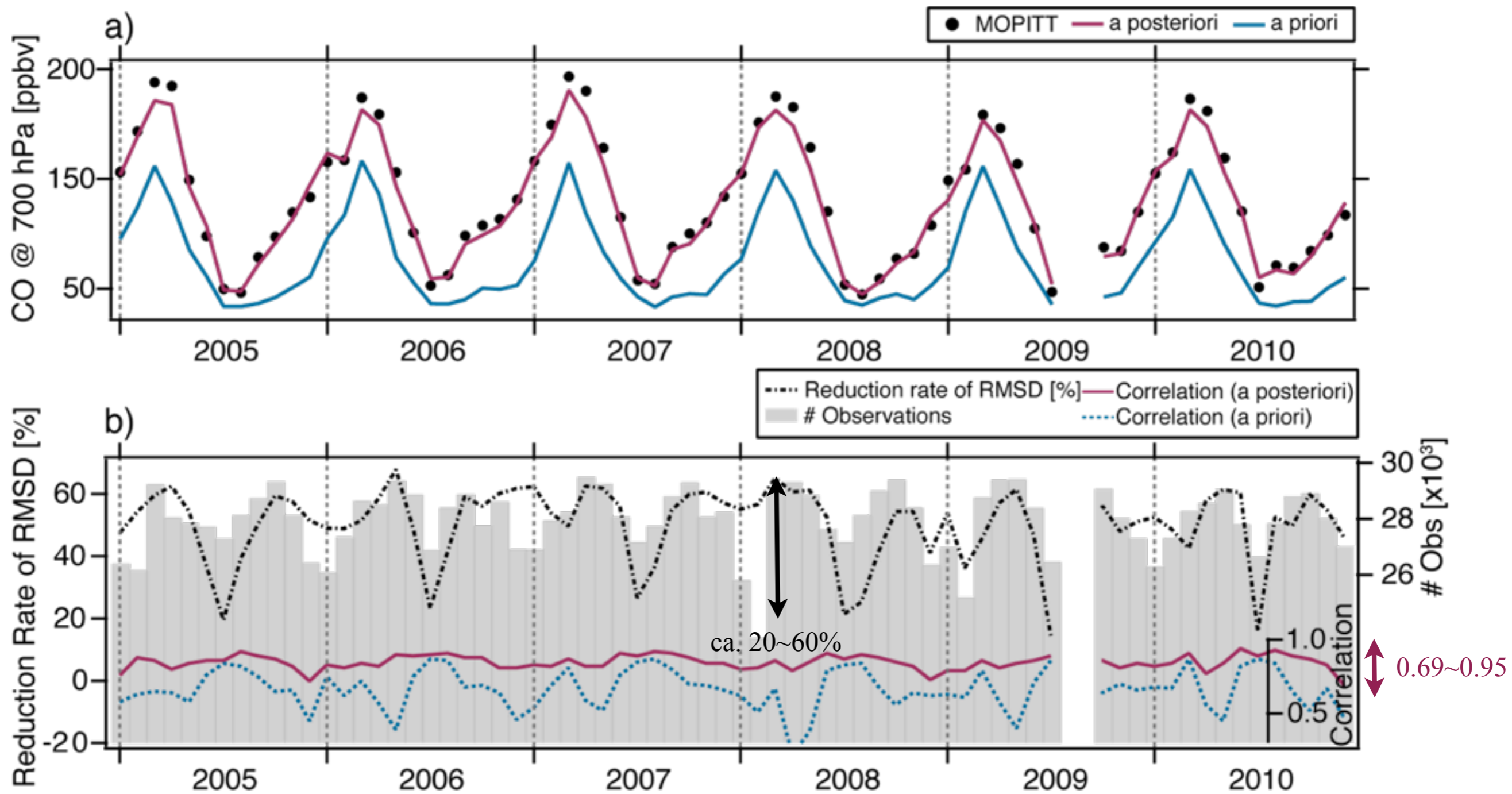
Autumn (Sep., Oct., Nov., 2010)



CO mixing ratio (ppbv) at 700 hPa from MOPITT, a priori, and a posteriori

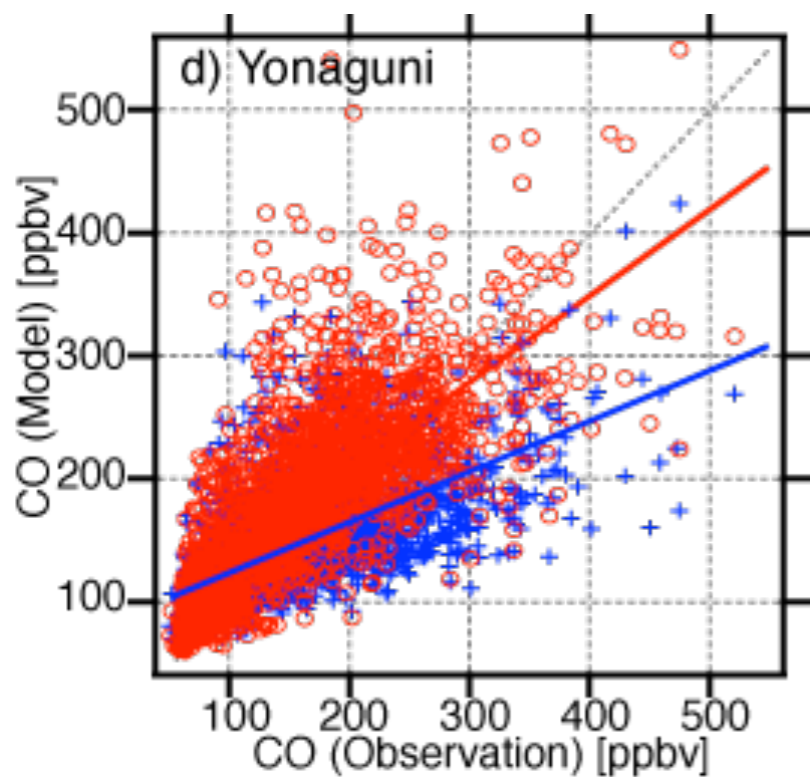
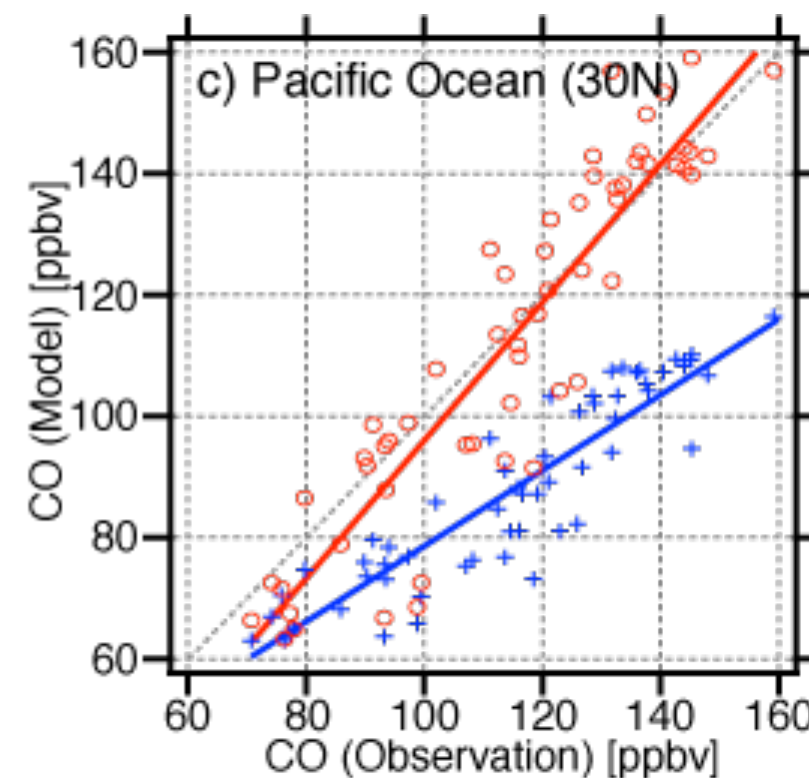
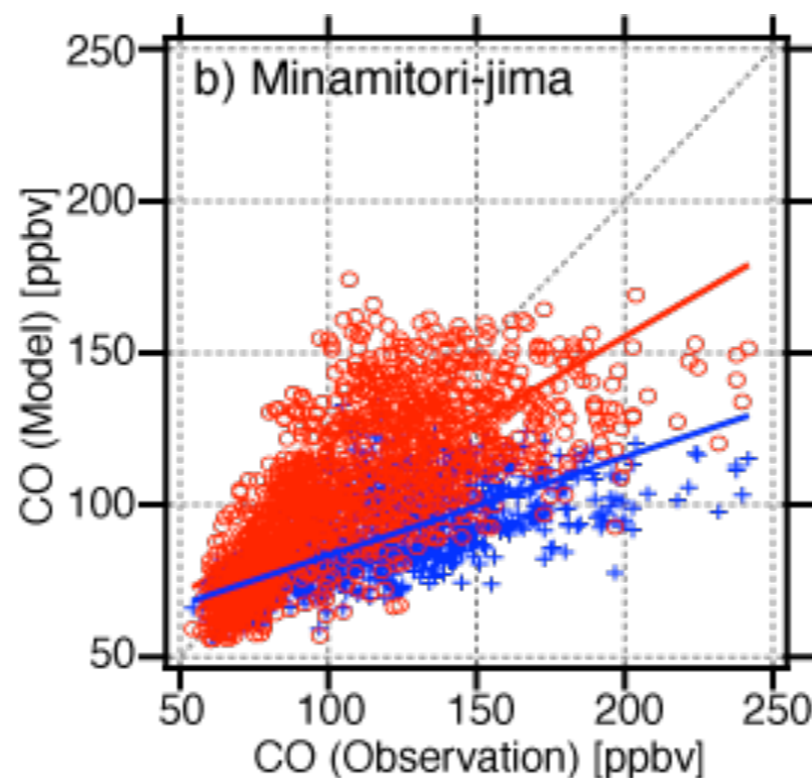
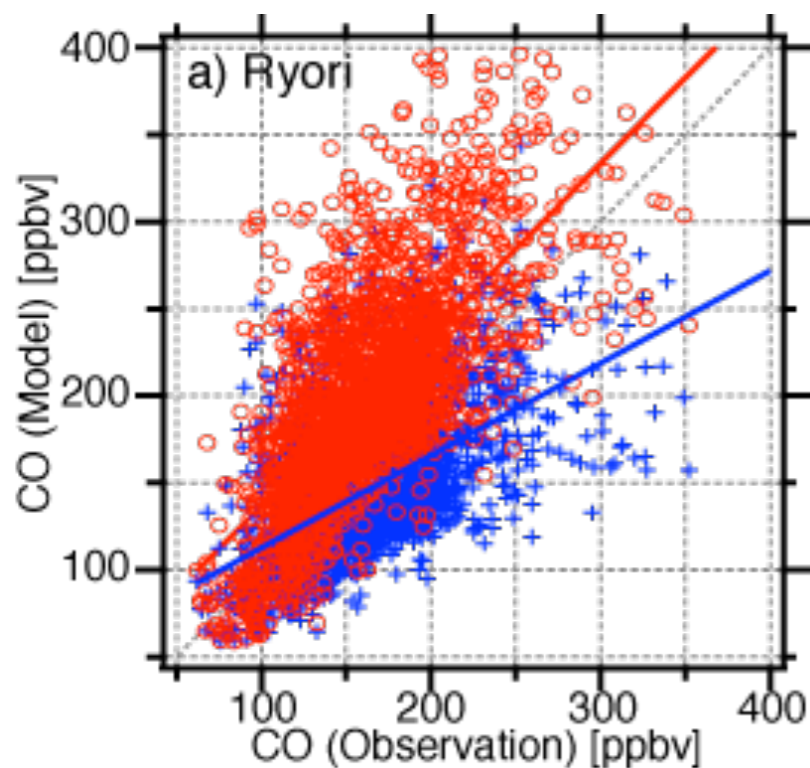
5. Inversion Results

- vs. MOPITT (Time-Series, Statistics)

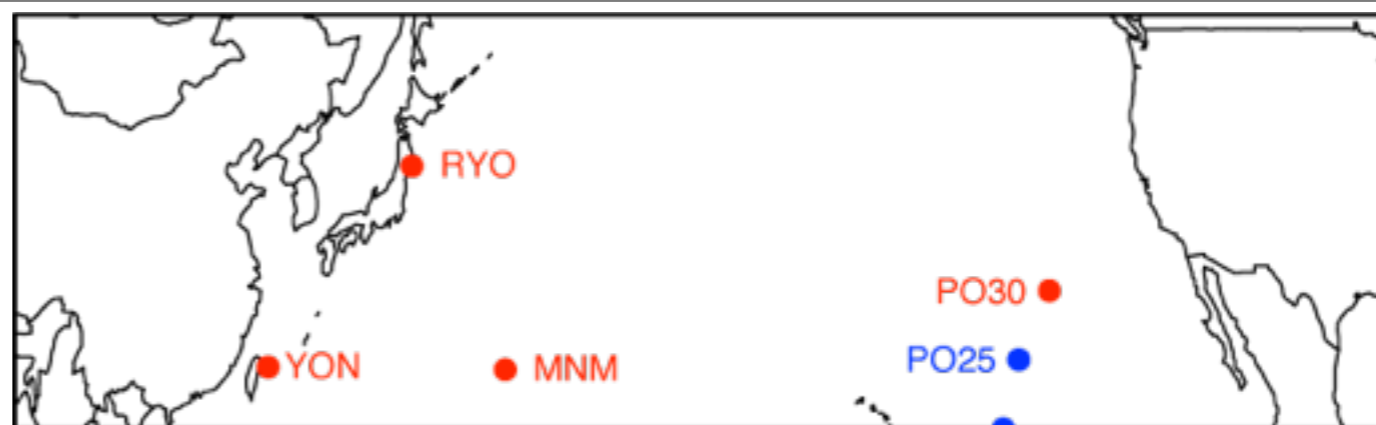


5. Inversion Results

- vs. in-situ measurements (independent validation)



| | Ryori (R) | | P30N (P) | | Yonaguni (Y) | | Minamitori-jima (M) | |
|-----------------------|-----------|--------------|----------|--------------|--------------|--------------|---------------------|--------------|
| Location ^a | 141.82E | 39.03N | 135.00W | 30.00N | 123.02E | 24.47N | 153.98E | 24.28N |
| # Observations | 2107 | | 59 | | 2087 | | 1418 | |
| | a priori | a posteriori | a priori | a posteriori | a priori | a posteriori | a priori | a posteriori |
| AE [%] | | -29 | | 61 | | 3 | | 29 |
| Correlation Coef. | 0.55 | 0.69 | 0.91 | 0.92 | 0.63 | 0.73 | 0.73 | 0.74 |
| Slope | 0.53 | 0.98 | 0.62 | 1.13 | 0.41 | 0.70 | 0.32 | 0.56 |
| Bias [ppbv] | 59.28 | 38.54 | 16.92 | -16.90 | 83.86 | 69.96 | 50.58 | 41.80 |

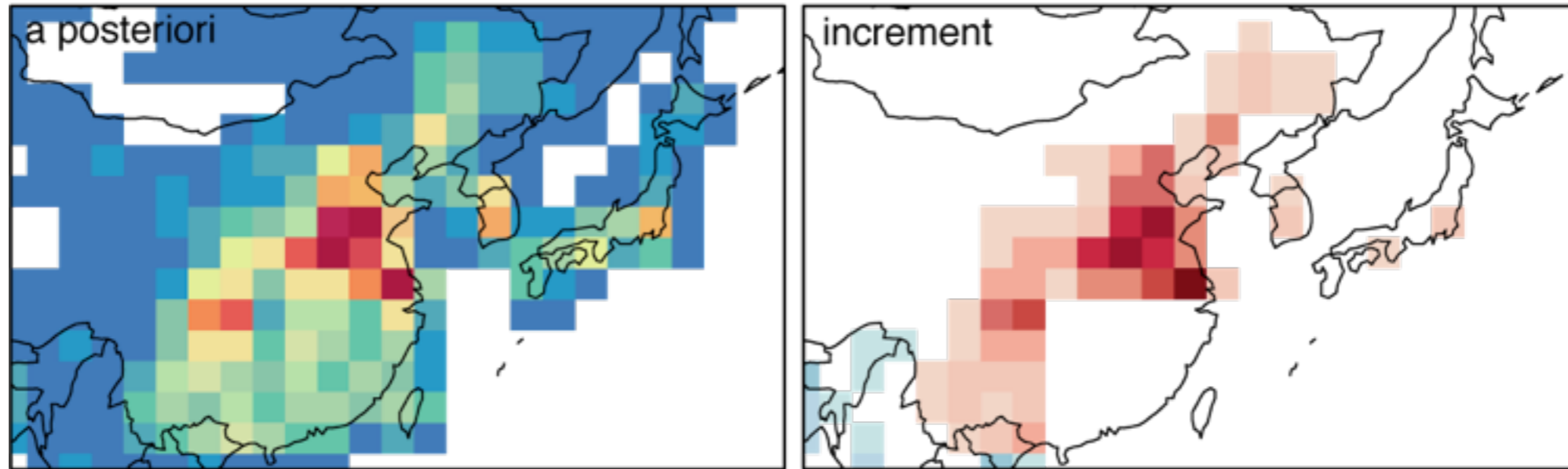


Scatter plots of in-situ measurements vs. model

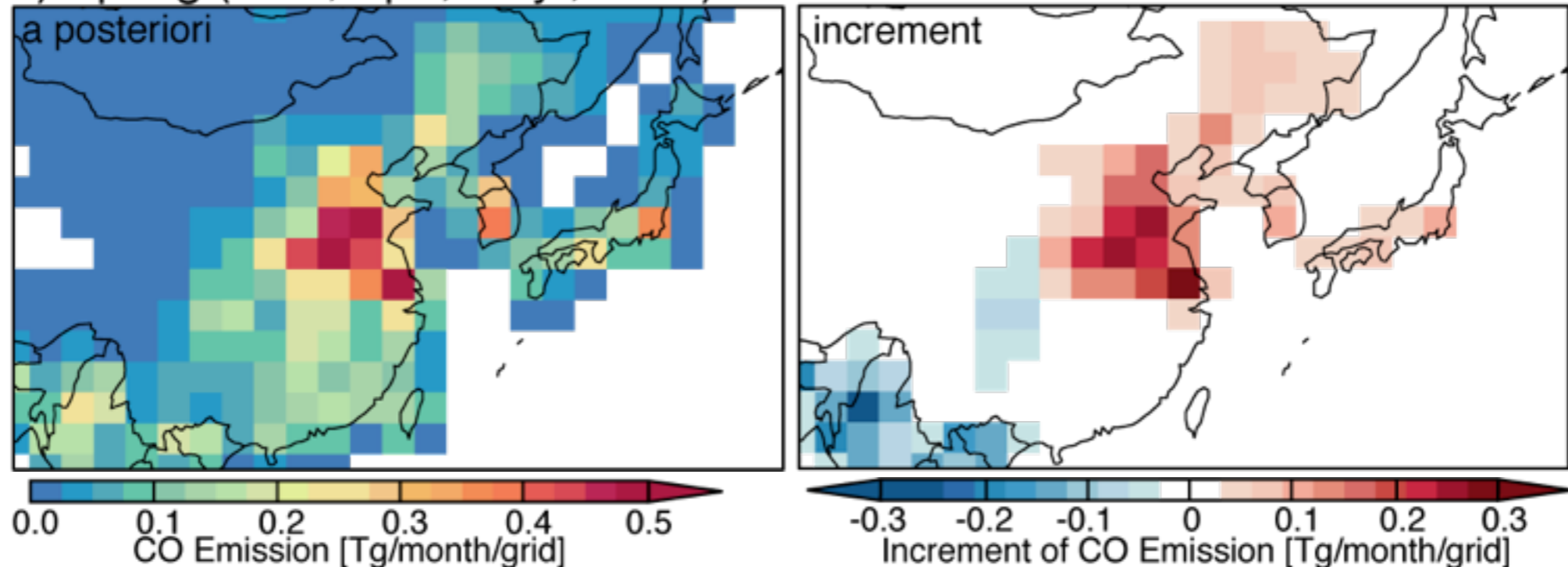
5. Inversion Results

- a posteriori emission (2D-distribution, season)

a) Winter (Dec., 2007, Jan., Feb., 2008)



b) Spring (Mar., Apr., May., 2008)



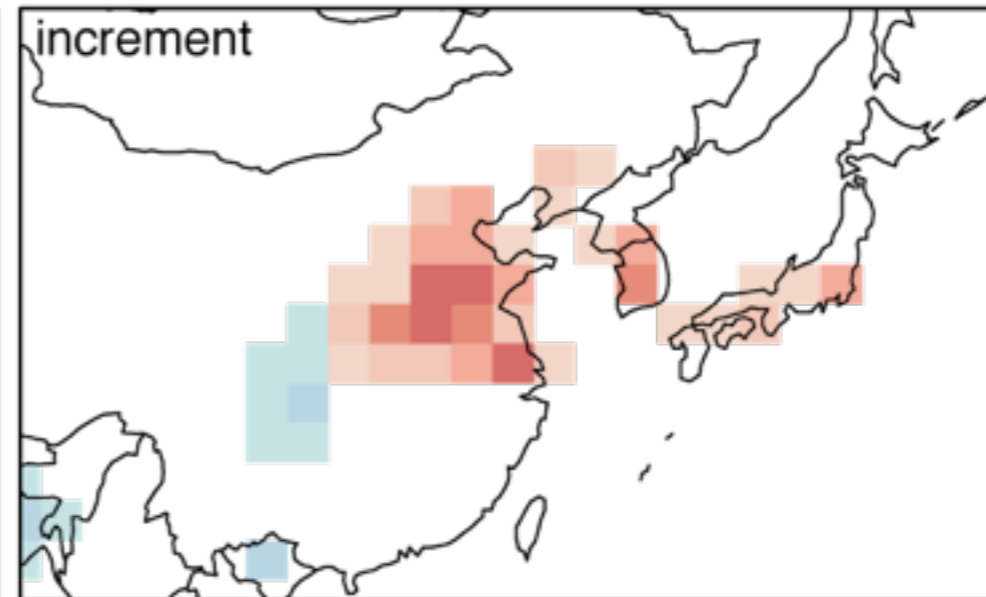
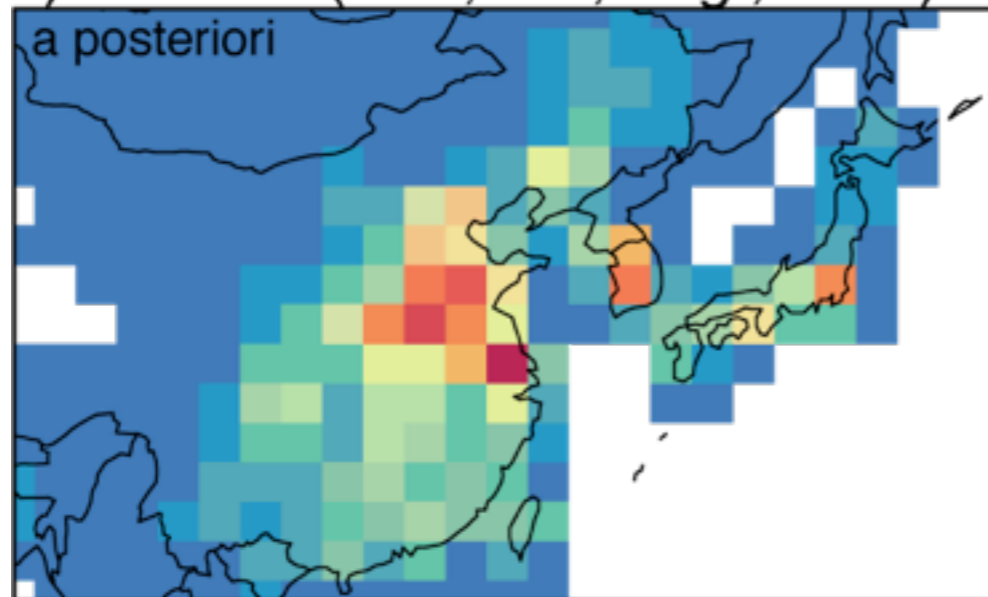
A posteriori emission and increment between a posteriori and a priori

Emission in central eastern China is increased.

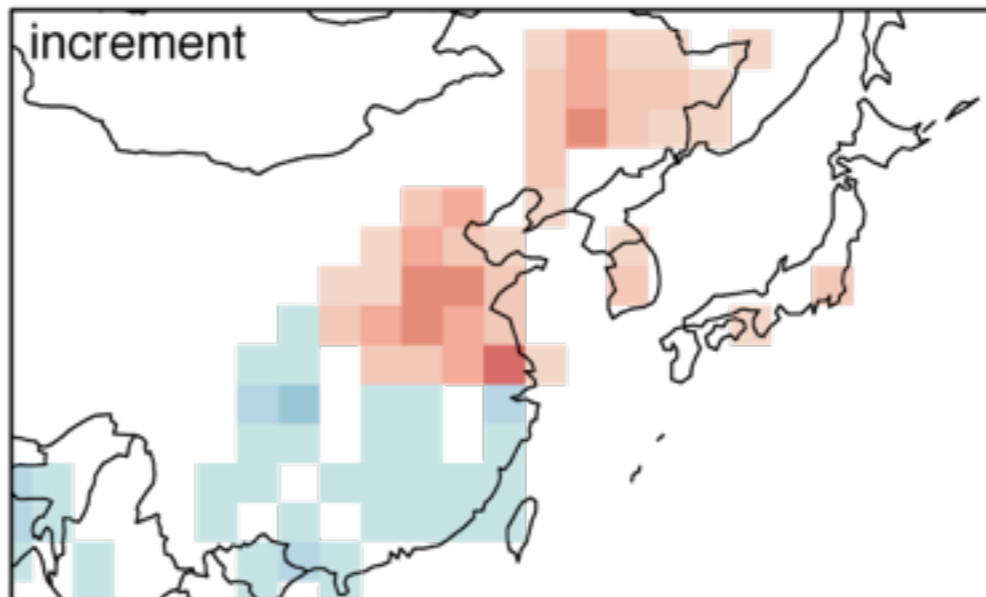
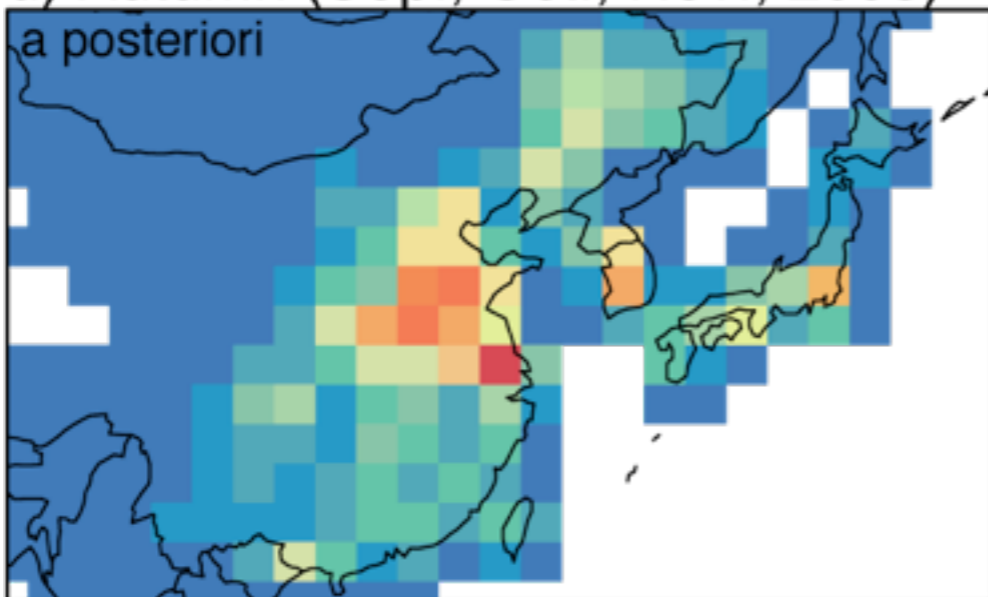
5. Inversion Results

- a posteriori emission (2D-distribution, season)

c) Summer (Jun., Jul., Aug., 2008)



d) Autumn (Sep., Oct., Nov., 2008)



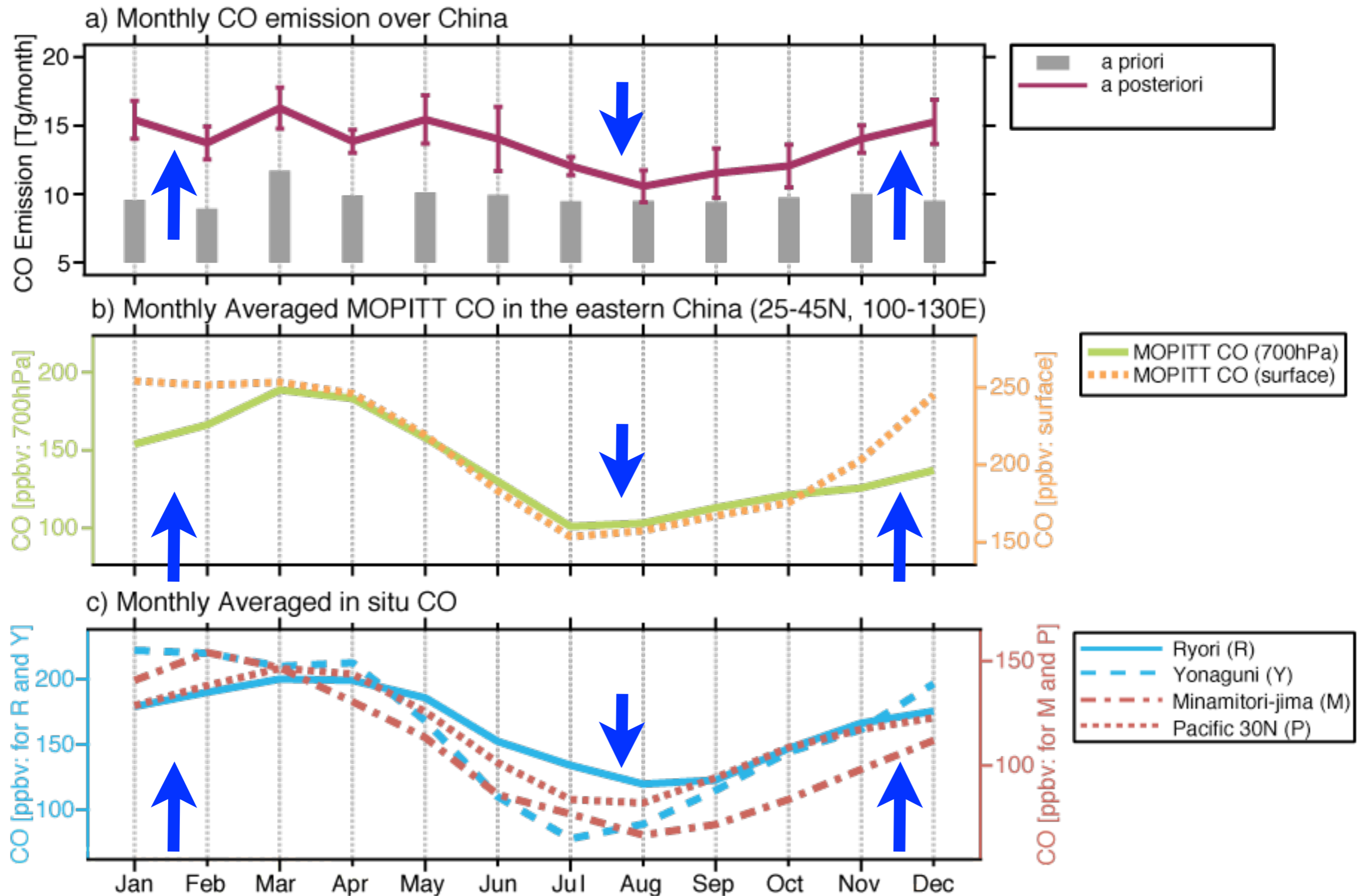
0.0 0.1 0.2 0.3 0.4 0.5
CO Emission [Tg/month/grid]

-0.3 -0.2 -0.1 0 0.1 0.2 0.3
Increment of CO Emission [Tg/month/grid]

A posteriori emission and increment between a posteriori and a priori

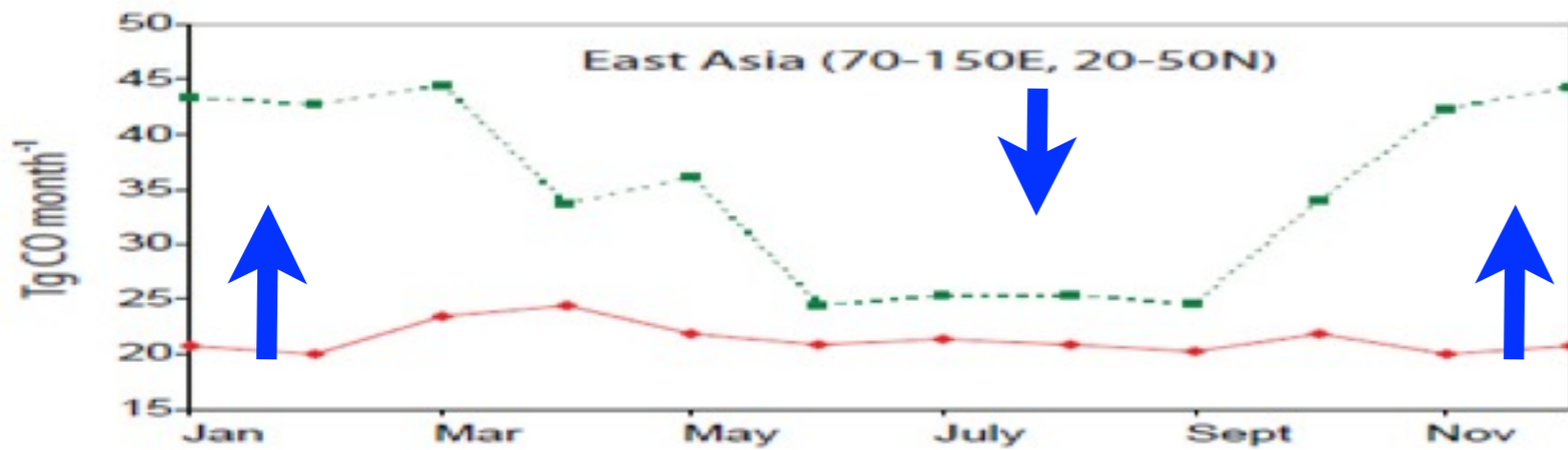
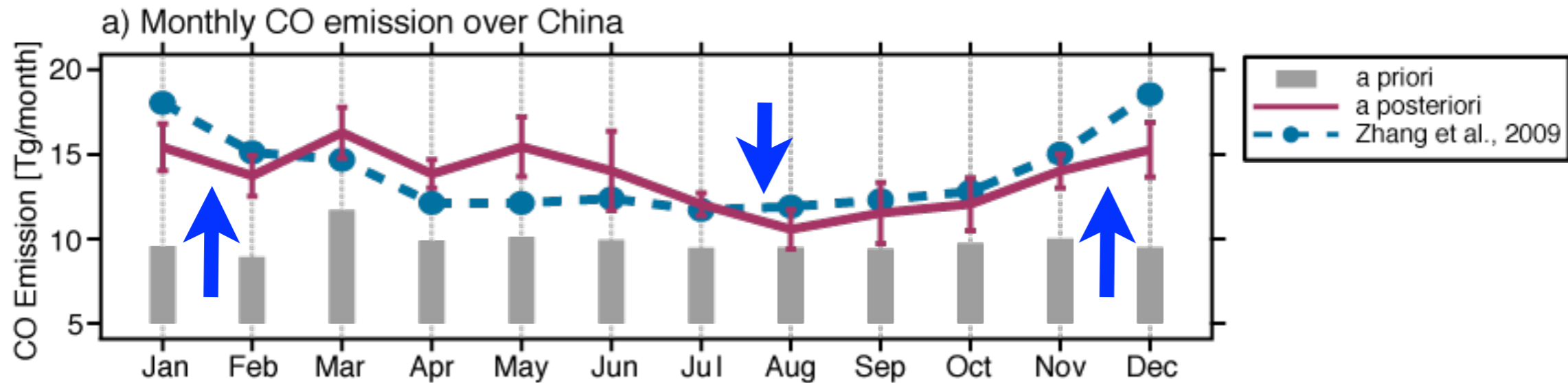
5. Inversion Results

- a posteriori emission (seasonal cycle)



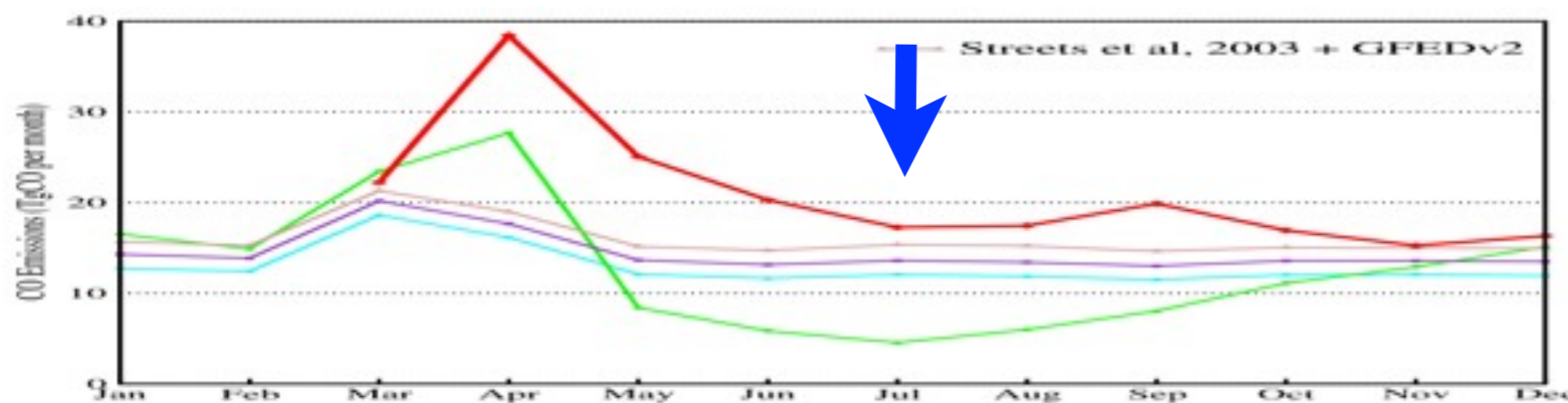
5. Inversion Results

- a posteriori emission (seasonal cycle)



Kopacz et al. 2010(Figure 2)

China + Japan + Korea + part of India



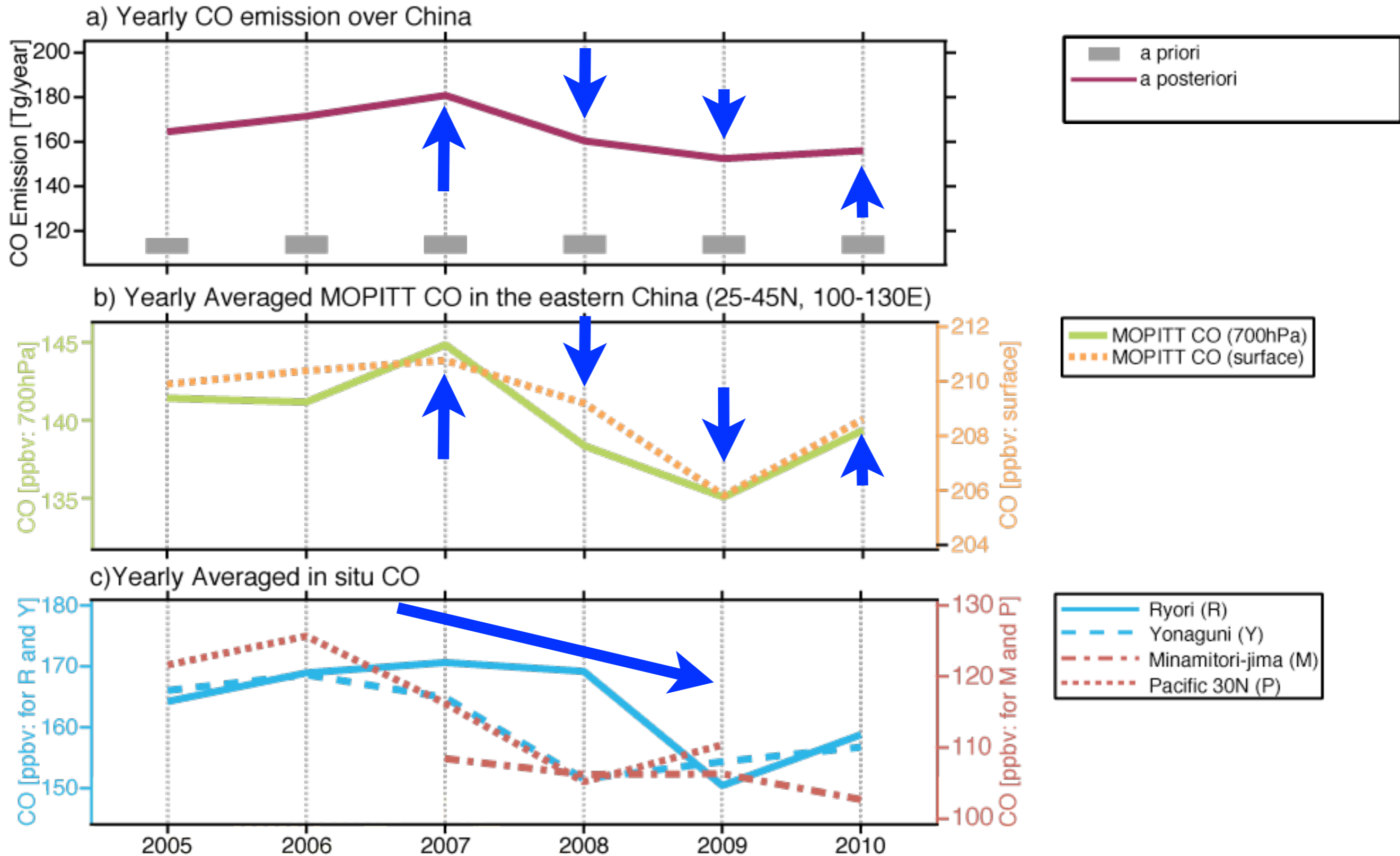
Fortems-Cheiney et al. 2011

China + Japan + Korea + south eastern Asia

(d) South East Asia

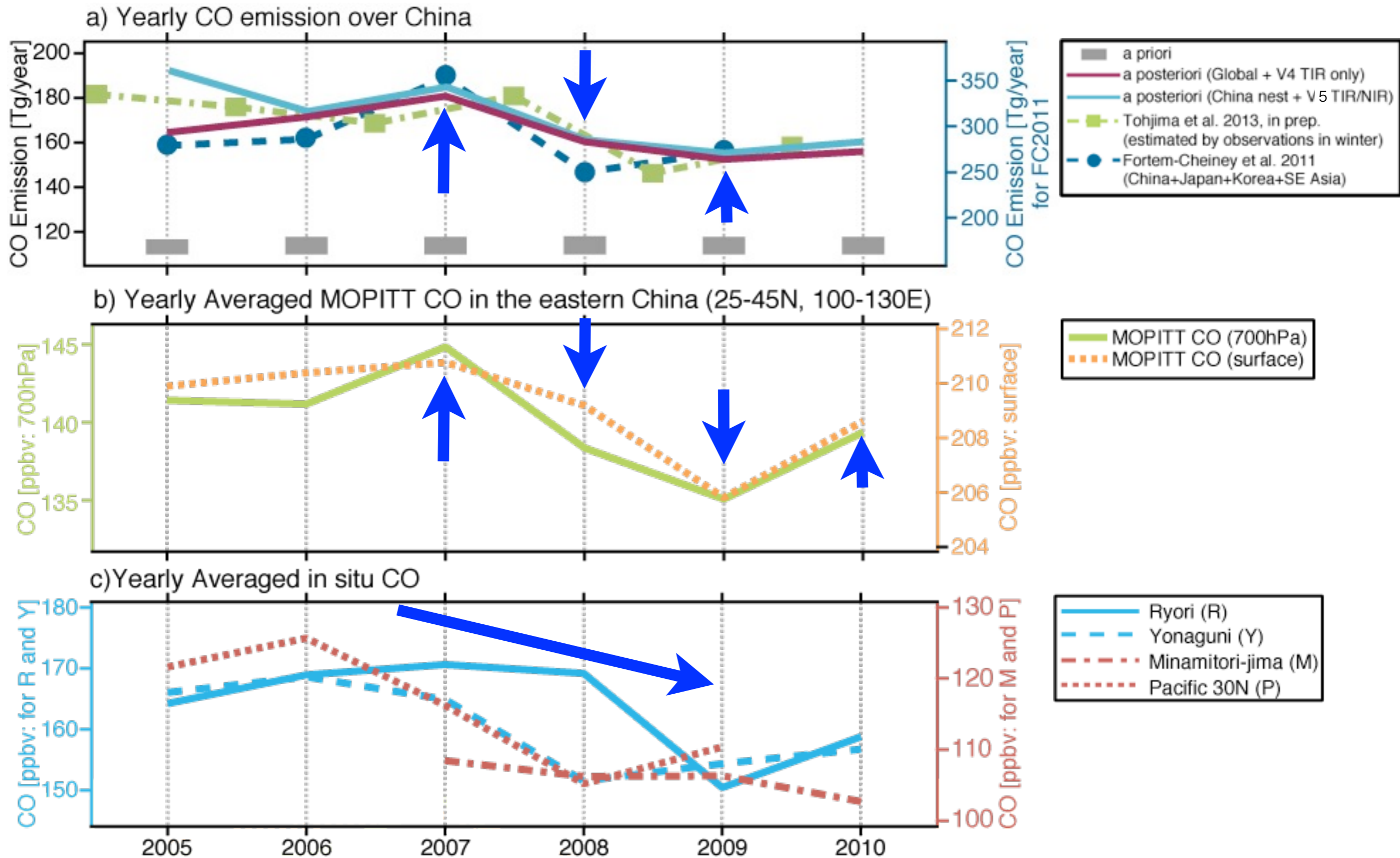
5. Inversion Results

- a posteriori emission (annual variation)



5. Inversion Results

- a posteriori emission (annual variation)



6. Summary

- **In this study,**

- CO emissions in China are inversely optimized with Green's function method, MOPITT vertical profiles, and GEOS-Chem for the recent 6 years.

- **We found that**

- a posteriori emissions successfully reproduce
 - (1) CO outflows from China to East China Sea and the Japanese archipelago in winter and spring.
 - (2) high CO over the central eastern China region.
- a posteriori emissions exhibit significant variation
(peak in winter—spring, bottom in summer)
- a posteriori Chinese CO sources range from 156.1 to 180.8 Tg/year, representing inter-annual variations due to socioeconomic conditions